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10

                UNITED STATES DISTRICT COURT

11

              NORTHERN DISTRICT OF CALIFORNIA

12

                 SAN FRANCISCO DIVISION

13

14 | WISTRON CORPORATION, a Taiwan corporation, AOPEN INCORPORATED, a Taiwan corporation, AOPEN AMERICA INCORPORATED, a California corporation, | Case No. _____ |
|---|---|
| | **COMPLAINT FOR DECLARATORY JUDGMENT OF NON-INFRINGEMENT AND INVALIDITY OF U.S. PATENT NOS. 5,379,414; 5,983,002; 6,195,767; AND 6,401,222** |
| Plaintiffs, | |
| vs. | |
| PHILLIP M. ADAMS & ASSOCIATES, LLC, a Utah limited liability corporation, | |
| Defendant. | |

                                                      RECYCLED PAPER

   COMPLAINT FOR DECLARATORY JUDGMENT OF NON-INFRINGEMENT AND INVALIDITY

1   Plaintiffs WISTRON CORPORATION ("Wistron"), AOPEN INCORPORATED ("AOpen

2   Inc."), and AOPEN AMERICA INCORPORATED ("AOpen America") (collectively "Wistron and

3   AOpen") by and through their attorneys allege as follows:

4   1.   This is a civil action arising under the patent laws of the United States, 35 U.S.C.

5   §§ 101, et seq., seeking a declaratory judgment, under 28 U.S.C. §§ 2201 and 2202, that no valid and

6   enforceable claim of United States Patent No. 5,379,414, (the "'414 patent"), United States Patent

7   No. 5,983,002 (the "'002 patent"), United States Patent No. 6,195,767 (the "'767 patent"), and

8   United States Patent No. 6,401,222 (the "'222 patent") (collectively the "patents-in-suit") is infringed

9   by Wistron and AOpen. This Complaint further seeks a declaratory judgment that the patents-in-suit

10 are invalid as anticipated under 35 U.S.C. § 102, invalid as obvious under 35 U.S.C. § 103, and

11 invalid for failure to meet the requirements of 35 U.S.C § 112.

12 **THE PARTIES**

13   2.   Plaintiff Wistron is a Taiwan corporation with its principal place of business at 21F,

14 88, Sec. 1, Hsin Tai Wu. Rd., Hsichih, Taipei Hsien 221, Taiwan, R.O.C. Wistron is one of the

15 world's largest original design manufacturers ("ODM") for information and communication

16 technology ("ICT") products. Wistron is in the business of designing, developing and manufacturing

17 computer products such as notebook computers, computer peripheral equipment and other electronic

18 products for customers to sell under their own brand name. Wistron products are sold throughout the

19 United States, including this District.

20   3.   Plaintiff AOpen Inc. is a Taiwan corporation with its principal place of business at

21 No.68, Ruiguang Rd., Neihu District, Taipei, Taiwan, ROC. AOpen Inc. is in the business of

22 manufacturing and selling computer products such as notebook computers and computer peripheral

23 equipment. AOpen products are sold throughout the United States, including this District.

24   4.   Plaintiff AOpen America is a California corporation with its principal place of

25 business at 2890 Zanker Road, Suite 101 San Jose, CA 95134.

26   5.   Defendant Phillip M. Adams & Associates, L.L.C. ("Adams") is a Utah limited

27 liability company with an address at 325 Federal Heights Circle, Salt Lake City, Utah 84103. Adams

28 has an alternative address at P.O. Box 1207, Bountiful, Utah 84011.

1

COMPLAINT FOR DECLARATORY JUDGMENT OF NON-INFRINGEMENT AND INVALIDITY

1

JURISDICTION AND VENUE

2   6.   Wistron and AOpen file this Complaint against Adams pursuant to the patent laws of

3 the United States, Title 35 of the United States Code, with a specific remedy sought based upon the

4 laws authorizing actions for declaratory judgment in the federal courts of the United States, 28 U.S.C.

5 §§ 2201 and 2202.

6   7.   This Court has subject matter jurisdiction over this action, which arises under the

7 patent laws of the United States, pursuant to 28 U.S.C. §§ 1331, 1338(a), and under the Federal

8 Declaratory Judgment Act, 28 U.S.C. §§ 2201 and 2202.

9   8.   Personal jurisdiction and venue are proper in this District pursuant to 28 U.S.C.

10 §§ 1391 and 1400(b). Upon information and belief, Adams conducts business in this District,

11 Wistron and AOpen do business in this District, and a substantial part of the events that give rise to

12 the action occurred in this District. Upon information and belief, Adams has and continues to

13 transact business in this District by providing consulting services, negotiating licensing arrangements,

14 and participating in litigation in and directed at companies located in this District.

15

INTRADISTRICT ASSIGNMENT

16   9.   This action is properly filed in the San Francisco Division of the Northern District of

17 California because Wistron, AOpen and Adams do business within the San Francisco Division.

18

EXISTENCE OF AN ACTUAL CONTROVERSY

19   10.   There is an actual controversy within the jurisdiction of this Court under 28 U.S.C.

20 §§ 2201 and 2202.

21   11.   On or around June 21, 2010, in a letter sent to Wistron and AOpen, respectively,

22 Adams embarked upon an improper campaign of threats against Wistron and AOpen to file a baseless

23 patent infringement lawsuit against Wistron and AOpen for the purpose, and intended effect, of

24 disrupting the sale of Wistron and AOpen notebook computers in the United States.

25   12.   Adams has repeatedly demanded that Wistron and AOpen enter into a royalty-bearing

26 license for the patents-in-suit. Adams is claiming that certain Wistron and AOpen products infringe

27 one or more claims of the patents-in-suit, and has told Wistron and AOpen that if they do not take a

28 license to the patents-in-suit, Wistron and AOpen may be subject to substantial liabilities.

COMPLAINT FOR DECLARATORY JUDGMENT OF NON-INFRINGEMENT AND INVALIDITY

13.   As part of its improper campaign, Adams referred Wistron and AOpen to the previously-filed *Lenovo* case, Case No. 1:05-cv-64 TX-DN (D. Utah), in which infringement of the patents-in-suit were alleged against other computer manufacturers and computer brand companies such as Lenovo, Dell, Asus, Fujitsu and the like.

14.   On or around August 20, 2010, Adams sent another letter to Wistron and AOpen, respectively, alleging that Wistron and AOpen manufactured infringing products and that Wistron and AOpen were independently liable for patent infringement for the patents-in-suit.

15.   Based upon the above facts, there is an actual and justiciable controversy within the jurisdiction of this Court under 28 U.S.C. §§ 2201 and 2202.

FIRST CLAIM

DECLARATORY JUDGMENT REGARDING THE '414 PATENT

16.   Wistron and AOpen hereby restate and reallege the allegations set forth in paragraphs 1 through 15 and incorporate them by reference.

17.   Phillip M. Adams is the inventor of the '414 patent, entitled "Systems and Methods for FDC Error Detection and Prevention." A true and correct copy of the '414 patent is attached hereto as Exhibit A.

18.   Wistron and AOpen seek a judicial determination and declaration that no valid and enforceable claim of the '414 patent is infringed by Wistron and AOpen.

19.   Wistron and AOpen seek a judicial determination and declaration that the '414 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, *inter alia*, in Sections 101, 102, 103, and/or 112 of Title 35 of the United States Code.

SECOND CLAIM

DECLARATORY JUDGMENT REGARDING THE '002 PATENT

20.   Wistron and AOpen hereby restate and reallege the allegations set forth in paragraphs 1 through 19 and incorporate them by reference.

21.   Phillip M. Adams is the inventor of the '002 patent, entitled "Defective Floppy Diskette Controller Detection Apparatus and Method." A true and correct copy of the '002 patent is attached hereto as Exhibit B.

RECYCLED PAPER

COMPLAINT FOR DECLARATORY JUDGMENT OF NON-INFRINGEMENT AND INVALIDITY

22.   Wistron and AOpen seek a judicial determination and declaration that no valid and enforceable claim of the '002 patent is infringed by Wistron and AOpen.

23.   Wistron and AOpen seek a judicial determination and declaration that the '002 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, *inter alia*, in Sections 101, 102, 103, and/or 112 of Title 35 of the United States Code.

THIRD CLAIM

DECLARATORY JUDGMENT REGARDING THE '767 PATENT

24.   Wistron and AOpen hereby restate and reallege the allegations set forth in paragraphs 1 through 23 and incorporate them by reference.

25.   Phillip M. Adams is the inventor of the '767 patent, entitled "Data Corruption Detection Apparatus and Method." A true and correct copy of the '767 patent is attached hereto as Exhibit C.

26.   Wistron and AOpen seek a judicial determination and declaration that no valid and enforceable claim of the '767 patent is infringed by Wistron and AOpen.

27.   Wistron and AOpen seek a judicial determination and declaration that the '767 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, *inter alia*, in Sections 101, 102, 103, and/or 112 of Title 35 of the United States Code.

FOURTH CLAIM

DECLARATORY JUDGMENT REGARDING THE '222 PATENT

28.   Wistron and AOpen hereby restate and reallege the allegations set forth in paragraphs 1 through 27 and incorporate them by reference.

29.   Phillip M. Adams is the inventor of the '222 patent, entitled "Defective Floppy Diskette Controller Detection Apparatus and Method." A true and correct copy of the '222 patent is attached hereto as Exhibit D.

30.   Wistron and AOpen seek a judicial determination and declaration that no valid and enforceable claim of the '222 patent is infringed by Wistron and AOpen.

COMPLAINT FOR DECLARATORY JUDGMENT OF NON-INFRINGEMENT AND INVALIDITY

31. Wistron and AOpen seek a judicial determination and declaration that the '222 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, *inter alia*, in Sections 101, 102, 103, and/or 112 of Title 35 of the United States Code.

PRAYER FOR RELIEF

WHEREFORE, Wistron and AOpen pray for judgment as follows:

1. Declaring that no valid and enforceable claim of the patents-in-suit is infringed by Wistron and AOpen;

2. Declaring that Adams and its officers, employees, agents, alter egos, attorneys, and any persons in active concert or participation with them be restrained and enjoined from further prosecuting or instituting any action against Wistron and AOpen claiming that the patents-in-suit are valid, enforceable, or infringed, or from representing that the products or services of Wistron and AOpen infringe the patents-in-suit;

3. A judgment declaring this case exceptional under 35 U.S.C. § 285 and awarding Wistron and AOpen their attorneys' fees and costs in connection with this case; and

4. Awarding Wistron and AOpen such other and further relief as the Court deems just and proper.

Dated: October 1, 2010

K&L GATES LLP

By: _____
Howard Chen (SBN 257393)
Harold H. Davis, Jr. (SBN 235552)
Rachel Davidson (SBN 215517)

Attorneys for Plaintiffs
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AOPEN AMERICA INCORPORATED

5

COMPLAINT FOR DECLARATORY JUDGMENT OF NON-INFRINGEMENT AND INVALIDITY

Exhibit A

US005379414A

United States Patent [19]

Adams

[11] Patent Number:	**5,379,414**
[45] Date of Patent:	**Jan. 3, 1995**

[54] **SYSTEMS AND METHODS FOR FDC ERROR DETECTION AND PREVENTION**

[76] Inventor: **Phillip M. Adams,** 1466 Chandler Dr., Salt Lake City, Utah 84103

[21] Appl. No.: **911,409**

[22] Filed: **Jul. 10, 1992**

[51] Int. Cl.⁶ G06F 11/34; H04L 1/18
[52] U.S. Cl. 395/575; 371/62; 371/32; 371/33; 395/275
[58] Field of Search 371/62, 8.2, 9.1, 11.1, 371/11.3, 21.1, 28, 21.6, 37.1, 40.1, 68.1, 66, 32, 33, 34, 35, 48; 380/4, 24, 25; 395/425, 575, 400, 375, 550, 275

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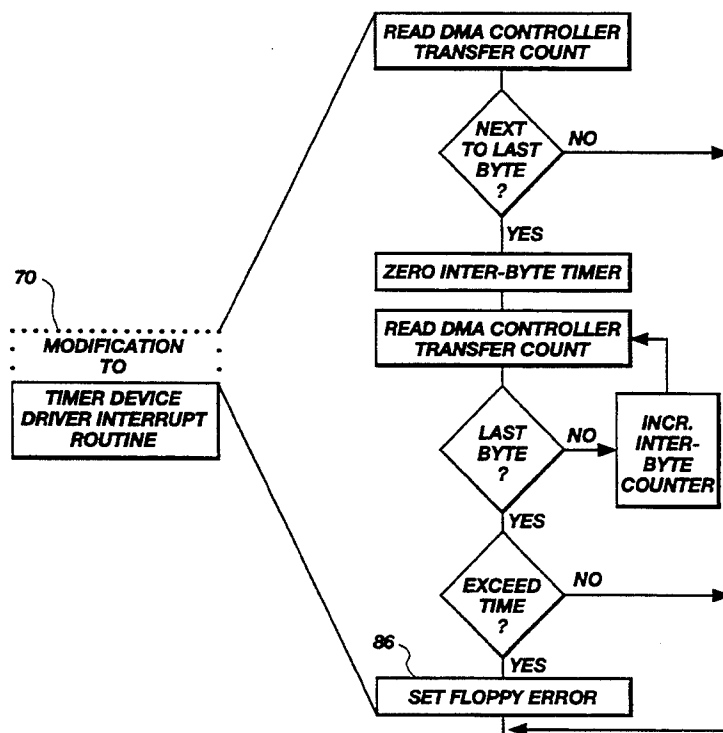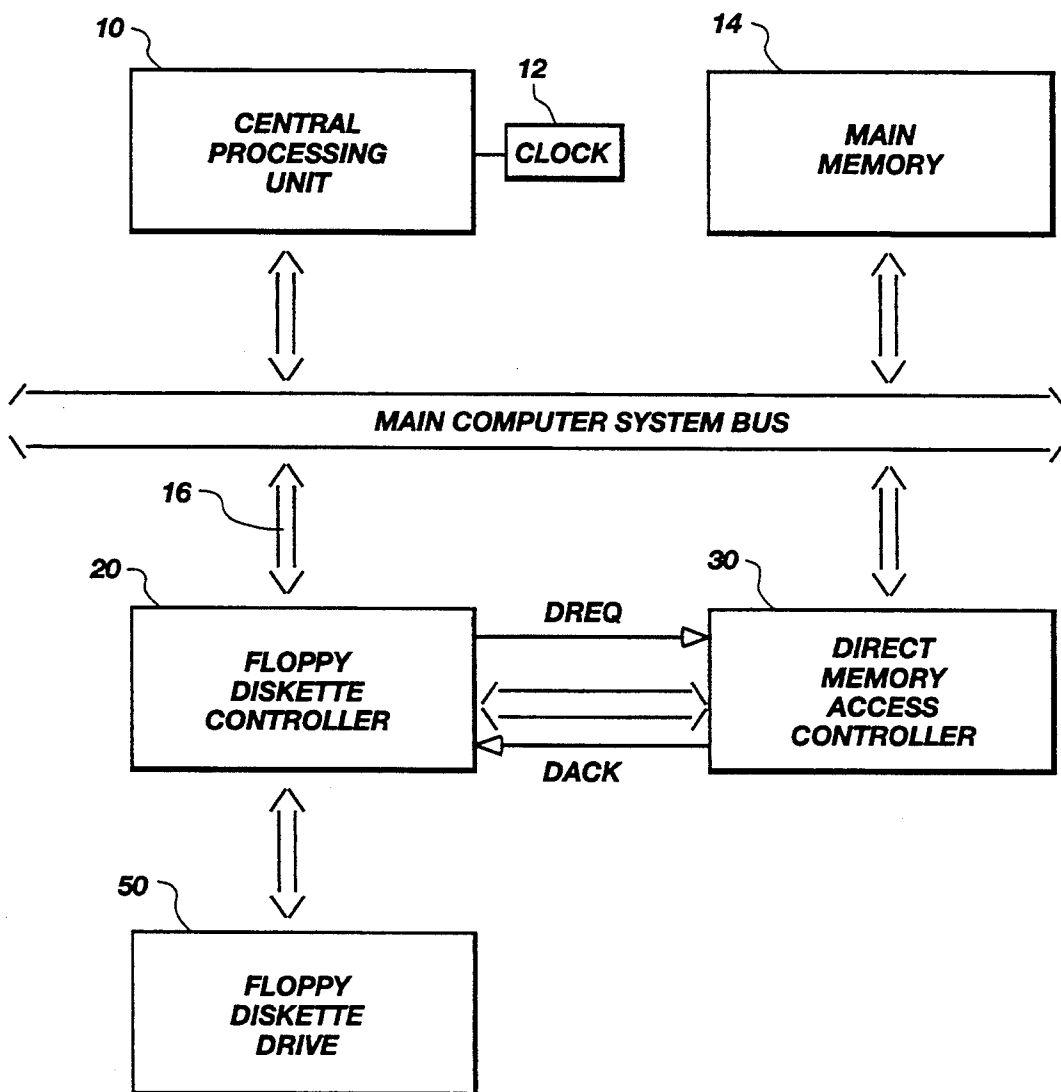
Primary Examiner—Robert W. Beausoliel, Jr.
Assistant Examiner—Dieu-Minh Le
Attorney, Agent, or Firm—Berne S. Broadbent; Gary D. E. Pierce

[57] **ABSTRACT**

A system and method which provides a complete software implementation of a device driver that is capable of detecting an undetectable data corruption problem without hardware redesign and/or internal modification to an existing FDC. The approach taken consists of software DMA shadowing and use of a software decoding network which allows the implementation of the invention to require a small amount of memory and only degrade the performance of the computer system a minimal amount when floppy diskette write operations occur.
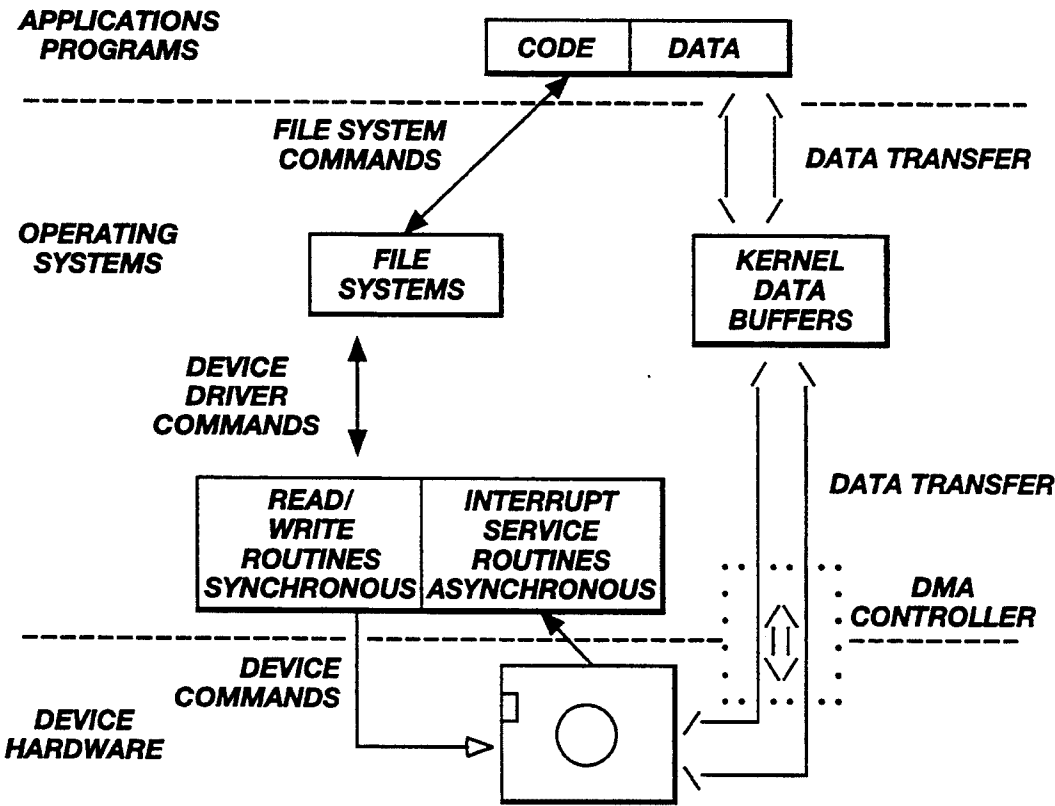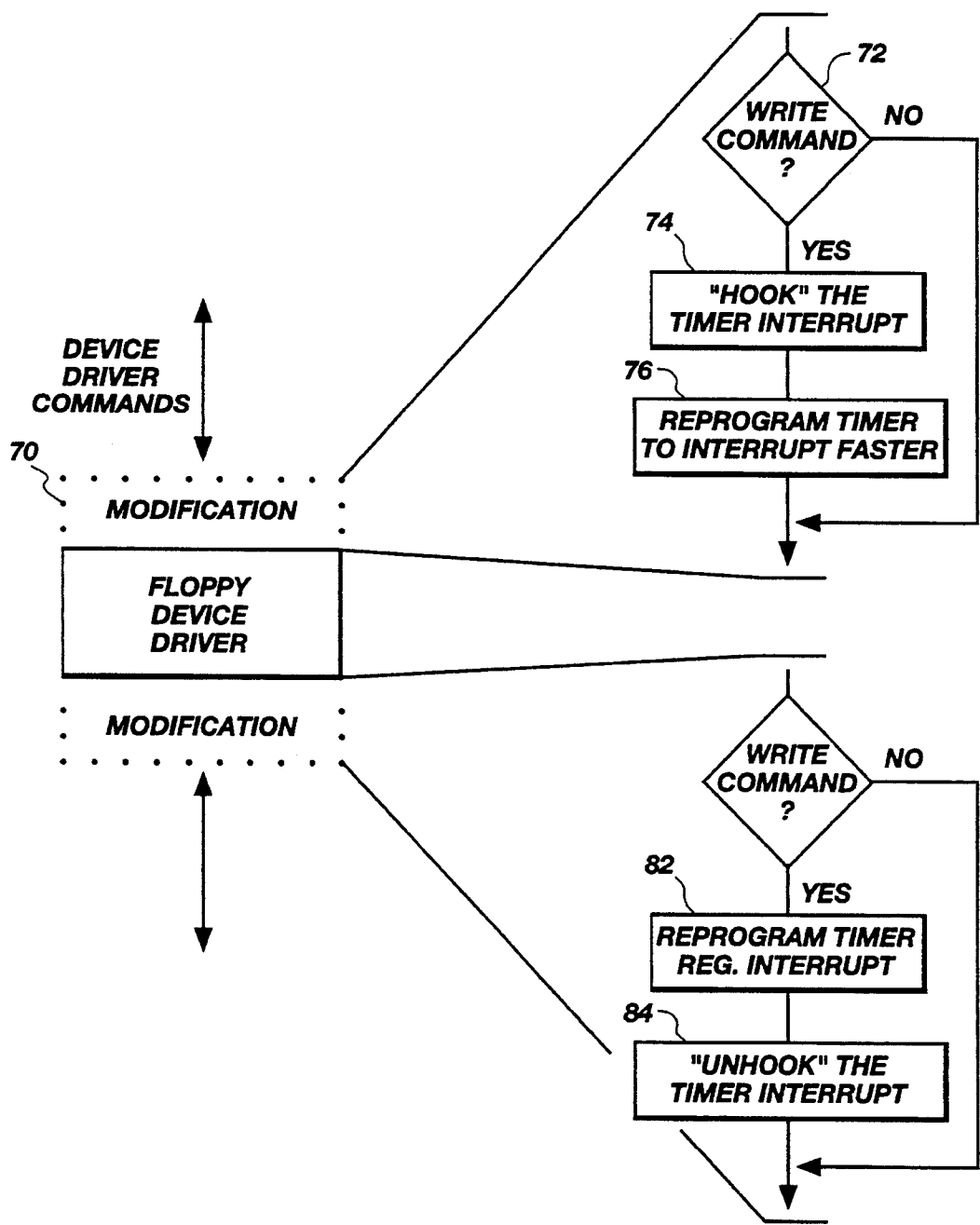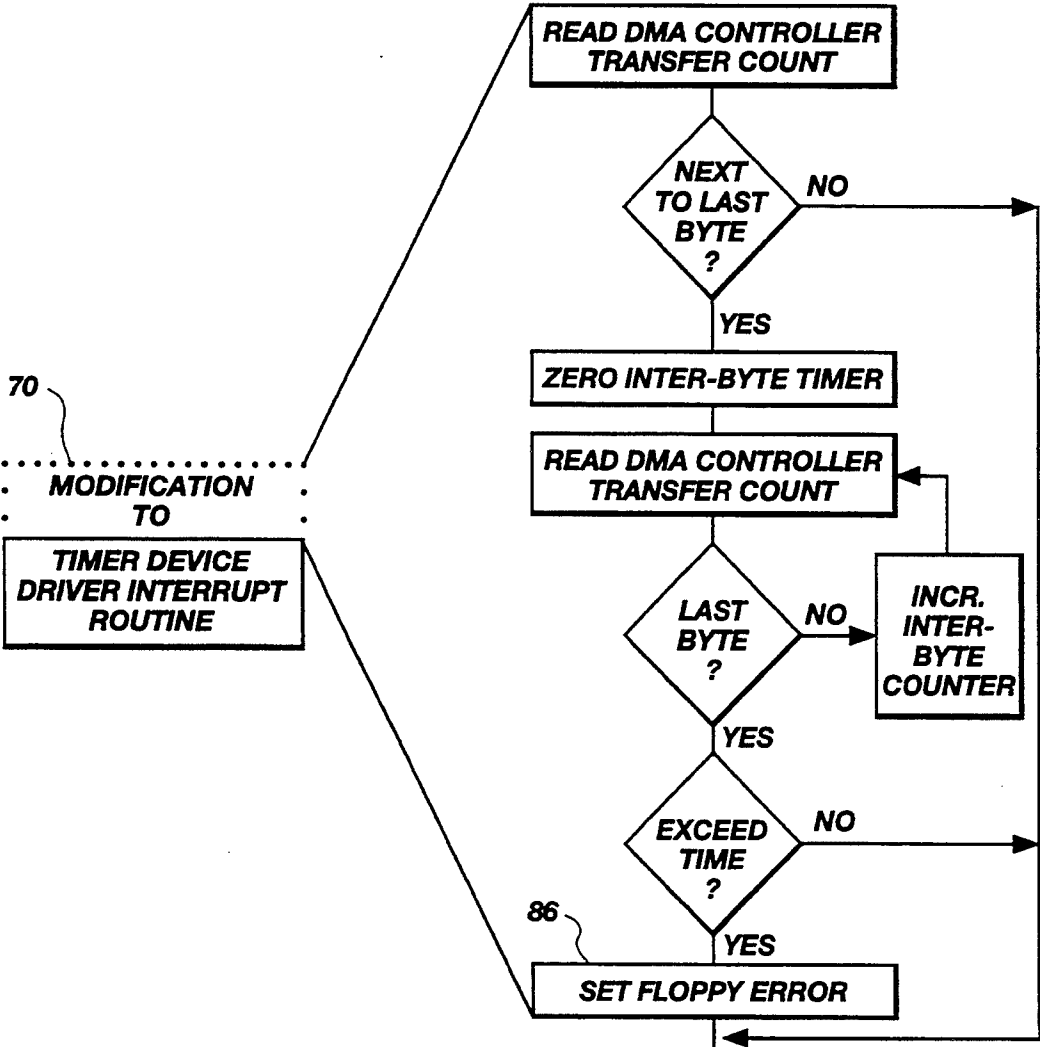
7 Claims, 5 Drawing Sheets

Fig. 1

APPLICATIONS
PROGRAMS

CODE	DATA

FILE SYSTEM
COMMANDS

DATA TRANSFER

OPERATING
SYSTEMS

FILE
SYSTEMS

KERNEL
DATA
BUFFERS

DEVICE
DRIVER
COMMANDS

READ/ WRITE ROUTINES SYNCHRONOUS	INTERRUPT SERVICE ROUTINES ASYNCHRONOUS

DATA TRANSFER

DMA
CONTROLLER

DEVICE
COMMANDS

DEVICE
HARDWARE

Fig. 2

Fig. 3

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. . . .

MODIFICATION TO

TIMER DEVICE DRIVER INTERRUPT ROUTINE

READ DMA CONTROLLER TRANSFER COUNT

NEXT TO LAST BYTE ? NO

YES

ZERO INTER-BYTE TIMER

READ DMA CONTROLLER TRANSFER COUNT

LAST BYTE ? NO

INCR. INTER-BYTE COUNTER

YES

EXCEED TIME ? NO

YES
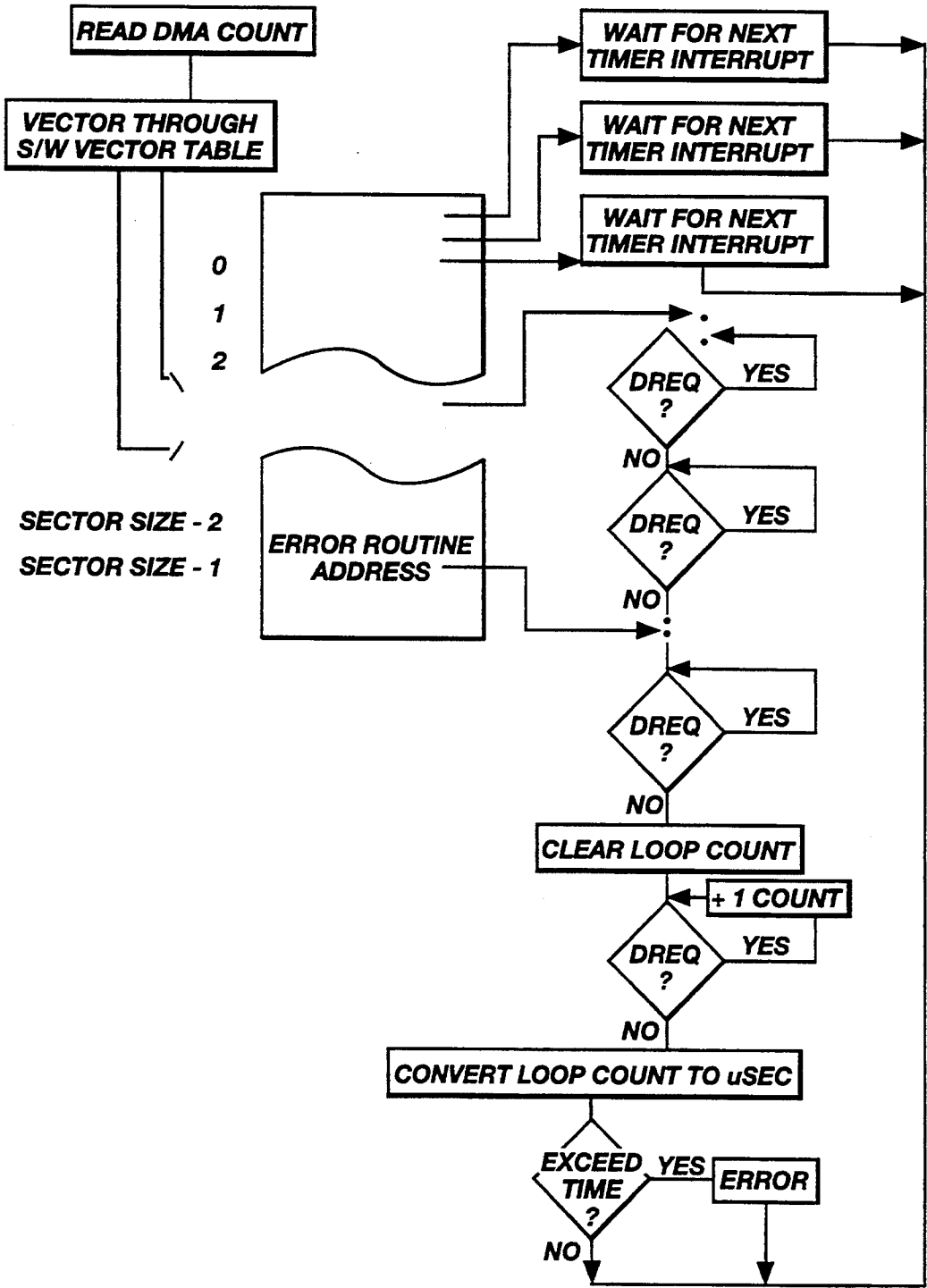
86

SET FLOPPY ERROR

Fig. 4

Fig. 5

5,379,414

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SYSTEMS AND METHODS FOR FDC ERROR DETECTION AND PREVENTION

BACKGROUND

1. The Field of the Invention

This invention relates to the detection and recovery procedure of an undetected Floppy Diskette Controller ("FDC") data error where data corruption occurs and, more particularly, to novel systems and methods implemented as a software-only device driver which eliminates the need for hardware redesign and/or fabrication of new FDCs.

2. The Background Art

Computers are now used to perform functions and maintain data which is critical to many organizations. Businesses use computers to maintain essential financial and other business data. Computers are also used by government to monitor, regulate, and even activate, national defense systems. Maintaining the integrity of the stored data is essential to the proper functioning of these computer systems, and data corruption can have serious (even life threatening) consequences.

Most of these computer systems include diskette drives for storing and receiving data on floppy diskettes. For example, an employee of a large financial institution might have a personal computer that is attached to the main system. In order to avoid processing delays on the mainframe, the employee may routinely transfer data files from the host system to his local personal computer and then back again, temporarily storing data on a local floppy diskette. Similarly, an employee with a personal computer at home may occasionally decide to take work home, transporting data away from and back to the office on a floppy diskette.

Data transfer to and from a floppy diskette is controlled by a device called a Floppy Diskette Controller ("FDC"). The FDC is responsible for interfacing the computer's Central Processing Unit ("CPU") with the physical diskette drive. Significantly, since the diskette is spinning, it is necessary for the FDC to provide data to the diskette drive at a specified data rate. Otherwise, the data will be written to the wrong location on the diskette.

The design of the FDC accounts for situations when the data rate is not adequate to support the rotating diskette. Whenever this situation occurs, the FDC aborts the operation and signals the CPU that a data underrun condition has occurred. Unfortunately, however, it has been found that a design flaw in many FDCs makes it impossible to detect all data underrun conditions. This flaw has, for example, been found in the NEC 765, INTEL 8272 and compatible Floppy Diskette Controllers. Specifically, data loss and/or data corruption can occur during data transfers to diskettes (or even tape drives and other media which employ the FDC), whenever the last data byte of a sector being transferred is delayed for more than a few microseconds. Furthermore, if the last byte of a sector write operation is delayed too long then the next (physically adjacent) sector of the diskette will be destroyed as well.

For example, it has been found that these FDCs cannot detect a data underrun on the last byte of a write operation to a sector of a diskette. Consequently, if the FDC is preempted during a data transfer (thereby delaying the transfer), and an underrun occurs on the last byte of a sector, the following occurs: (1) the underrun

2

flag does not get set, (2) the last byte written to the diskette is made equal to the previous byte written, and (3) CRC is generated on the altered data. The result is that incorrect data is written to the diskette and validated by the FDC.

Conditions under which this problem may occur can be identified by simply identifying those conditions that can delay data transfer to the diskette drive. In general, this requires that the computer system be engaged in "multi-tasking" operation or in overlapped input/output ("I/O") operation. Multi-tasking is the ability of a computer operating system to simulate the concurrent execution of multiple tasks. Importantly, concurrent execution is only "simulated" because there is only one CPU, and it can only process one task at a time. Therefore, a system interrupt is used to rapidly switch between the multiple tasks, giving the overall appearance of concurrent execution.

MS-DOS and PC-DOS, for example, are single-task operating systems. Therefore, one could argue that the problem described above would not occur. However, there are a number of standard MS-DOS and PC-DOS operating environments that simulate multi-tasking and are susceptible to the problem. The following environments, for example, have been found to be prime candidates for data loss and/or data corruption due to the FDC: local area networks, 327x host connections, high density diskettes, control print screen operations, terminate and stay resident (TSR) programs. The problem has also been found to occur as a result of virtually any interrupt service routine. Thus, unless the MS-DOS and PC-DOS operating systems disable all interrupts during diskette transfers, they are also susceptible to data loss and/or corruption.

Perhaps the best way to demonstrate the FDC error is to simulate a great deal of system activity. In other words, make the computer system act as though it were performing a large number of complex tasks all at one time. The problem has accordingly been demonstrated in systems using MS/PC-DOS operating systems by means of a simple test program. First, a clock program is executed and becomes a TSR task having the responsibility of servicing the timer interrupt (Ox1C) and updating the time on the screen. Second, a MS/PC-DOS diskette program is executed which writes a sector to the diskette using the BIOS interface interrupt (Ox13) and then reads the sector back. Once the sector has been written and read back the data is compared to determine whether or not an undetected error has occurred. A running total of both detected and undetected errors can then be output to the display. The results of using such a test program on various machines was quite astonishing. For example, the IBM PS/2 series seemed most susceptible to the problem, with roughly a 30% undetected error rate.

The UNIX operating system is a multi-tasking operating system, and it is extremely simple to create an environment that can cause the problem. One of the more simple examples is to begin a large transfer to the diskette and place that task in the background. After the transfer has begun then begin to display (cat) the contents of a very large file. The purpose of the video access is to force the video buffer memory refresh logic on DMA channel 1, along with the video memory access, to preempt the FDC operations occurring on DMA channel 2 (which is lower priority than channel 1). This example creates the classic overlapped I/O

5,379,414

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environment and can force the FDC into an undetectable error condition. More rigorous examples could include the concurrent transfer of data to or from a network or tape drive using a high priority Direct Memory Access ("DMA") channel while the diskette transfer is active. Clearly, the number of possible error producing examples is infinite and very possible in this environment.

For all practical purposes the OS/2 operating system can be regarded as a UNIX derivative. In other words, OS/2 suffers from the same problems that UNIX does. There are, however, two significant differences between OS/2 and UNIX. First, OS/2 semaphores video updates with diskette operations in an effort to avoid forcing the FDC problem to occur. However, any direct access to the video buffer, in either real or protected mode, during a diskette transfer will bypass this safe-guard and render OS/2 in the same condition as UNIX. Second, OS/2 incorporates a unique command that attempts to avoid the FDC problem by reading back every sector that is written in order to verify that the operation completed successfully. This command is an addition to the MODE command (MODE DSKT VER=ON). With these changes, data loss and/or data corruption should occur less frequently than before, but it is still possible for the FDC problem to destroy data that is not related to the current sector operation.

There are a host of other operating systems that are susceptible to the FDC problem just like DOS, OS/2, and UNIX. However, these systems may not have an install base as large as DOS, OS/2 or UNIX, and there may, therefore, be little emphasis on addressing the problem. Significantly, as long as the operating system utilizes the FDC and services system interrupts, the problem can manifest itself. This can, of course, occur in computer systems which use virtually any operating system.

Some in the computer industry have suggested that the FDC problem is extremely rare and difficult to reproduce. Admittedly, the problem is often very difficult to detect during normal operation because of its random characteristics. The only way to visibly detect this problem is to have the FDC corrupt data that is critical to the operation at hand. There may, however, be many locations on the diskette that have been corrupted, but not accessed. Studies have recently demonstrated that the FDC problem is quite easy to produce and may be more common than heretofore believed.

Computer users may, in fact, experience this problem frequently and not even known about it. After formatting a diskette, for example, the system may inform the user that the diskette is bad, although the user finds that if the operation is performed again on the same diskette everything is fine. Similarly, a copied file may be unusable, and the computer user concludes that he or she just did something wrong. For many in this high-tech world, it is very difficult to believe that the machine is in error and not ourselves. It remains a fact, however, that full diskette back-ups are seldom restored, that all instructions in programs are seldom, if ever, executed, that diskette files seldom utilize all of the allocated space, and that less complex systems are less likely to exhibit the problem.

Additionally, the first of these FDCs were shipped over 10 years ago. The devices were primarily used at that time in special-purpose operations in which the FDC problem would not normally be manifest. Today, on the other hand, the FDCs are incorporated into

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general-purpose computer systems that are capable of concurrent operation (multi-tasking or overlapped I/O). Thus, it is within today's environments that the problem is most likely to occur by having one of the operations delay the data transfer to the diskette. The more complex the computer system, the more likely it is to have one activity delay another, thereby creating the FDC error condition.

In short, the potential for data loss and/or data corruption is present in all computer systems that utilize this type of FDC, presently estimated at about 25 million personal computers. The design flaw in the FDC causes data corruption to occur and manifest itself in the same manner as a destructive computer virus. Furthermore, because of its nature, this problem has the potential of rendering even secure databases absolutely useless.

Those skilled in the art have suggested various ways of addressing the FDC problem. Unfortunately, however, each of these prior solutions has significant associated costs, risks and/or disadvantages.

For example, perhaps the most desirable solution is to have the manufacturer of the FDC provide a new FDC that alleviates the problem. This approach is, however, only a partial solution since many of the current systems have the FDC soldered into a circuit board. It would, of course, entail significant effort and/or cost to remove the current FDC and replace it with a new one.

Add-on hardware devices have similarly been suggested which could detect the FDC error condition and force it to be acknowledged by the CPU. Like a new FDC, however, such devices are at best inconvenient to install and use and are thus unlikely to be used by many computer users.

In an effort to avoid the disadvantages of a hardware solution, some read back and verify programs, like the IBM OS/2 MODE command, have been developed and installed. Such programs typically require that the FDC device driver perform single-sector writes, read the previously written sector back into a sector buffer in the FDC device driver, and then compare the data that was supposed to be written to the floppy with the data contained in the readback buffer. This process is performed until all data compares properly.

There are a number of problems that occur when employing this detection and a recovery procedure. Three of the most important problems are: (1) the size of the FDC device driver grows due to sector readback buffers required; (2) unacceptable performance is encountered because each sector must be written, the diskette must then make a full revolution for the sector to be readback, and finally the readback buffer must be compared with the original data to determine the success or failure of the I/O operation (thus causing all diskette transfers to execute at roughly one-third their normal speed); and (3) this approach is only partially effective in eliminating the FDC problem since it does not account for the data corruption that can occur to the physically adjacent sector when data transfer is significantly delayed. In short, the write/read/compare approach does not adequately protect the data from being corrupted, it causes more memory to be utilized by the operating system, and it degrades performance of the floppy diskette to an intolerable level. As a result, this approach has likewise not generally been adopted.

5,379,414

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BRIEF SUMMARY AND OBJECTS OF THE INVENTION

In view of the foregoing, it is a primary object of the present invention to provide a system and method for the detection and recovery procedure of an undetected FDC data error where data corruption occurs.

It is also an object of the present invention to provide a software-only device driver which eliminates the need for hardware redesign and/or fabrication of new FDCs.

In addition, it is an object of the present invention to provide a solution to an I/O controller's (FDC) defect using DMA shadowing.

It is a further object of the present invention to provide a system and method for the detection and recovery procedure of an undetected FDC data error which reduces system performance only a minimal amount during floppy write operations.

It is a still further object of the present invention to provide a solution to an I/O controller's (FDC) defect using variable processor speed DMA shadowing via a software decoding network.

Consistent with the foregoing objects, and in accordance with the invention as embodied and broadly described herein, a system and method are disclosed in one embodiment of the present invention as including a device driver that is capable of detecting an undetectable data corruption problem without hardware redesign and/or internal modification to an existing FDC. The approach taken consists of DMA shadowing and use of a software decoding network which allows the implementation of the invention to require a small amount of memory and only degrade the performance of the computer system a minimal amount when floppy diskette write operations occur.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects and features of the present invention will become more fully apparent from the following description and appended claims, taken in conjunction with the accompanying drawings. Understanding that these drawings depict only typical embodiments of the invention and are, therefore, not to be considered limiting of its scope, the invention will be described with additional specificity and detail through use of the accompanying drawings in which:

FIG. 1 is simplified block diagram which illustrates the architecture of most computer systems employing an floppy diskette controller (FDC);

FIG. 2 is a block diagram which illustrates the typical association between application programs, operating systems, device drivers and computer system hardware (in this example, a floppy diskette);

FIG. 3 is a flow chart depicting one presently preferred embodiment of the modifications that are applied to the diskette device driver in order to allow the error detection/prevention system and method of the present invention to be activated;

FIG. 4 is a flow chart depicting one presently preferred embodiment of the modifications that are made to the timer Interrupt Service Routine (ISR) so as to allow timing of the last byte's DREQ/DACK cycle in accordance with the present invention; and

FIG. 5 is a flow chart depicting one presently preferred embodiment of a software decoding network (software vector-table) for use in connection with the error detection/prevention system and method of the

present invention, the network having one code point/entry for each possible transfer byte in the sector.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

It will be readily understood that the components of the present invention, as generally described and illustrated in the Figures herein, could be arranged and designed in a wide variety of different configurations. Thus, the following more detailed description of the embodiments of the system and method of the present invention, as represented in FIGS. 1 through 5, is not intended to limit the scope of the invention, as claimed, but it is merely representative of the presently preferred embodiments of the invention.

The presently preferred embodiments of the invention will be best understood by reference to the drawings, wherein like parts are designated by like numerals throughout.

The architecture of a typical computer system is illustrated in FIG. 1. The computer system's Central Processing Unit ("CPU") 10 and main memory 14 are located inside the system unit. The instructions and data used by the CPU 10 are kept in main memory 14 during computer work sessions. Main memory 14 is, however, not a permanent storage place for information; it is active only when the computer system is on. Thus, to avoid losing the data, it must be saved on some type of storage device. For example, the computer system may use a "hard disk" storage device which is permanently installed in the computer system. Most computer systems have at least one floppy diskette drive 50 that receives a removable floppy diskette. The floppy diskette likewise is used for "permanent" storage of data or software outside of the computer system and is especially useful for transferring data and information between separate computer systems.

In transferring data to a floppy diskette, the CPU 10 typically programs the Direct Memory Access ("DMA") controller 30 for an input/output ("I/O") transfer, issues a command to the Floppy Diskette Controller ("FDC") 20 to begin the I/O transfer, and then waits for the FDC to interrupt with a completion interrupt signal. It is also possible to perform Programmed I/O ("PIO") directly between the CPU 10 and the FDC 20 without involving the DMA controller 30, as illustrated by the broken arrows 16. This latter approach is seldom used; the majority of computer systems employ DMA I/O transfers to and from the floppy diskette. The invention will thus be described below with particular reference to the DMA controller 30. If PIO is employed, however, then the I/O transfer is totally controlled by the CPU 10 because the CPU is required to pass each and every data byte to the FDC 20. As a result, the "DMA shadowing" system and method of this invention can be directly applied to the PIO data stream because the CPU 10 already is controlling the I/O transfer, as will become more readily apparent from the discussion which follows.

Virtually all computer systems must have a system clock 12. The system clock is necessary when initiating an I/O transfer to the diskette drive 50 because one must not only control the data transfer, but also the drive motor. In this regard, it is important to know when the diskette drive motor has brought the diskette spin rate up to the nominal RPM required for the data transfer to be successful.

5,379,414

7

As an example, in IBM Personal Computers and compatibles, the system clock 12 interrupts the CPU 10 18.6 times per second (roughly once every 54 milliseconds). This interrupt is used to determine such things as diskette drive motor start and stop time. There are also a host of other time-dependent operations in the computer system that require this granularity of timing.

The typical association between application programs, operating systems, device drivers and hardware is depicted in FIG. 2. The example presented is the floppy diskette.

As illustrated in FIGS. 3 and 4, the system and method of the present invention includes an interposer routine 70 which is placed between the application's request for floppy service and the current floppy device driver. The interposer routine 70 is actually a new or modified device driver that implements the detection and recovery for the undetected FDC data corruption. As shown, the interposer 70 first determines if the operation is a floppy diskette write operation (72). If so, the major function of interposer 70 is to insert itself between the application request for floppy service and the floppy device driver that will service the request. In the PC/MS-DOS environment, this can be accomplished by "hooking" the INT 0x13 interrupt vector (74) and directing it to the interposer routine and then reprogramming the timer to interrupt faster than normal (76) (e.g., every 4–7 milliseconds).

As will become more fully apparent from the discussion which follows, once a floppy write operation is detected, a software decoding network call vector is preferably installed (see FIG. 5), the current byte count is read, and DMA shadowing begins. If the time is too long, an error condition is forced (86). The system clock 12 is then reprogrammed (82) to interrupt normally (e.g., every 54 milliseconds), and timer interrupt is "unhooked" (84) until the next floppy write operation. Clearly, one could allow the timer to always interrupt at the accelerated rate and then check in the timer Interrupt Service Routine ("ISR") if a diskette write operation is active, but this approach is not as performance-minded as the one presented herein.

As used herein, "DMA shadowing" means monitoring byte transfers and then timing the last byte of a sector's DREQ to DACK signals. Importantly, there are, of course, a number of ways of determining when the DREQ is present and when the DACK is present. The sample code set forth below is only one approach. The present invention includes the use of any "DMA shadowing" whether the DREQ and DACK signals are detected at the DMA controller, CPU, system bus or FDC. This includes both explicit means, as presented in the sample code, and implicit means, such as inferring

8

the state of the DREQ/DACK cycle from various components in the system that are triggered or reset from such signal transitions. A typical example is that the DACK can cause the Terminal Count (TC) signal to be asserted. Therefore, one can imply from the detection of TC that DACK has occurred.

In other words, whenever an application requests a floppy write operation, the system clock 12 is reprogrammed to interrupt every 4 to 7 milliseconds. Each time the system clock interrupts, the current byte count in the DMA controller transfer register (countdown register) is read. Once the byte counter has reached the last byte, the signal transition from DREQ to DACK is timed. If the time is greater than the time that will insure data integrity, an error condition is forced which is similar to the one the FDC hardware would produce if not defective. Finally, to avoid corruption to the adjacent sector the system causes the FDC to abort an operation if the timing between the DREQ and the DACK extends past the corruption point, but not to the adjacent sector destruction point, and return an error condition as previously described.

In order for the system to maintain proper operation, it is necessary that the interposer 70 save the original INT 0x13 contents (address of the original INT 0x13 Interrupt Service Routine) and invoke the original when necessary. Additional aspects of the interposer function are discussed below in connection with the other features of the device driver.

The following code fragment from the actual device driver demonstrates the interposer operation. This code fragment, together with the other sample code set forth herein, illustrates in more detail one preferred embodiment of a software routine derived from the block diagram of FIGS. 3 through 5. Those of ordinary skill in the art will, of course, appreciate that various modifications to the specific sample code may easily be made without departing from the essential characteristics of the invention. Thus, the illustrative code, and the accompanying description, is intended only as an example, and it simply illustrates one presently preferred embodiment that is consistent with the invention as claimed herein.

The examples set forth below relate to an implementation of the system and method of the present invention for use on an IBM Personal Computer running the PC/MS-DOS operating system. Similar versions have, however, been developed to operate in the UNIX and OS/2 environments. The invention is not limited to use with any particular operating system, and adaptations and changes which may be required for use with other operating systems will be readily apparent to those of ordinary skill in the art.

```
PROCEDURE:  _INT13_isr
REMARKS:    _INT13_isr is responsible for receiving the INT 13 diskette interrupts from
            the O/S (BIOS). A check is made to see if the requested operation is a
            WRITE and the drive is a diskette (0 or 1). If so, then the timer is enabled
            and the system is set-up to delay the last (512th) byte of the transfer to
            generate an undetected underrun/overrun condition.
_INT13_isr proc far
            mov   _TEXT : active, 0      ; Clear Diskette Write Active Flag
            mov   _TEXT : errors, 0      ; Clear Diskette Error Flag
            cmp   dl,2                   ; Check Drive Number for Diskette 0 or 1
            jb    chk_write              ; If Diskette, Then Check for Write
            jmp   _TEXT : int13_ptr      ; Otherwise, enter Original INT 13
chk_write:
            cmp   ah,3                   ; Check For Diskette Write Operation
            je    set_active             ; If Write, Then Diskette Write Active
            jmp   _TEXT : int13_ptr      ; Otherwise, Enter Original INT 13
```

5,379,414

9   10

-continued

```
set_active:
         push  ds                          ; Save DS Register
         mov   _TEXT : sectors,al          ; Save Number of Sectors to Transfer
         mov   _TEXT : track,ch            ; Save Track Number
         mov   _TEXT : sector,cl           ; Save Sector Number
         mov   _TEXT : head, dh            ; Save Head Number
         mov   _TEXT : drive,dl            ; Save Drive Number
         mov   _TEXT : active,OFFH         ; Set Diskette Write Active Flag
         call  NEAR PTR_Timer_enable       ; Enable Timer for "Shadowing" DMA
         pop   ds                          ; Restore DS Register
         pushf                             ; Simulate An Interrupt
         call  _TEXT : int13_ptr           ; Enter Original INT 13 ISR
         pushf                             ; Save INT 13 Flags
         call  NEAR PTR_Timer_disable      ; Disable the Timer "Shadow" Routine
         mov   _TEXT : active,0            ; Clear Diskette Write Active Flag
         popf                              ; Restore INT 13 Flags
         cmp   _TEXT : errors,0            ; Were Errors Detected by Timer ISR
         jz    _INT13_exit                 ; If Zero, Then no Errors-Exit
         stc                               ; Otherwise, Set Error Indicator
         mov   _TEXT : errors,0            ; And Clear Error Flag
_INT13_exit:
         ret   2                           ; Eliminate Entry Flags
_INT13_isr endp
```

The foregoing interposer routine checks to see if the request is a write operation. If so, then it calls _Timer_ enable (reprogram the system clock), calls the original INT 0x13 Interrupt Service Routine (perform the actual write operation while DMA Shadowing is enabled), and finally calls _Timer_disable (reprograms the system clock to the original clock interrupt rate of approximately 54 milliseconds).

As mentioned above, the interposer invokes system clock management routines (_Timer_enable and _Timer_disable). These two functions provide the system clock to interrupt at an accelerated rate that is 8 to 10 times faster than normal. The disable routine (see FIG. 3) returns the system clock interrupt rate to the normal interrupt rate. Thus, they are inverse identities which make the system behave normally at all time without significant performance degradation ($<10\%$) and only during floppy write operations. At any other time the performance is virtually unchanged.

The following code fragment depicts the operation of the _Timer_enable function (76):

```
PROCEDURE:    _TIMER_enable
REMARKS:      Timer enable is responsible for 1) moving INT 8 vector to INT 0 X 60, 2) Initializing
              INT 8 vector to _Timer_irs, and 3) Reprogramming the 8253 to
              interrupt 128 times faster. (Clock count * 0.840 Microseconds)
_Timer_enable proc   near
         pushf                             ; Save Current Flags Register
         cli                               ; Disable Interrupts
         push   ax                         ; Save AX Register
         push   bx                         ; Save BX Register
         push   es                         ; Save ES Register
         xor    ax,ax                      ; Zero AX Register
         mov    es,ax                      ; Set ES to Absolute Zero Segment
         mov    ax,es:[8*4]                ; Obtain Offset of INT 8
         mov    bx,es:[(B*4)+2]            ; Obtain Segment of INT 8
         mov    _TEXT : int8_off,ax        ; Move INT 8 Offset to INT 8 Offset
         mov    _TEXT : int8_seg,bx        ; Move INT 8 Segment to INT 8 Segment
         mov    ax,es:[1CH*4]              ; Obtain Offset of INT 1C
         mov    bx,es:[(1CH*4)+2]          ; Obtain Segment of INT 1C
         mov    _TEXT : int1C_off,ax       ; Move INT 1C Offset to INT IC Offset
         mov    _TEXT : int1C_seg,bx       ; Move INT 1C Segment to INT 1C Segment
         mov    _TEXT : ticks,0            ; Zero ticks for Use by New ISR
         in     al,020H                    ; Obtain 8259 Interrupt Mask Register
         push   ax                         ; Save 8259 IMR for Restoration
         mov    al,OFFH                    ; Mask All External Interrupts At 8
         out    021H,al                    ; Set-up New 8259 IMR
         mov    al,36H                     ; Establish Operational Mode of 8253
         out    43H,al                     ; Program Operation of 8253
         jmp    $+2                        ; Allow Time for I/O to Complete Op
         mov    al,0                       ; Load LSB of Down Counter
         out    40H,al                     ; Send to 8253
         jmp    $+2                        ; Allow Time for I/O to Complete Op
         mov    al,02H                     ; Load MSB of Down Counter
         out    40H,al                     ; Send to 8253
         jmp    $+2                        ; Allow Time for I/O to Complete Op
         mov    ax,OFFSET _TEXT : _Timer_isr  ; Obtain the Offset of _Timer _isr
         mov    bx,cs                      ; Obtain the Segment of _Timer_isr
         mov    es:[8*4], ax               ; Install new INT 8 Offset
         mov    es:[(8*4)+2],bx            ; Install new INT 8 Segment
         mov    ax,OFFSET_TEXT : _INT1C_isr  ; Obtain the Offset of _INT_1C
         mov    bx,cs                      ; Obtain the Segment of _INT_1C
         mov    es: [1CH*4]ax              ; Install new INT 1C Offset
    ;    mov    es:[(1CH*4)+2],bx          ; Install new INT 1C Segment
```

5,379,414

11   12

-continued

```
;        pop      ax                          ; Obtain old 8259 IMR from Stack
;        out      021H,al                     ; Set-up old 8259 IMR
Enable__exit:
         pop      es                          ; Restore ES Register
         pop      bx                          ; Restore BX Register
         pop      ax                          ; Restore AX Register
         popf                                 ; Restore Flags Register
         ret                                  ; Return to Caller
__Timer__enable endp
```

The foregoing __Timer__enable routine performs two major functions. First, this function "hooks" the interrupt vectors that are associated with system clock functions (INT Ox08 and INT Ox1C). The original values of these two interrupt vectors are saved for use in the newly installed interrupt service routines for these interrupts (__Timer__isr and __INT1C__isr). Next, the system cloak is reprogrammed to interrupt at the accelerated rate. However, now the system clock interrupts will be processed by the newly installed system clock interrupt service routines.

The following code fragment depicts the operation of the __Timer-disable function (82):

their original values and the system clock is reprogrammed to interrupt at its original frequency.

As depicted graphically in FIG. 4 and described further below, a __Timer-isr routine is used for servicing the accelerated interrupt rate of the system clock. The reason that the system clock interrupt rate is accelerated is that during a normal 512 byte data transfer (the typical sector size) 16 microseconds are required for each data byte to be transferred to the FDC (High Density Diskette Mode). Therefore, a typical Sector transfer requires 512 times 16 microseconds, or 8,192 microseconds. If the diskette is a low density diskette then the sector transfer time is doubled to 16,384 micro-

```
PROCEDURE:   __Timer__disable
REMARKS:     __Timer__disable is responsible for 1) Reprogramming the 8253 to
             interrupt 128 times slower and 2) Restoring the old INT 8
             interrupt vector from INT 60.
__Timer__disable proc    near
             pushf                            ; Save Current Flags Register
             cli                              ; Disable Interrupts
             push     ax                      ; Save AX Register
             push     bx                      ; Save BX Register
             push     es                      ; Save ES Register
;            in       al,020H                 ; Obtain 8259 Interrupt Mask Register
;            push     ax                      ; Save 8259 IMR For Restoration
;            mov      al,OFFH                 ; Mask All External Interrupts At 8
;            out      021H,al                 ; Set-up new 8259 IMR
             mov      al,36H                  ; Establish Operational Mode of 8253
             out      43H,al                  ; Program Operation of 8253
             jmp      $+2                     ; Allow Time for I/O to Complete Op
             mov      al,0                    ; Load LSB of Down Counter
             out      40H,al                  ; Send LSB to 8253
             jmp      $+2                     ; Allow time for I/O to Complete Op
             out      40H,al                  ; Send MSB to 8253
             jmp      $+2                     ; Allow time for I/O to Complete Op
             xor      ax,ax                   ; Zero AX Register
             mov      es,ax                   ; Set ES to Absolute Zero Segment
             mov      ax,__TEXT : int8__off   ; Obtain Offset of Original INT 8
             mov      bx,__TEXT : int8__seg   ; Obtain Segment of Original INT 8
             mov      es:[8*4],ax             ; Install Old INT 8 Offset
             mov      es:[(8*4) +2], bx       ; Install Old INT 8 Segment
             mov      ax,__TEXT : int1C__off  ; Obtain Offset of Original INT 1C
             mov      bx,__TEXT : int1C__seg  ; Obtain Segment of Original INT 1C
             mov      es:[1CH*4],ax           ; Install Old INT 1C Offset
             mov      es:[(1CH*4)+2],bx       ; Install Old INT 1C Segment
;            pop ax                           ; Obtain Old 8259 IMR From Stack
;            out      021H,al                 ; Set-up Old 8259 IMR
             pop      es                      ; Restore ES Register
             pop      bx                      ; Restore BX Register
             pop      ax                      ; Restore AX Register
             popf                             ; Restore Flags Register
             ret                              ; Return to Caller
__Timer__disable    endp
```

The __Timer-disable function above is responsible for restoring the system to its original state. In other words, the INT Ox08 and INT Ox1C interrupts are restored to

seconds (512 times 32 microseconds) because the FDC has half of the amount of data to store in the same rotational time frame (typically 360 RPM).

The following code fragment illustrates the __Timer__isr routine:

```
PROCEDURE:   __Timer__isr
REMARKS:     __Timer__isr is responsible for receiving the INT 8 timer interrupts from the 8253.
             Since the 8253 has been programmed to interrupt approximately 128 times
```

5,379,414

13   **14**

-continued

```
          faster _Timer_isr must call the old INT 8 ISR routine every 128th interrupt.
          _Timer_isr is also responsible for initiating a DMA
          request on every timer interrupt.
_Timer_isr proc
          inc      _TEXT : ticks                    ; Increment the Interrupt Tick Counter
          cmp      _TEXT : active, OFFH             ; Check to see if Diskette Write Op
          jz       begin_isr                        ; If so, Then Begin Timer ISR
          jmp      chk_old                          ; Otherwise, Check if Old ISR Runs
begin_isr:
          cld                                       ; Clear the Direction Flag
          mov      _TEXT : AX_reg,ax                ; Save AX Register
          mov      _TEXT : BX_reg,bx                ; Save BX Register
          mov      _TEXT : CX_reg,cx                ; Save CX Register
          mov      _TEXT : DX_reg,dx                ; Save DX Register
          mov      _TEXT : SI_reg,si                ; Save SI Register
          out      OCH,al                           ; Clear the Byte Pointer Flip/Flop
          cmp      _TEXT : CHN1_flag,0              ; Should Channel 1 Be Masked (Diabl)
          jz       bypass_CHN1                      ; If Zero, Then Don't Mask Channel
mask_CHN1:
          mov      al,005H                          ; DMA Mask Register Value
          out      00AH,al                          ; Disable DMA Channels (1 for Now)
          jmp      $+2                              ; (Can't Disable Refresh on XT - CH
bypass_CHN1:
;         in       al,020H                          ; Obtain 8259 Interrupt Mask Register
;         push     ax                               ; Save 8259 IMR for Restoration
;         mov      al,OBDH                          ; Disable all but KBD and Diskette
;         out      021H,al                          ; Set-up New 8259 IMR
          in       al,08H                           ; Obtain 8237 DMA Status
          test     al,04H                           ; Check for Channel 2 DMA TC
          je       chk_change                       ; If no TC, Then Check for DMA Req
          jmp      exiting                          ; Otherwise, Exit Routine
chk_change:
          in       al,05H
          mov      dl,al
          in       al,05H
          mov      dh,al
          mov      cx,_TEXT : ratio
chk_change_A:
          in       al,05H
          mov      bl,al
          in       al,05H
          mov      bh,al
          cmp      bx,dx
          jne      chk_count
          in       al,05H
          mov      bl,al
          in       al,05H
          mov      bh,al
          cmp      bx,dx
          jne      chk_count
          loop     chk_change_A                     ; Loop if Processor is Fast
          jmp      exiting                          ; If not DRQ, Exit Routine
chk_count:
          mov      si,bx                            ; Save Current DMA Count
          and      si,01FFH                         ; Isolate Lower Sector Count
          shl      si,1                             ; Word Normalize SI Value
          jmp      _TEXT : DMA_Count_TBL[SI]        ; Shadow the DMA Transfers Via S/W
```

The foregoing _Timer_isr routine performs some sanity checks on the system to determine if the system is actually transferring data to the FDC. If a sector transfer is not in progress then _Timer_isr exits immediately. However, if a sector transfer is in progress then _Timer_isr obtains the remaining byte count of the sector transfer and vectors (jumps) through the software decoding network (DMA_Count_TBL) to the appropriate processing routine.

Although the system and method depicted in FIG. 4, could be implemented as is, it would require the timer to interrupt every 8, 16, or 32 microseconds. This level of interrupts would totally consume a PC's processing power, and on a PC/XT would/could not be sustained. Thus, in order to perform DMA shadowing without affecting the total system performance it is necessary to allow normal operations to continue as usual, but have an interrupt (the system clock) that will interrupt close to the end of the sector transfer so that the DREQ to

DACK timing can be determined on the last byte of the sector transfer.

Clearly, it is possible to DMA shadow all 512 bytes during a sector transfer, but that would cause the CPU to be totally consumed during the entire sector transfer time. In other words, the potential of losing processing activities somewhere else in the system are greatly increased, such as in the case of serial communications. Therefore, the following clock interrupt strategy was developed to reduce the CPU involvement to a bare minimum during the floppy write operations with DMA Shadowing. Significantly, the timing strategy can be adjusted to any number of bytes of the sector transfer, from a few bytes to the entire sector count.

As indicated above, the last operation performed in the _Timer_isr routine is to vector through the software decoding network to the appropriate processing routine. This process is illustrated graphically in FIG. 5. The software decoding network (software vector-table)

5,379,414

15

has one code point/entry for each possible transfer byte in the sector. The timer interrupt rate can now be in

16

ment of the system and method of the present invention:

```
DMA_Count_TBL
          LABLE    WORD
          DW       OFFSET DMA_0      ; Entry for DMA Count 0
          DW       OFFSET DMA_1      ; Entry for DMA Count 1
          DW       OFFSET DMA_2      ; Entry for DMA Count 2
          DW       OFFSET DMA_3      ; Entry for DMA Count 3
          DW       OFFSET DMA_4      ; Entry for DMA Count 4
          DW       OFFSET DMA_5      ; Entry for DMA Count 5
          DW       OFFSET DMA_6      ; Entry for DMA Count 6
          DW       OFFSET DMA_7      ; Entry for DMA Count 7
          DW       OFFSET DMA_8      ; Entry for DMA Count 8
          DW       OFFSET DMA_9      ; Entry for DMA Count 9
          DW       OFFSET DMA_a      ; Entry for DMA Count a
          DW       OFFSET DMA_b      ; Entry for DMA Count b
          DW       OFFSET DMA_c      ; Entry for DMA Count c
          DW       OFFSET DMA_d      ; Entry for DMA Count d
          DW       OFFSET DMA_e      ; Entry for DMA Count e
          DW       OFFSET DMA_f      ; Entry for DMA Count f
          DW       OFFSET DMA_10     ; Entry for DMA Count 10
          DW       OFFSET DMA_11     ; Entry for DMA Count 11
          DW       OFFSET DMA_12     ; Entry for DMA Count 12
          DW       OFFSET DMA_13     ; Entry for DMA Count 13
          DW       OFFSET DMA_14     ; Entry for DMA Count 14
          DW       OFFSET DMA_15     ; Entry for DMA Count 15
          DW       OFFSET DMA_16     ; Entry for DMA Count 16
          DW       OFFSET DMA_17     ; Entry for DMA Count 17
          DW       OFFSET DMA_18     ; Entry for DMA Count 18
          DW       OFFSET DMA_19     ; Entry for DMA Count 19
          DW       OFFSET DMA_1a     ; Entry for DMA Count 1a
          DW       OFFSET DMA_1b     ; Entry for DMA Count 1b
          DW       OFFSET DMA_1c     ; Entry for DMA Count 1c
          DW       OFFSET DMA_1d     ; Entry for DMA Count 1d
          DW       OFFSET DMA_1e     ; Entry for DMA Count 1e
          DW       OFFSET DMA_1f     ; Entry for DMA Count 1f
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
          DW       OFFSET exiting    ; Address Of Exit Code
```

terms of 10's or 100's of byte transfer times because the vector table will cause the program execution to enter a cascade of DREQ/DACK checks only when it knows that the transfer (sector) will be complete prior to another timer interrupt. In short, the first X entries in the vector table will simply return (knowing that another timer interrupt will occur before the sector transfer completes). The latter Y entries will cascade from one DREQ/DACK detection to another (shadowing the DMA transfers) until the last byte is transferred. On the last byte being transferred, an instruction loop is counted and finally converted into microseconds to determine if the error has occurred.

This process is the fastest known technique for decoding and executing time-dependent situations. Memory space (the software decoding network vector table) is traded for processing time (the amount of time it would take for one routine to subsume all functionality encoded in each of the routines vectored to through the software decoding network vector table).

The following code fragment depicts a portion of the software decoding network employed in the DMA shadowing process of the presently preferred embodi-

As indicated above, the entire software decoding network table is initially set to the address of the "exiting routine." Then depending upon how slow or fast the system clock interrupts a certain number of the lower-indexed entries of the table are set to the address of a processing routine. These processing routines are identical and are sequentially located in the routine so that the software decoding network vector table simply vectors the timer interrupt routine to the first of n sequentially executed processing routines where n represents the number of bytes remaining in the sector transfer. In this way the last few bytes of the sector transfer can be accurately monitored (DMA Shadowing) without significantly affecting overall system performance.

Each of the processing routines, except the last one, performs exactly the same function as indicated below:

```
DMA_1f:
          mov          dx,bx
          mov          cx,5
DMA_1f_A:
```

5,379,414

17

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```
        in      al,05H
        mov     bl,al
        in      al,05H
        mov     bh,al
        cmp     bx,dx
        jne     DMA_1e
        in      al,05H
        mov     bl,al
        in      al,05H
        mov     bh,al
        cmp     bx,dx
        jne     DMA_1e
        loop    DMA_1f_A
DMA_1e:
        mov     dx,bx
        mov     cx,5
DMA_1e_A:
        in      al,05H
        mov     bl,al
        in      al,05H
        mov     bh,al
        cmp     bx,dx
        jne     DMA_1d
        in      al,05H
        mov     bl,al
        in      al,05H
        mov     bh,al
        cmp     bx,dx
        jne     DMA_1d
        loop    DMA_1e_A
```

18

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. . .

5   The above routines represent the code required to completely monitor (shadow) the DMA process by watching the DREQ and DACK signal through the DMA controller. In other words, by watching the DMA controller's register that indicates when a DMA 10 request is active (DREQ) then it is possible to completely monitor the sector transfer. It is not necessary to concern ourselves with the timing between the DREQ and DACK signals until the very last data byte of the transfer. Therefore, the routines above simply 15 "shadow" the DMA process until the last byte at which time it is necessary to invoke the error detection and recovery procedure. When the DMA controller transfer register contains a value of 16 or less then the CPU begins to execute inline code that watches each data 20 byte transfer in terms of signal requests and acknowledgements.

Once the last byte to be transferred has been identified then the DMA_O routine begins the process of determining the actual time taken between the DMA 25 Request (DREQ) and the FDC's DMA Acknowledgement (DACK). This process is presented below:

```
DMA_0
        mov     cx,_TEXT : ratio            ; Up to 32 usec Before DREQ
        shl     cx,1
        shl     cx,1
DMA_DRQ_LO:
        in      al,08H                      ; Read DMA Status Register
        test    al,40H                      ; Is DRQ Active?
        jne     DMA_DRQ_HI                  ; If Non-Zero, Then DRQ is Active
        loop    DMA_DRQ_LO                  ; Otherwise, Continue Checking
        jmp     exiting                     ; DRQ Not Active so Exit
DMA_DRQ_HI:
        mov     cx,OFFFFH                    ; Load Maximum Loop Count
DMA_DRQ_ACTIVE:
        in      al,08H                      ; Read DMA Status Register
        test    al,04H                      ; Is DRQ Active?
        je      DMA_DRQ_INACTIVE           ; If Zero, Then DRQ is Inactive
        loop    DMA_DRQ_ACTIVE             ; Otherwise, Continues Active
        jmp     exiting                     ; DRQ Stuck High (Terrible Error)
DMA_DRQ_INACTIVE:
        cmp     cx,OFFFFH                    ; Check to See if DREQ Remained Active
        jne     DMA_TIME_ACTIVE            ; If so, Then Compute Time Active
        jmp     exiting                     ; Otherwise, Exit Normally
DMA_TIME_ACTIVE:
        mov     ax,_TEXT : avg              ; Load AX With Average 1 Loop Time
        not     cx                          ; Convert Count Down Value To
                                            ; Times Through The Active Loop
                                            ; (Value Used for "n" in Equation)
        inc     cx                          ; Account for First Time Through Loop
        mul     cl                          ; Compute (n * AVG)
        mov     _TEXT : time_active,ax      ; Computed Time Active (ticks)
        cmp     ax,14                       ; Compare with 12 usec Specification
        ja      DMA_error                  ; If TA > 12usec, Then Issue Error
        jmp     exiting                     ; Otherwise, Continue Normal Operation
DMA_error:
        mov     _TEXT : errors, OFFH        ; Set Error Flag to Indicate the Error
        jmp     exiting                     ; DRQ Not Active so Exit
exiting:
;       pop     ax                          ; Obtain Old 8259 IMR From Stack
;       out     021H,al                     ; Set up Old 8259 IMR
        cmp     _TEXT : CHN1_flag,0         ; Is Channel 1 Disabled?
        jz      not_CHN1                   ; If 0, Then not Disabled
                                            ; Otherwise, Must Be Enable
        mov     al,01                       ; DMA Channel 1 Enable Pattern
        out     OAH,al                      ; Enable DMA Channel 1
not_CHN1:
        mov     si,_TEXT : SI_reg           ; Restore SI Register
        mov     dx,_TEXT : DX_reg           ; Restore DX Register
        mov     cx,_TEXT : CX_reg           ; Restore CX Register
        mov     bx,_TEXT : BX_reg           ; Restore BX Register
        mov     ax,_TEXT : AX_reg           ; Restore AX Register
```

5,379,414

19   20

-continued

```
chk_old:
           cmp      _TEXT : ticks,128      ; Check to See if Old INT 8 is Needed
           jb       send_EOI               ; If not Equal, Then Exit Timer ISR
           mov      _TEXT : ticks,0        ; Otherwise, Zero Total Tick Count
           jmp      _TEXT : int8_ptr       ; And Calling Old INT 8 Routine
send_EOI:
           push     ax                     ; Save AX Register
           mov      al,020H                ; 8259 End of Interrupt (EOI)
           out      020H,al                ; Send EOI to 8259
           pop      ax                     ; Restore Ax Register
timer_exit:
           iret                            ; Return from Interrupt
_Timer_isr endp
```

The actual process is similar to the previous routines, however there is a counter that is initialized which represents the time required to perform the code presented above. If this counter expires (counts down to zero) and the transition has not occurred (DREQ to DACK) then the DMA operation is aborted and an error condition is returned which will cause the operation to be retried by the operating system. Furthermore, since the DMA operation was terminated then the adjacent sector is not in danger of being corrupted by the operation.

Thus, through software DMA shadowing, it is possible to determine when the last byte of the transfer is about to be transferred. Therefore, it is possible to disable any hardware and/or software resource that is present in the system that can cause the last data byte's transfer to be delayed. The use of software DMA shadowing accordingly allows system software and device drivers to be used to create a critical region about the last data byte transfer that will ensure that the byte is transferred correctly (and not delayed).

The invention described herein provides a complete software implementation of a device driver that is capable of detecting an undetectable data corruption problem without hardware redesign and/or internal modification to an existing FDC. Furthermore, the unique and innovative approach taken which consists of DMA shadowing and use of a software decoding network allows the implementation of the invention to be small (approximately 3.5 kilobytes in a PC/MS-DOS environment) and only marginally degrade performance (<10%) and only during floppy write operations.

The number of FDCs installed in computer systems today is well over 20 million. In order to solve this problem the vendors of such devices have very few alternatives, of which most are extremely costly. Therefore, a software-only solution to this problem is a significant advance in the computer industry. Moreover, the robustness of the design allows the system and method of the present invention to dynamically adjust to processor speeds that encompass the original IBM Personal Computers executing at 4.77 Mhz to the latest workstations that execute at well over 50 MHz.

The present invention may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative, and not restrictive. The scope of the invention is, therefore, indicated by the appended claims, rather than by the foregoing description. All changes which come within the meaning and range of equivalency of the claims are to be embraced within their scope.

What is claimed and desired to be secured by United States Letters Patent is:

1. A method for detecting and preventing floppy diskette controller data transfer errors in computer systems having:
    a central processing unit (CPU);
    a system interrupt timer;
    a floppy diskette, the floppy diskette having at least one sector for receiving multiple data bytes;
    a floppy diskette controller (FDC) for controlling the transfer of data to the floppy diskette;
    means associated with the FDC for providing a data request (DREQ) signal and a data acknowledge (DACK) signal, the DREQ signal being provided when data transfer is requested and the DACK signal being provided when data transfer is permitted; and
    means for counting data bytes transferred to the floppy diskette, said counting means providing a data transfer byte count,
    the method comprising the steps of:
    determining if a requested computer system operation is a floppy diskette write operation;
    reading the data transfer byte count provided by said counting means;
    monitoring data byte transfers to the floppy diskette so as to determine when a last data byte is being transferred to a sector of the floppy diskette;
    measuring time between the data request (DREQ) and data acknowledge (DACK) signals for said last data byte transfer to a sector of the floppy diskette; and
    forcing an error condition if the measured time between said DREQ and DACK signals exceeds a specified value.

2. A method for detecting and preventing floppy diskette controller data transfer errors in computer systems having:
    a central processing unit (CPU);
    a system interrupt timer;
    a floppy diskette, the floppy diskette having at least one sector for receiving multiple data bytes;
    a floppy diskette controller (FDC) for controlling the transfer of data to the floppy diskette;
    means associated with the FDC for providing a data request (DREQ) signal and a data acknowledge (DACK) signal, the DREQ signal being provided when data transfer is requested and the DACK signal being provided when data transfer is permitted; and
    means for counting data bytes transferred to the floppy diskette, said counting means providing a data transfer byte count,
    the method comprising the steps of:
    determining if a requested computer system operation is a floppy diskette write operation;

5,379,414

21

hooking an interrupt vector and directing it to an
   interposer routine;
reprogramming the system interrupt timer to inter-
   rupt faster than normal;
installing and calling a software decoding network
   call vector;
reading the data transfer byte count provided by said
   counting means;
monitoring data byte transfers to the floppy diskette
   so as to determine when a last data byte is being
   transferred to a sector of the floppy diskette;
measuring time between the data request (DREQ)
   and data acknowledge (DACK) signals for said last
   data byte transfer to a sector of the floppy diskette;
forcing an error condition if the measured time be-
   tween said DREQ and DACK signals exceeds a
   specified value;
reprogramming the system interrupt timer to inter-
   rupt normally; and
unhooking said interrupt vector.

3. A method for detecting and preventing floppy
diskette controller data transfer errors as defined in
claim **1** wherein said means for counting data bytes
comprises a data transfer count register of a direct mem-

22

ory access (DMA) controller and wherein the reading
step comprises reading the DMA controller's data
transfer count register.

4. A method for detecting and preventing floppy
diskette controller data transfer errors as defined in
claim **1** further comprising the step of hooking an inter-
rupt vector and directing it to an interposer routine.

5. A method for detecting and preventing floppy
diskette controller data transfer errors as defined in
claim **1** further comprising the step of reprogramming
the system interrupt timer to interrupt faster than nor-
mal.

6. A method for detecting and preventing floppy
diskette controller data transfer errors as defined in
claim further comprising the step of installing and call-
ing a software decoding network call vector.

7. A method for detecting and preventing floppy
diskette controller data transfer errors as defined in
claim **2** wherein said means for counting data bytes
comprises a data transfer count register of a direct mem-
ory access (DMA) controller and wherein the reading
step comprises reading the DMA controller's data
transfer count register.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO.   :   5,379,414

DATED   :   January 3, 1995

INVENTOR(S) :   Phillip M. Adams

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In column 10, line 34, after "Remarks:", please delete "Timer enable", and insert therefor -- _Timer_enable --.

In column 11, line 18, please delete "cloak", and insert therefor -- clock --.

In column 12, line 20, please delete "Sector" and insert therefor -- sector --.

In column 22, line 15, after "claim", please insert -- 1 --.

Signed and Sealed this

Twenty-third Day of May, 1995

Attest:

BRUCE LEHMAN

Attesting Officer   Commissioner of Patents and Trademarks

Exhibit B

US005983002A

United States Patent [19]

Adams

[11] **Patent Number:** 5,983,002

[45] **Date of Patent:** Nov. 9, 1999

[54] **DEFECTIVE FLOPPY DISKETTE CONTROLLER DETECTION APPARATUS AND METHOD**

[75] Inventor: **Phillip M. Adams**, Salt Lake City, Utah

[73] Assignee: **Phillip M. Adams & Associates, L.L.C.**, Salt Lake City, Utah

[21] Appl. No.: **08/729,172**

[22] Filed: **Oct. 11, 1996**

[51] Int. Cl.^{6} **G06F 11/263**

[52] U.S. Cl. **395/183.18**; 395/183.17; 395/842; 371/62

[58] Field of Search 395/183.18, 185.07, 395/183.21, 842, 843, 183.17; 371/21.2, 21.3, 61, 62

[56] **References Cited**

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Primary Examiner—Robert W. Beausoliel, Jr.
Assistant Examiner—Scott T. Baderman
Attorney, Agent, or Firm—Madson & Metcalf

[57] **ABSTRACT**

A system and method which provides a complete software implementation of a detection process that is capable of detecting defective Floppy Diskette Controllers ("FDCs") without visual hardware inspection or identification. The approach taken includes a multi-phase strategy incorporating programmatic FDC identification, software DMA shadowing, defect inducement, and use of a software decoding network which allows the implementation of the invention to adjust to a wide range of computer system performance levels.
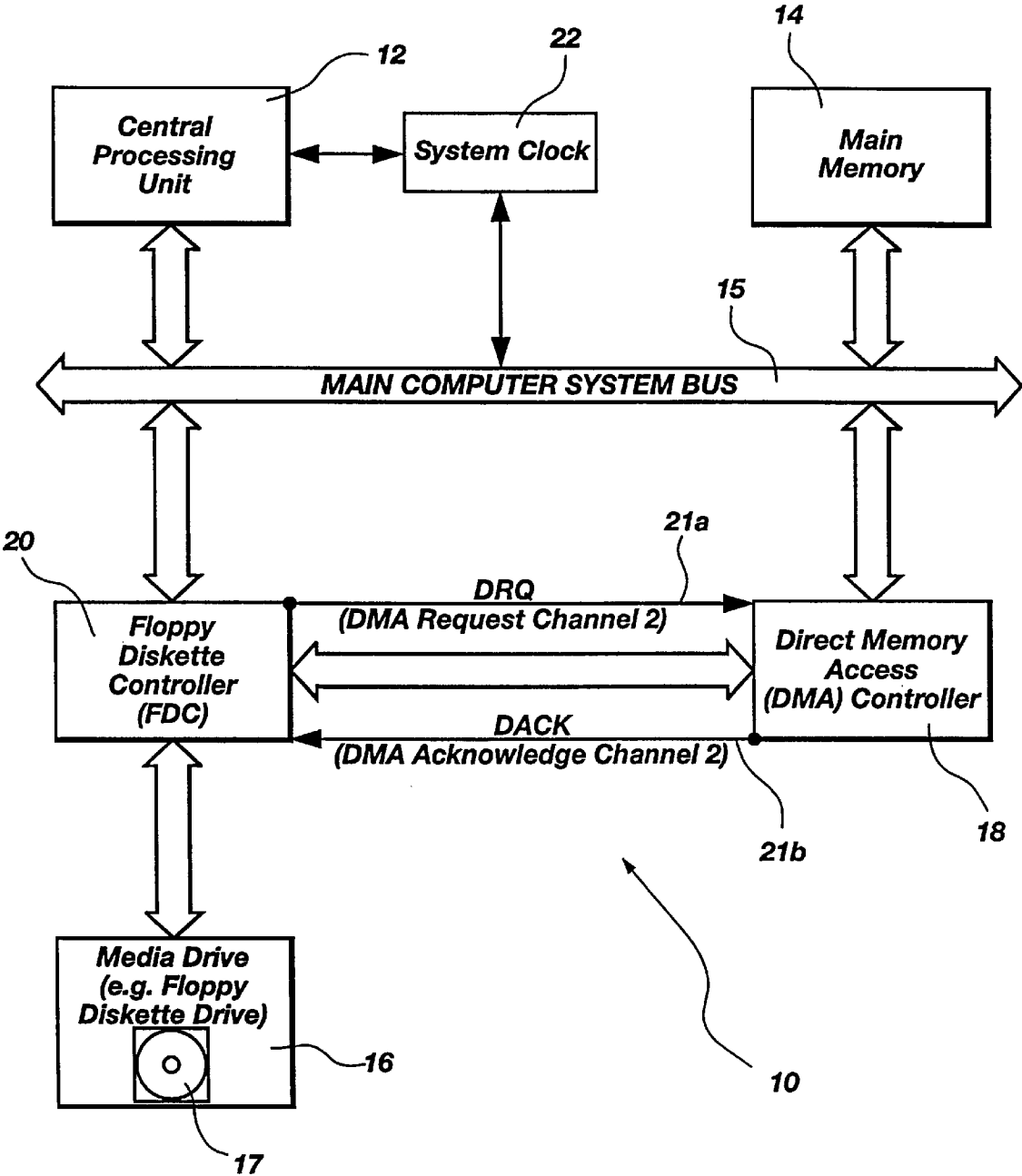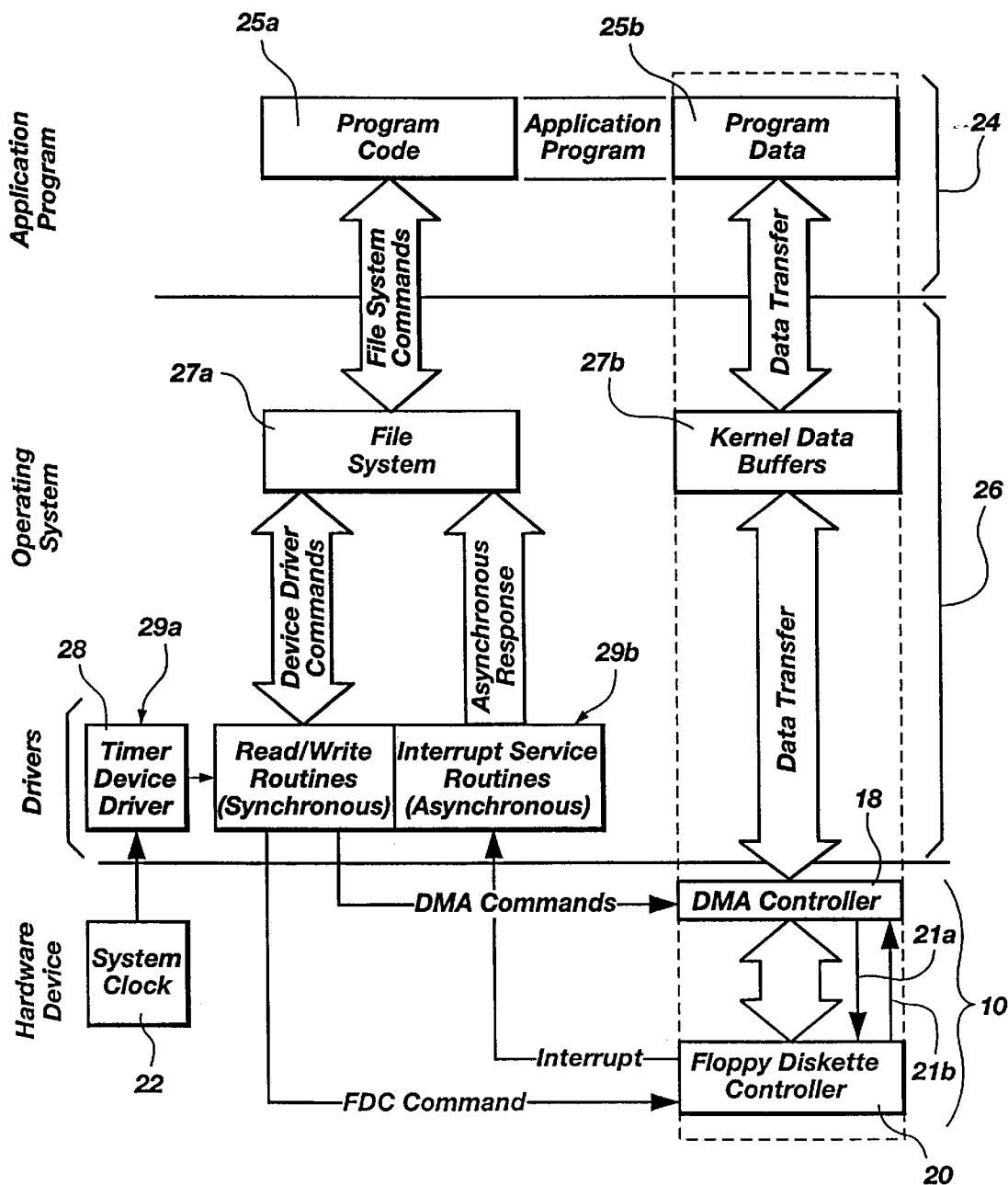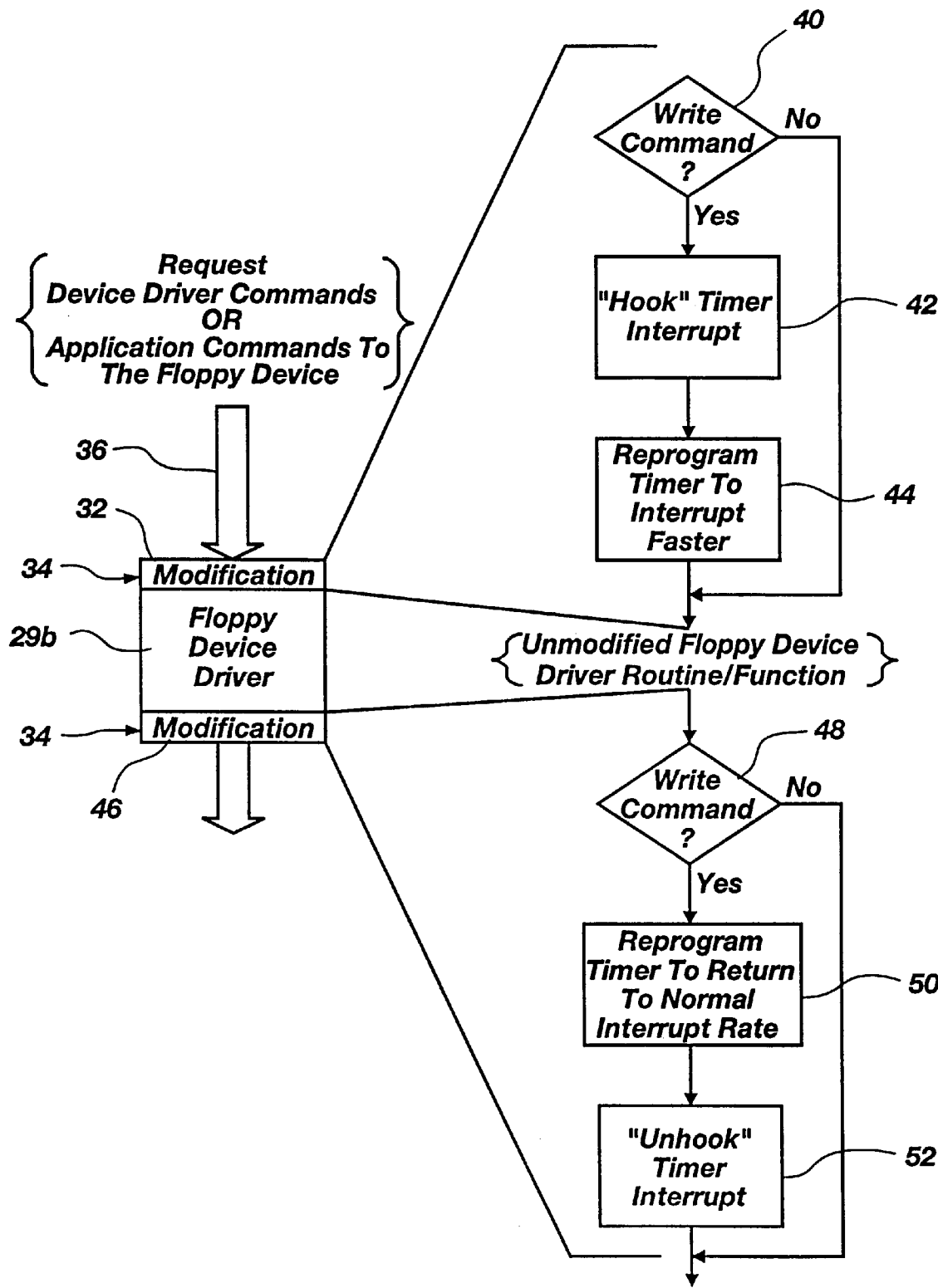
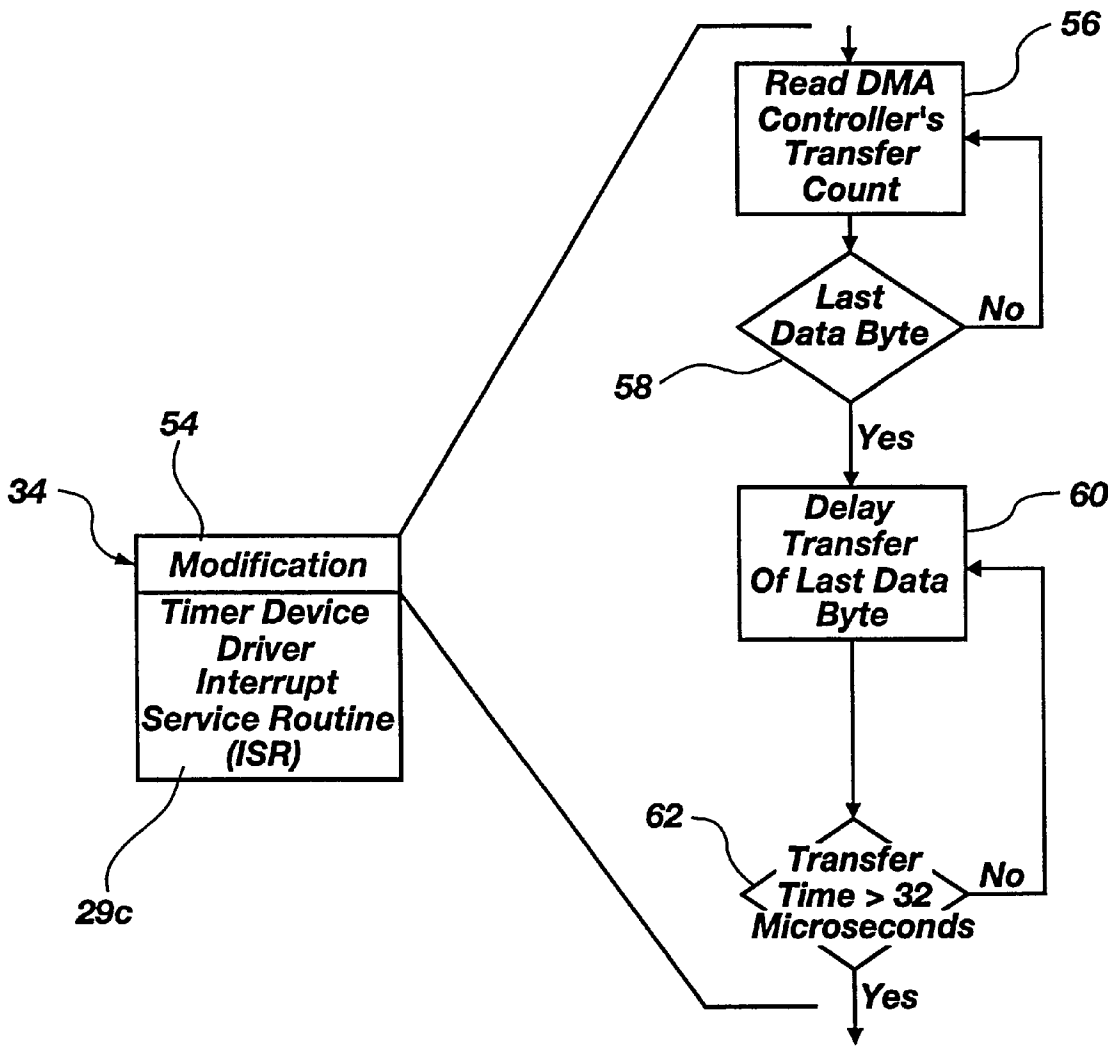**15 Claims, 7 Drawing Sheets**

Fig. 1

Fig. 2

40

Write Command ?   No

Yes

"Hook" Timer Interrupt   *42*

Reprogram Timer To Interrupt Faster   *44*

{ **Request Device Driver Commands OR Application Commands To The Floppy Device** }

36

32

34

Modification

29b   **Floppy Device Driver**

{ **Unmodified Floppy Device Driver Routine/Function** }

34   **Modification**

46

48

Write Command ?   No

Yes

Reprogram Timer To Return To Normal Interrupt Rate   *50*

"Unhook" Timer Interrupt   *52*

Fig. 3

Fig. 4

Fig. 5

100 — (Main Program)

102 — **Issue FDC Command 0x10**

24

104 — Status = 0x80 — **No**

↓ **Yes**

110 — **Hook Timer INT (INT_0x8) & Increase Timer Interrupt Rate**

112 — **1) Format Last 10 Bytes Of Sector Write Buffer With: "0123456789"
2) Write Sector Write Buffer Using BIOS Diskette Interface**

114 — Write Error ? — **Yes** → 116 **Increment Detectable Write Error Count And Increment Number Of Sectors Written**

↓ **No**

118 — **Read Previously Written Sector Using BIOS Diskette Interface And Increment Number Of Sectors Written**

120 — Last Byte Of Read Buffer = "8" — **No**

↓ **Yes**

122 — **Increment Number Of Undetected FDC Errors (Written Data Was Corrupted)**

117 — # of Sectors Written = # For Test — **No**

↓ **Yes**

106 — **Display Results Of Test Including Whether The FDC Is Defective Or Not**

108 — (Exit)

Fig. 6

125

124

```
          ╭─────────────────────────╮
          │       Timer ISR         │
          │ (Interrupt Service      │
          │        Routine)         │
          ╰─────────────────────────╯
                    │
                    ▼
          ┌─────────────────────┐
          │  Read DMA Count     │──── 126
          │       and           │
          │  Read Timer Count   │
          └─────────────────────┘
                    │
                    ▼
            DMA Count
            Changed                No
  128 ──  OR Time > Byte  ──────────┐
            Transfer Time           │
                    │ Yes           │
                    ▼               │
  130 ──    DMA Count       No      │
            Changed?  ──────────────┤
                    │ Yes           │
                    ▼               │
            DMA Count               │
              Within       No       │
  132 ──  End-Of-Sector ────────────┤
              Range?                │
                    │ Yes           │
                    ▼               │
  134 ──      DMA        No         │
            Count = 0  ─────────────┤
                ?                   │
                    │ Yes           │
                    ▼               │
          ┌─────────────────────┐   │
          │  Set Channel 1      │   │
          │  DMA Active         │   │
  136 ──  │  OR Mask            │   │
          │ Channel 2 DMA       │   │
          │  For More           │   │
          │ Than 32 uSec        │   │
          └─────────────────────┘   │
                    │               │
                    ▼               │
  138 ──      ╭──────────╮ ◄────────┘
              │   Exit   │
              ╰──────────╯
```

Fig. 7

5,983,002

1

DEFECTIVE FLOPPY DISKETTE CONTROLLER DETECTION APPARATUS AND METHOD

BACKGROUND

1. The Field of the Invention

This invention relates to the detection of defective Floppy Diskette Controllers ("FDCs") where an undetected data error causes data corruption and, more particularly, to novel systems and methods implemented as a software-only detection mechanism which eliminates the need for visual inspection or identification of the FDCs.

2. The Background Art

Computers are now used to perform functions and maintain data that is critical to many organizations. Businesses use computers to maintain essential financial and other business data. Computers are also used by government to monitor, regulate, and even activate, national defense systems. Maintaining the integrity of the stored data is essential to the proper functioning of these computer systems, and data corruption can have serious (even life threatening) consequences.

Most computer systems include diskette drives for storing and retrieving data on floppy diskettes. For example, an employee of a large financial institution may have a personal computer that is attached to the main system. In order to avoid processing delays on the mainframe, the employee may routinely transfer data files from a host system to a local personal computer and then back again, temporarily storing data on a local floppy diskette. Similarly, an employee with a personal computer at home may occasionally decide to take work home, transporting data away from and back to the office on a floppy diskette.

Data transfer to and from a floppy diskette is controlled by a device called a Floppy Diskette Controller ("FDC"). The FDC is responsible for interfacing the computer's Central Processing Unit ("CPU") with the physical diskette drive. Significantly, since the diskette is spinning, it is necessary for the FDC to provide data to the diskette drive at a specified data rate. Otherwise, the data will be written to a wrong location on the diskette.

The design of an FDC accounts for situations occurring when a data rate is not adequate to support a rotating diskette. Whenever this situation occurs, the FDC aborts the write operation and signals to the CPU that a data underrun condition has occurred.

Unfortunately, however, it has been found that a design flaw in many FDCs makes impossible the detection of certain data underrun conditions. This flaw has, for example, been found in the NEC 765, INTEL 8272 and compatible Floppy Diskette Controllers. Specifically, data loss and/or data corruption may routinely occur during data transfers to or from diskettes (or even tape drives and other media attached via the FDC), whenever the last data byte of a sector being transferred is delayed for more than a few microseconds. Furthermore, if the last byte of a sector write operation is delayed too long then the next (physically adjacent:) sector of the diskette will be destroyed as well.

For example, it has been found that these faulty FDCs cannot detect a data underrun on the last byte of a diskette read or write operation. Consequently, if the FDC is preempted or otherwise suspended during a data transfer to the diskette (thereby delaying the transfer), and an underrun occurs on the last byte of a sector, the following occur: (1) the underrun flag does not get set, (2) the last byte written

2

to the diskette is made equal to the previous byte written, and (3) a successful Cyclic Redundancy Check ("CRC") is generated on the improperly altered data. The result is that incorrect data is written to the diskette and validated by the FDC.

Conditions under which this problem may occur have been identified in connection with the instant invention by identifying conditions that can delay data transfer to or from the diskette drive. In general, this requires that the computer system be engaged in "multi-tasking" operation or in overlapped input/output ("I/O") operation. Multi-tasking is the ability of a computer operating system to simulate the concurrent execution of multiple tasks.

Importantly, concurrent execution is only "simulated" because only one CPU exists in a typical personal computer. One CPU can only process one task at a time. Therefore, a system interrupt is used to rapidly switch between the multiple tasks, giving the overall appearance of concurrent execution.

MS-DOS and PC-DOS, for example, are single-task operating systems. Therefore, one could argue that the problem described above would not occur. However, a number of standard MS-DOS and PC-DOS operating environments simulate multi-tasking and are susceptible to the problem.

In connection with the instant invention, for example, the following environments have been found to be prime candidates for data loss and/or data corruption due to defective FDCs: local area networks, 327x host connections, high density diskettes, control print screen operations, terminate and stay resident ("TSR") programs. The problem also occurs as a result of virtually any interrupt service routine. Thus, unless MS-DOS and PC-DOS operating systems disable all interrupts during diskette transfers, they are also highly susceptible to data loss and/or corruption.

The UNIX operating system is a multi-tasking operating system. It has been found, in connection with the instant invention, how to create a situation that can cause the problem within UNIX. One example is to begin a large transfer to the diskette and place that transfer task in the background. After the transfer has begun then begin to process the contents of a very large file in a way that requires the use a Direct Memory Access ("DMA") channel of a higher-priority than that of the floppy diskette controller's DMA channel. These might include, for example, video updates, multi-media activity, etc. Video access forces the video buffer memory refresh logic on DMA channel 1, along with the video memory access, which preempts the FDC operations from occurring on DMA channel 2 (which is lower priority than DMA channel 1).

This type of example creates an overlapped I/O environment and can force the FDC into an undetectable error condition. More rigorous examples include a concurrent transfer of data to or from a network or tape drive using a high priority DMA channel while the diskette transfer is active. Clearly, the number of possible error producing examples is infinite, yet each is highly probable in this environment.

For all practical purposes the OS/2 and newer Windows operating systems can be regarded as UNIX derivatives. They suffer from the same problems that UNIX does. Two significant differences exist between these operating systems and UNIX.

First, they both semaphore video updates with diskette operations tending to avoid forcing the FDC problem to occur. However, any direct access to the video buffer, i:n either real or protected mode, during a diskette transfer will bypass this feature and result in the same faulty condition as UNIX.

5,983,002

3

Second, OS/2 incorporates a unique command that tends to avoid the FDC problem by reading back every sector that is written to the floppy diskette in order to verify that the operation completed successfully. This command is an extension to the MODE command (MODE DSKT VER= ON). With these changes, data loss and/or data corruption should occur less frequently than otherwise. However, the FDC problem may still destroy data that is not related to the current sector operation.

A host of other operating systems are susceptible to the FDC problem just as DOS, Windows, Windows 95, Windows NT, OS/2, and UNIX. However, these systems may not have an installed base as large as DOS, Windows, OS/2 or UNIX, and may, therefore, receive less motivation to address the problem. Significantly, as long as the operating systems utilize the FDC and service system interrupts, the problem can manifest itself. This can occur in computer systems that use virtually any operating system.

Some in the computer industry have suggested that data corruption by the FDC is extremely rare and difficult to reproduce. This is similar to the argument presented during the highly publicized 1994 defective INTEL Pentium scenario. Error rate frequencies for the defective Pentium ranged from microseconds to tens-of-thousands of years! The FDC problem is often very difficult to detect during normal operation because of its random characteristics. The only way to visibly detect this problem is to have the FDC corrupt data that is critical to the operation at hand. However, many locations on the diskette may be corrupted, yet not accessed. In connection with the instant invention, the FDC problem has been routinely reproduced and may be more common than heretofore believed.

Computer users may, in fact, experience this problem frequently and not even know about it. After formatting a diskette, for example, the system may inform the user that the diskette is bad, although the user finds that if the operation is performed again on the same diskette everything is fine. Similarly, a copied file may be unusable, and the computer user concludes that he or she just did something wrong. For many in this high-tech world, it is very difficult to believe that the machine is in error and not themselves. It remains typical, however, that full diskette back-ups are seldom restored, that all instructions in programs are seldom, if ever, executed, that diskette files seldom utilize all of the allocated space, and that less complex systems are less likely to exhibit the problem.

Additionally, the first of these faulty FDCs was shipped in the late 1970's. The devices were primarily used at that time in special-purpose operations in which the FDC problem would not normally be manifest. Today, on the other hand, the FDCs are incorporated into general-purpose computer systems that are capable of concurrent operation (multi-tasking or overlapped I/O). Thus, it is within today's environments that the problem is most likely to occur by having another operation delay a data transfer to a diskette. The more complex a computer system, the more likely it is that one activity will delay another, thereby creating an FDC error condition.

In short, the potential for data loss and/or data corruption is present in all computer systems that utilize the defective version of this type of FDC, presently estimated at about 50 million personal computers. The design flaw in the FDC causes data corruption to occur and manifest itself in the same manner as a destructive computer virus. Furthermore, because of its nature, this problem has the potential of rendering even secure databases absolutely useless.

4

Various conventional ways of addressing the FDC problem, such as a hardware recall, have significant associated costs, risks and/or disadvantages. In addition to a solution to the FDC problem, an apparatus and method are needed to accurately, rapidly, reliably, and correctly, identify any defective FDC. The identification of defective FDCs is the first step in attempting to solve the problem of defective FDCs. A solution method and apparatus for repairing a defective FDC are disclosed in U.S. Pat. No. 5,379,414 incorporated herein by reference.

BRIEF SUMMARY AND OBJECTS OF THE INVENTION

In view of the foregoing, it is a primary object of the present invention to provide a method and apparatus for detecting defective Floppy Diskette Controllers ("FDCs").

It is another object of the present invention to provide a software (programmatic) solution that may be implemented in a general purpose digital computer, which eliminates the need for visual inspection and identification of the defective FDCs as well as the need for any hardware recall and replacement.

Consistent with the foregoing objects, and in accordance with the invention as embodied and broadly described herein, an apparatus and method are disclosed in one embodiment of the present invention as including data structures, executable modules, and hardware, implementing a detection method capable of immediately, repeatably, correctly, and accurately detecting defective FDCs. The apparatus and method may rely on 1) determining whether or not the FDC under test is a new model FDC (non-defective), and 2) if the FDC under test is not a new model FDC, installing an interposer routine to force the FDC to delay a transfer of a last data byte of a sector either to or from the floppy diskette whose controller is tested. A test condition is thus created in the hardware to cause defective FDCs to corrupt the last data byte of the sector. A second portion of an apparatus and method may confirm a diagnosis. Thus the apparatus and method may ensure that old-model non-defective FDCs are not wrongly identified as defective.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects and features of the present invention will become more fully apparent from the following description and appended claims, taken in conjunction with the accompanying drawings. Understanding that these drawings depict only typical embodiments of the invention and are, therefore, not to be considered limiting of its scope, the invention will be described with additional specificity and detail through use of the accompanying drawings in which:

FIG. 1 is a schematic block diagram of an apparatus illustrating the architecture of a computer system for testing a floppy diskette controller ("FDC")in accordance with the invention;

FIG. 2 is a schematic block diagram illustrating software modules executing on the processor and stored in the memory device of FIG. 1, including application programs, operating systems, device drivers and computer system hardware such as a floppy diskette;

FIG. 3 is a schematic block diagram of a flow chart depicting one presently preferred embodiment of certain modifications that may be applied to a diskette device driver in order to force an otherwise undetected error condition to occur in a defective FDC, thus enabling the defective FDC detection apparatus and method of the present invention to be activated;

5,983,002

5   6

FIG. **4** is a schematic block diagram of a flow chart depicting one presently preferred embodiment of certain modifications that may be made to a timer Interrupt Service Routine ("ISR") to allow timing of a transfer byte's DMA request and DMA acknowledge (DREQ/DACK) cycle in order to ensure that proper conditions exist to create data corruption associated with defective FDCs in accordance with the present invention;

FIG. **5** is a schematic block diagram of a flow chart depicting one presently preferred embodiment of a software decoding network (software vector-table) for use in connection with a defective FDC detection apparatus and method in accordance with the present invention, the software decoding network having one code point/entry for each possible transfer byte in a sector;

FIG. **6** is a schematic block diagram of a flow chart depicting one presently preferred embodiment of an application implementation of the apparatus and method of FIGS. **3** and **4**, wherein a main "driver" portion of an application forces an undetected error condition in a defective FDC enabling activation of a the defective FDC detection system in accordance with the invention; and

FIG. **7** is a schematic block diagram of a flow chart depicting one presently preferred embodiment of certain modifications that may be made to a timer Interrupt Service Routine embedded within the application of FIG. **6** to allow timing of a last byte's DREQ/DACK cycle, ensuring that proper conditions exist to create data corruption associated with defective FDCs in accordance with the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

It will be readily understood that the components of the present invention, as generally described and illustrated in the Figures herein, could be arranged and designed in a wide variety of different configurations. Thus, the following more detailed description of the embodiments of the system apparatus and method of the present invention, as represented in FIGS. **1** through **7**, is not intended to limit the scope of the invention, as claimed, but it is merely representative of the presently preferred embodiments of the invention.

The presently preferred embodiments of the invention will be best understood by reference to the drawings, wherein like parts are designated by like numerals throughout.

The architecture of an apparatus **10**, including computer system implementing one embodiment of the invention is illustrated in FIG. **1**. A Central Processing Unit ("CPU") **12** and main memory **14** may be connected by a bus **15** inside a computer system unit. Instructions (executables) and data structures used by the CPU **12** are kept in main memory **14** during computer work sessions. Main memory **14** is, however, not a permanent storage place for information; it is active only when the apparatus **10** (computer system) is powered up (on). Thus, to avoid losing data, data must be saved on some type of non-volatile storage device. For example, the apparatus may use a "hard disk" storage device permanently installed in the computer system. A computer system **10** may have at least one floppy diskette drive **16** that receives a removable floppy diskette (magnetic storage medium). The floppy diskette likewise may be used for "permanent" (non-volatile) storage of data or software (executables) outside of the computer system **10** flexible (floppy) diskettes are especially useful for transferring data and information between separate computer systems **10**.

In transferring data to a floppy diskette, the CPU **12** may program a Direct Memory Access ("DMA") controller **18** for an input/output ("I/O") transfer. The CPU **12** issues a command to a Floppy Diskette Controller ("FDC") **20** to begin the I/O transfer, and then waits for the FDC **20** to interrupt the CPU **12** with a completion interrupt signal. It is also possible to perform Programmed I/O ("PIO") directly between the CPU **10** and the FDC **20** without involving the DMA controller **18**. This latter approach is seldom used; the majority of computer systems **10** employ DMA for I/O transfers to and from the floppy diskette drive **16**. The invention will thus be described below with particular reference to the DMA controller **18**. If PIO is employed, however, then an I/O transfer is totally controlled by the CPU **12** because the CPU **12** is required to pass each and every data byte to the FDC **16**. As a result, the "DMA shadowing" system and method in accordance with the invention may be directly applied to a PIO data stream. This is readily tractable because the CPU **12** already is controlling the I/O transfer, as will become more readily apparent.

A computer system **10** may have a system clock **22**. The system clock **22** is beneficial when initiating an I/O transfer to the diskette drive **16** because one must not only control the data transfer, but also a drive motor. In this regard, it is important to know when the diskette drive motor has brought a diskette's spin rate up to a nominal RPM required for a data transfer to be successful.

For example, in IBM Personal Computers and "compatibles," the system clock **22** interrupts the CPU **12** at a rate of 18.2 times per second (roughly once every 54.9 milliseconds). This interrupt is used to determine such things as diskette drive motor start and stop time. There are also a host of other time-dependent operations in the computer system **10** that require this granularity of timing.

One presently preferred embodiment of an association between application programs **24** (executables), operating systems **26**, device drivers and hardware is depicted in FIG. **2**. The example presented corresponds to a floppy diskette having a controller **16**.

A system suitable for implementing the invention may include an application program **24** including both executable code **25a** and associated data **25b**. The application **24** may interface with the hardware apparatus **10** through an operating system **26**. The operating system may include a file system **27a** as well as selected buffers **27b**. The file system **27a** may include an executable for file system management as well as operating system interfacing. The file system **27a** may issue commands to drivers **28**.

The drivers **28** may include a timer device driver **29a**, including a timer ISR, interfacing to the system clock **22**. Likewise, a media drive driver **29b**, alternatively referred to as a media driver **29b** may be included. The media driver may interface with a floppy diskette drive or other media drive **16** to maintain persistent storage on media **17**. Although a media drive **16** may typically relate to floppy diskettes, tape drives and other magnetic media may also be used in an apparatus and method in accordance with the invention.

The media driver **29b** may be responsible for sending instructions and control signals to the media drive controller **20**, which is typically embodied as a floppy diskette controller **20**. Similarly, the media driver **29b** may instruct and control the DMA controller **18**. The DMA controller manages data transfers between the floppy diskette controller (FDC **20**) and the main memory device **14**. A DMA request (DRQ;DREQ **21a**) may pass from FDC controller **20** to the

5,983,002

7   8

direct memory access controller **18** (DMA controller **18**). Likewise, a DMA acknowledge **21***b* or acknowledgement **21***b*, alternatively referred to as a (DACK **21***b*) may be returned from the DMA controller **18** to the FDC **20**.

Referring now to FIGS. **3–5**, and more FIGS. **3** and **4**, a method in accordance with the present invention include a module **32**, one of several interposer routines **34**, which is placed between an application's **24** request **36** for floppy service and a floppy device driver **29***b*. The interposer routine **32** is actually a new or modified device driver that forces certain undetected FDC data corruption conditions to exist. As shown, the interposer **32** first tests **40** whether an operation requested **36** is a floppy diskette write operation. Read operations are equally susceptible to the problem and may be used in the detection process, if desired. If so, the major function of the interposer **32** is to insert itself between the application request **36** for floppy service and the floppy device driver **29***b* that will service the request. In a PC/MS-DOS environment, this can be accomplished by "hooking" the INT 0×13 interrupt vector and directing it to the FDD prefix **32** or interposer routine **32**. Reprogramming **44** the timer **22** to interrupt faster (e.g., every 4–7 milliseconds) than normal (e.g. 54.9 milliseconds).

As will become more fully apparent from the following discussion, once a floppy write operation is detected, in a test **40** a software decoding network call vector of the timer interrupt **54** (see FIGS. **4–5**) is preferably installed. The current byte count is read **56**, and DMA shadowing **58** begins. When a test **58** shows that a current DMA transfer count (countdown) has reached 0, then the interposer routine **54** delays **60** the DMA transfer of the last byte of the sector transfer. The delay continues until a test **62** determines that the elapsed time is greater than the maximum time required for a data byte to be transferred to the medium **17** (e.g. a low-density diskette; >32 uSec).

This delay **60** forces defective FDCs **20** into an undetected data corruption condition. This condition can be tested **120** by reading back **118** the written data to see whether the last byte or the next-to-the-last byte was actually written to the last byte location of the sector.

Referring again to FIG. **3**, the system clock **22** may be reprogrammed **50** in a suffix routine **46** appended to the floppy device driver **29***b*. The system clock **22** may then interrupt normally (e.g., every 54.9 milliseconds). The timer interrupt **54** is "unhooked" **50** until the test **40** reports the next floppy write operation.

One could allow the timer **22** (clock **22**) to always interrupt at the accelerated rate. Then, a check the timer Interrupt Service Routine ("ISR") **29***c* (see FIG. **4**), within the timer device driver **29***a*, may then determine whether a media (e.g. diskette) write operation is active. Likewise, it is possible to randomly check to see if the last byte of a floppy sector write operation is in progress. However, the foregoing method has superior efficiency and accuracy in creating the condition required for the detection of defective FDCs.

As used herein, "DMA shadowing" may be thought of as programmatic CPU **12** monitoring of data (byte) transfers and timing the last byte of a sector's DREQ **21***a* to DACK **21***b* signals. Importantly, there are, of course, a number of ways of determining when the DREQ **21***a* is present and when the DACK **21***b* is present. The present invention may include the use of any "DMA shadowing" whether the DREQ **21***a* and DACK **21***b* signals are detected at the DMA controller **18**, CPU **12**, system bus **15** or FDC **20**. This includes both explicit means, and implicit means.

For example, inferring the state of the DREQ/DACK cycle is possible from various components in the system that

are triggered or reset from transitions of such signals **21***a*, **21***b*. In one embodiment the DACK **21***b* may cause a Terminal Count ("TC") signal to be asserted by the DMA controller **18**. Therefore, one may imply from the detection of the TC that a DACK **21***b* has occurred.

Whenever an application **24** requests a write operation of the media drive **16**, the system clock **22** may be reprogrammed to interrupt, for example, every 4 to 7 milliseconds. Referring again to FIGS. **4–5**, each time the system clock **22** interrupts, the current byte count in the transfer register (countdown register) DMA controller **18** is read **56**. Once the test **58** indicates that the byte counter has reached the last byte, the signal transition from DREQ **21***a* to DACK **21***b* may be timed and accordingly delayed **60**. This transition may be forced to be greater than the maximum time required to transfer one data byte as indicated in the test **62**.

Therefore, defective FDCs **20** are forced into an undetected data corruption state. This state may be detected by writing known data patterns to the next-to-the-last and the last data bytes. Reading the data back will reveal which of the two data bytes was stored in the last byte of the sector. Finally, it is possible to also detect defective FDCs **20** by significantly increasing the delay time during the transfer of the last byte of a sector. This forces the next physically adjacent sector to be zeroed out except for the first byte of that sector.

For the system to maintain proper operation, an interposer routine **34** should save the original INT 0×13 (Hex 13th interrupt vector) contents (address of the original INT 0×13 Interrupt Service Routine) and invoke the original when necessary. Additional aspects of the interposer function **34** are discussed below in connection with other features of the device driver **29***b*.

This implementation of the apparatus and method of the present invention is contemplated for use on an IBM Personal Computer running the PC/MS-DOS operating system. Versions have, however, been developed to operate in the Windows, OS/2 and UNIX environments and may be embodied for other operating systems. The invention is not limited to use with any particular operating system, and adaptations and changes which may be required for use with other operating systems will be readily apparent to those of ordinary skill in the art.

As depicted graphically in FIG. **4** below, a timer ISR routine **29***c* is used for servicing the accelerated interrupt rate of the system clock **22**. The reason that the system clock interrupt rate is accelerated is that during a normal 512 byte data transfer (the typical sector size) 16 microseconds are required for each data byte to be transferred to the FDC (High Density Diskette Mode). Therefore, a typical sector transfer requires 512 times 16 microseconds, or 8,192 microseconds. If the diskette is a low density diskette then the sector transfer time is doubled to 16,384 microseconds (512 times 32 microseconds) because the FDC has half of the amount of data to store in the same rotational time frame (typically 360 RPM).

Referring to FIG. **5**, the timer ISR routine **29***c* within the timer device driver **29***a* with its prefix **54** performs checks on the system **10** to determine if the system **10** is actually transferring data to the FDC **20**. If a sector transfer is not in progress then the timer ISR prefix **54** exits immediately. However, if a sector transfer is in progress then the timer ISR prefix **54** obtains the remaining byte count of the sector transfer **70** and vectors (jumps) through the software decoding network **72** (DMA count table **72**) to an appropriate processing routine **84, 86, 88**.

5,983,002

9   10

Although the steps **56**, **58** of the module **54** may be implemented with the timer **22** continually interrupting every 8, 16, or 32 microseconds. This level of interrupts may totally consume a PC's processing power, and on most PCs could not be sustained. Thus, in order to perform I)MA shadowing without affecting the total system performance it is important to allow normal operations to continue as usual. It is desirable to have an interrupt (the system clock **22**) that will interrupt close to the end of the sector transfer so that the DREQ **21**a to DACK **21**b timing may be determined on the last byte of the sector transfer.

Thus, it is possible to DMA shadow **58** all 512 bytes during a sector transfer, but that would cause the CPU to be totally consumed during the entire sector transfer time. The potential of losing processing activities elsewhere in the system are greatly increased, as in serial communications. Therefore, the clock interrupt routine **29**c or method **29**c of FIG. **5** may reduce the CPU involvement to a bare minimum during those floppy write operations with DMA Shadowing. Significantly, the timing may be adjusted to any number of bytes of a sector transfer, from a few bytes to the entire sector count.

One operation performed in the timer ISR routine **29**c is to vector through the software decoding network **72** to the appropriate processing routine **84**, **86**, **88**. This process is illustrated graphically in FIG. **5**. The software decoding network **72** (software vector-table **72**) has one code point/entry **74**, **80**, **82** for each possible transfer byte in the sector.

The timer interrupt rate can now be in terms of 10's or 100's of byte transfer times. The vector table **72** may cause the program execution of the CPU **12** to enter a cascade **86** of DREQ **21**a/DACK **21**b checks only when the transfer (sector) will complete prior to the next timer interrupt. In short, the first entries **74** in the vector table **72** will return **84**, since another timer interrupt will occur before the sector transfer completes. The latter entries **80**, within the desired range, will cascade **86** from one DREQ **21**a/DACK **21**b detection to another (shadowing **58** the DMA transfers) until the last byte is transferred.

On the last byte being transferred, the data byte may be delayed by either activating a higher priority DMA **18** channel or masking the DMA channel of the FDC **20**. Although these two techniques are the simplest to program, numerous alternatives may be used to delay **60** data transfers on the DMA **18** channel of the FDC **20**, in accordance with the invention

This software decoding network process **54** is the fastest known software technique for decoding and executing time-dependent situations. Space in the memory space **14** (e.g. the software decoding network vector table **72**) is traded for processing time, the amount of time it would take for one routine to subsume all functionality encoded in each of the routines **84**, **86**, **88** vectored to through the software decoding network vector table **72**.

As indicated above, the entire software decoding network table **72** may be initially set to the address of an "exiting routine **84**." Then depending upon how slow or fast the system clock **22** interrupts, a certain number of the lower-indexed entries **80** of the table **72** may be set to the address of a processing routine **86**. These processing routines **86** may be identical and sequentially located in the routine **54**. Thus, the software decoding network vector table **72** may simply vector the timer ISR routine **29**c within the driver **29**a to the first of n sequentially executed processing routines. Here, n represents the number of bytes remaining in the sector transfer. In this way the last few bytes of the sector

transfer can be accurately monitored (DMA Shadowing **58**) without significantly affecting overall system performance.

Each of the processing routines **86**, except the last one **88**, may perform exactly the same function. It is not necessary to be concerned with the timing between the DREQ **21**a and DACK **21**b signals until the very last data byte of a transfer. Therefore, the routines **86**, **88** above "shadow" **58** the operation of the DMA until the last byte (e.g. corresponding to entry **82** of the vector **72**) at which time the DMA channel of the FDC **20** is delayed as previously described.

Thus, through software DMA shadowing, it is possible to reliably determine when the last byte of the transfer is about to be transferred. Therefore, it is possible to force the last data byte's transfer to be delayed. An alternative approach may include a specialized application program **24** to control all aspects of the operation of the media drive **16**, e.g. floppy diskette drive **16**. This may include a transfer delay of a last byte, as indicated in FIGS. **6** (main application) and **7** (timer interrupt service routine) All aspects of the previous approach may be present. However, here they may be collected into a single application program **24** performing the required functions. The application program **24** may reprogram the system clock to interrupt at an accelerated rate and services the interrupt itself. The application program may then begin a repeated set of diskette write operations using the BIOS interface interrupt (0×13) and then read the written sectors back. Once the sector has been written and read back the data is compared to determine whether or not an undetected error has occurred. A running total of both detected and undetected errors may be output to a display.

Referring now to FIG. **6**, an application **24** may begin at an entry point **100** leading to an initial command **102**. Command **102** is effective to request of a floppy diskette controller (FDC) **20** an identification. A status return of 0×90 (hexadecimal 90) indicates that a FDC **20** is not defective. Alternatively, the command **102** may give rise to a status return of 0×80 hexadecimal 80. This return does not guarantee that an FDC **20** is not defective.

Thus, a test **104** determines whether or not the status of an FDC **20** is hex 80. A negative response may advance the application **24** to a display step **106**. The display **106** may output results of the application **24**. Results may include an indication of whether the FDC **20** being tested is defective or not. Accordingly, a status not equal to a hex 80 results in the test **104** signifying that an FDC **20** is not defective. The step **108** thereafter exits the application **24**.

A positive response to the test **104** advances the application **24** to a hook **110**. The hook **110** is effective to interpose a timer prefix **124** (see FIG. **7**) corresponding the prefix **34** of FIG. **3**, to be installed to operate at the beginning of a timer ISR **29**c within the timer device driver **29**a.

A test pattern **112** may format the last few (for example, 10) bytes of a sector write buffer **27**b. Any known pattern may suffice, for example, a sequential list of all digits from zero to nine may be used. Importantly, the last two digits in such a sequence should be distinct. Thus, a string "0123456789" may provide a test pattern to be written in the last ten bytes of a sector. The test pattern may then be written from a buffer **27**b to a medium **17** using the BIOS interface for the medium **17** and medium drive **16**.

Following the test pattern **112**, a test **114** may determine whether or not a write error has occurred in writing the buffer **27**b to the medium **17**. A positive response to the test **114** results in an increment step **116**. The increment **116** tracks the number of successful detections of errors. Thus,

5,983,002

11

the increment **116** indicates that another write error was successfully detected by the FDC **20**. Accordingly, the application **24** may advance from the increment **116** to a test **117**. A test **117** may determine the number of sectors to which the FDC **20** has attempted to write. If the response to the comparison of the test **117** is positive, then all tests are completed and the display step **106** follows. On the contrary, a negative response to this test **117** returns the application **24** to the test pattern **112**, initiating another test cycle.

A negative response to the test **114** indicates that a write error, known to exist, was undetected by the FDC **20**. Accordingly, a negative response to the test **114** advances the application **24** to a read **118**. The read **118** reads back the last previously written sector, using the BIOS diskette interface, such as the driver **29b**. The step **118** may then increment the number corresponding to sectors that the FDC **20** has attempted to write.

The application **24** may next advance to the test **120** to determine whether the last byte that th e read step **118** has read back from the written sector to a buffer **27b** is the last, or the next-to-last element of the test pattern from the test pattern step **112**. That is, for example, in the Example above, the test **120** determines whether or not the last byte read back to the buffer **27b** from this sector being tested is "8" a value of "8" indicates that the FDC has failed to write the tenth element of the test pattern into the last byte location of the sector. This indicates that the FDC has not indicated a write error in the test **114**, and yet has produced the error detected by the test **120**. Thus, the last sector written is corrupted.

A negative response to the test **120** indicates that the last byte was not incorrectly written. Accordingly, the application **24** may advance to the test **117** to determine whether or not the testing is completed. A positive response to the test **120** results in an increment step **122**. The increment step **122** advances the count of undetected errors found during the operation of the FDC **20** during the testing in question. Thus, a step **122** results in a corruption count for sectors attempted to be written by the FDC **20**.

Referring now to FIG. **7**, and also cross-referencing to FIG. **6**, the hook step **110** may install a prefix **54** to a timer ISR **29c** within the timer device driver **29a** (see FIG. **4**). The hook **110** interposes the prefix **124** corresponding to the prefix **54** of FIG. **4**, after a call **125** or entry point **125** to the timer ISR **29c** within the timer device driver **29a**. Accordingly, whenever the timer ISR **29c** within the timer device driver **29a** is called, the prefix **124** will be run before any executables in the timer ISR **29c** within the timer device driver **29a**.

The prefix **124** may begin with a read **126** affective to determine a count corresponding to the number of bytes, or a countdown of the remaining bytes, being transferred by the DMA controller **18** from the main memory **14**, through the buffer **27b** to the FDC **20**. The read **126** may also include a reading of a count (a tick count) of a timer **22** or system clock **22**.

Following the read **126**, a test **128** may determine whether or not an operation is in process affecting the FDC **20**. The FDC **20** is in operation if a count kept by the DMA controller **18** has decremented (changed) within an elapsed time corresponding to the maximum time required for a byte to be transferred. If no change has occurred during that elapsed time, then one may deduce that no activity is occurring. Accordingly, a negative response to the test **128** results in reexecution of the test **128**. Reexecution of the test **128** may continue until a positive response is obtained. Inasmuch as the application **24** is executing a write during the test pattern

12

112, an eventual positive response to the test **128** is assured. In one embodiment of an apparatus and method in accordance with the invention, the first byte transferred may typically be detected.

A positive response to the test **128** advances the prefix routine **124** to a test **130** to test the countdown or count of the DMA controller **18**. The test **128** corresponds to detection of activity, whereas the test **130** corresponds to iteration of a shadowing process.

The test **130**, whenever a negative response is received, may advance the prefix routine **124** to the exit **138**.

On the other hand, a positive response to the test **130** advances the prefix routine **124** to a test **132** effective to evaluate whether or not the countdown is within some selected range at the end of a sector. A negative response to the test **132** indicates that the countdown is not within some desired end-of-sector range, so the prefix routine **124** should exit **138** without waiting longer. That is, interrupts will continue to occur with a frequency that will detect the desired range at the end of the sector being tested.

A positive response to the test **132** advances the prefix routine **124** to a test **134** for detecting the last byte to be transferred in a sector. If the DMA controller **18** is not counting the last byte to be transferred, then the test **134** may simply continue to test. When the countdown of the DMA controller **18** reaches a value of zero, a positive response to the test **134** advances to a delay step **136**.

The delay step **136** corresponds to the delay **60** illustrated in FIGS. **4–5**. The delay **136** may be implemented by preempting a channel over which the DMA controller **18** is communicating with the FDC **20**. For example, a first channel may be made active by some process, thus, overwriting communication over some channel having lesser priority, and corresponding to the FDC **20**. Likewise, the channel corresponding to the DMA communication with the FDC **20** may be masked (suspended) until the time elapsed for the transfer of the data to the sector has exceeded the maximum time permitted for such transfer. Thus, any and all opportunities for writing the last byte to the sector had expired. Thus, an error condition has been assured. Once the delay **136** has assured an error condition the exit **138** returns control of the processor **12** to the non-interrupted processing state.

The invention described heretofore provides detection solution that may be completely implemented in software as a device driver **29b** that is capable of detecting defective FDCs **20** without visual inspection and identification of the FDCs. Furthermore, the unique and innovative approach taken, relying on DMA shadowing and use of a software decoding network, allows the implementation of the invention to accurately and correctly detect defective FDCs even when non-defective old-model FDCs are involved. Simply stated, it is not sufficient to determine whether the FDC under test is an old or new model FDC. Various vendors manufactured old-model FDCs that are not defective. Therefore, a two-phase detection process may correctly determine whether or not the FDC under test is defective.

The number of FDCs installed in computer systems today is well over 100 million. In order to identify defective FDCs vendors and consumers which have defective FDCs **20** installed have very few alternatives (e.g. recalls; replacement), of which most are extremely costly, for determining whether or not their systems are susceptible to the data corruption presented by defective FDCs **20**. Therefore, an apparatus and method that may be implemented as a software-only solution to this problem is a significant

5,983,002

| 13 | 14 |

advance in the computer industry. Moreover, the robust design allows the apparatus and method of the present invention to dynamically adjust to processor speeds that encompass the original IBM Personal Computers executing at 4.77 MHz to the latest workstations that execute at well over 200 MHZ.

The present invention may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative, and not restrictive. The scope of the invention is therefore, indicated by the appended claims, rather than by the foregoing description. All changes which come within the meaning and range of equivalency of the claims are to be embraced within their scope.

What is claimed and desired to be secured by United States Letters Patent is:

1. An apparatus for detecting a defective floppy diskette controller, the apparatus comprising:

a processor executing detection executables effective to determine an underrun error undetected by a floppy diskette controller and effective to identify the floppy diskette controller as defective;

a memory device operably connected to the processor to store the detection executables and corresponding detection data;

a system clock operably connected to the processor to provide a time base;

a media drive comprising storage media for storing data;

the floppy diskette controller operably connected to the media drive to control formatting and storage of data on the storage media; and

a direct memory access controller operably connected to the floppy diskette controller and the memory device to control transfers of data between the memory device and the floppy diskette controller.

2. The apparatus of claim 1 wherein the detection executables are effective to cause an underrun error.

3. The apparatus of claim 2 wherein the detection executables cause the underrun error by delaying a transfer of data between the direct memory access controller and the floppy diskette controller.

4. The apparatus of claim 3 wherein the underrun error comprises a delay in transferring a last byte in the transfer.

5. The apparatus of claim 3 wherein the detection data comprises a test pattern.

6. The apparatus of claim 5 wherein the underrun error comprises the test pattern incorrectly copied onto the storage media.

7. The apparatus of claim 1 wherein the detection executables further comprise a prefix routine effective to hook a floppy device driver operating on the processor to control the floppy diskette controller.

8. The apparatus of claim 1 wherein the detection executables are integrated into an application directly loaded and executed on the processor.

9. The apparatus of claim 8 wherein the application is effective to determine on demand whether the floppy diskette controller is susceptible to undetected underrun errors.

10. The apparatus of claim 1 wherein the detection executables include a shadowing executable effective to determine when a last byte is to be transferred from the direct memory access controller to the floppy diskette controller.

11. A memory device operably connected to a processor, a direct memory access controller, a floppy diskette controller controlled by the direct memory access controller, and a media drive controlled by the floppy diskette controller, the memory device storing blocks of data comprising:

a test pattern;

detection executables effective to be run on the processor to force and detect an underrun error not detected by the floppy diskette controller; and

a readback buffer to store a copy of the test pattern read back from the media drive.

12. A method for detecting an underrun error undetected by a floppy diskette controller, the method comprising the steps of:

writing a source test pattern from a memory device to storage media in a media drive controlled by the floppy diskette controller;

interrupting the writing step;

delaying a transfer of a last byte of the source test pattern to the floppy diskette controller to create the underrun error;

completing the writing step;

verifying whether the floppy diskette controller detected the underrun error.

13. The method of claim 12 further comprising reading back to the memory device a written test pattern corresponding to the source test pattern written during the writing step.

14. The method of claim 13 further comprising verifying whether the underrun error occurred in the writing step by checking the last byte of the written test pattern.

15. An apparatus for detecting a defective floppy diskette controller, the apparatus comprising:

a processor executing detection executables effective to precipitate and detect an underrun error undetected by a floppy diskette controller and effective to identify the floppy diskette controller as a defective floppy diskette controller;

a memory device operably connected to the processor to store the detection executables and corresponding detection data;

a system clock operably connected to the processor to provide a time base;

a media drive comprising storage media for storing data;

the floppy diskette controller operably connected to the media drive to control formatting and storage of data on the storage media; and

a direct memory access controller operably connected to the floppy diskette controller and the memory device to control transfers of data between the memory device and the floppy diskette controller.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO.   : 5,983,002   Page 1 of 1
DATED   : November 9, 1999
INVENTOR(S) : Philip M. Adams

It is certified that error appears in the above-identified patent and that said Letters Patent is
hereby corrected as shown below:

Column 9,
Line 5, please change "l)MA" to --DMA--.

Column 11,
Line 19, please change "th e" to --the--.
Line 50, please change "affective" to --effective--.

Signed and Sealed this

Twenty-sixth Day of June, 2001

Attest:

Nicholas P. Godici

NICHOLAS P. GODICI
Attesting Officer   *Acting Director of the United States Patent and Trademark Office*

Exhibit C

US006195767B1

(12) **United States Patent**　　　(10) **Patent No.:**　　**US 6,195,767 B1**

Adams　　　　　　　　　　　　　　　(45) **Date of Patent:**　　　**Feb. 27, 2001**

(54) **DATA CORRUPTION DETECTION APPARATUS AND METHOD**

(76) Inventor: **Phillip M. Adams**, 1466 Chandler Dr., Salt Lake City, UT (US) 84103

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

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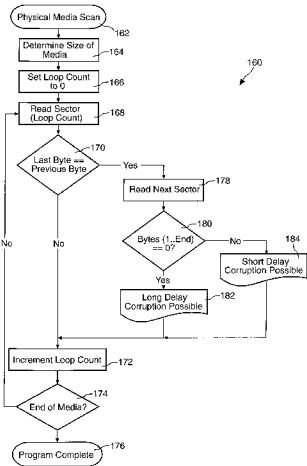
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(57) **ABSTRACT**

A system and method for providing detection of the signatures effected by a defective Floppy Diskette Controller ("FDC") operates on media independent of files thereon, or on files, independent of the media on which they are stored. Multiple testing strategies incorporate evaluations to detect signatures of data corruption introduced by defective FDCs from long transfer delays, short transfer delays, contiguous storage of logical sectors, or fragmented storage of logical sectors of a file. A false positive filter uses secondary testing of data. Filters remove from consideration those common patterns that properly and naturally occur. These filters rely on indicia demonstrating that primary leading indicators of the presence of an error do not really result from an actual error. The signatures may be detected regardless of subsequent transfer of corrupted files to various media including the media tested.
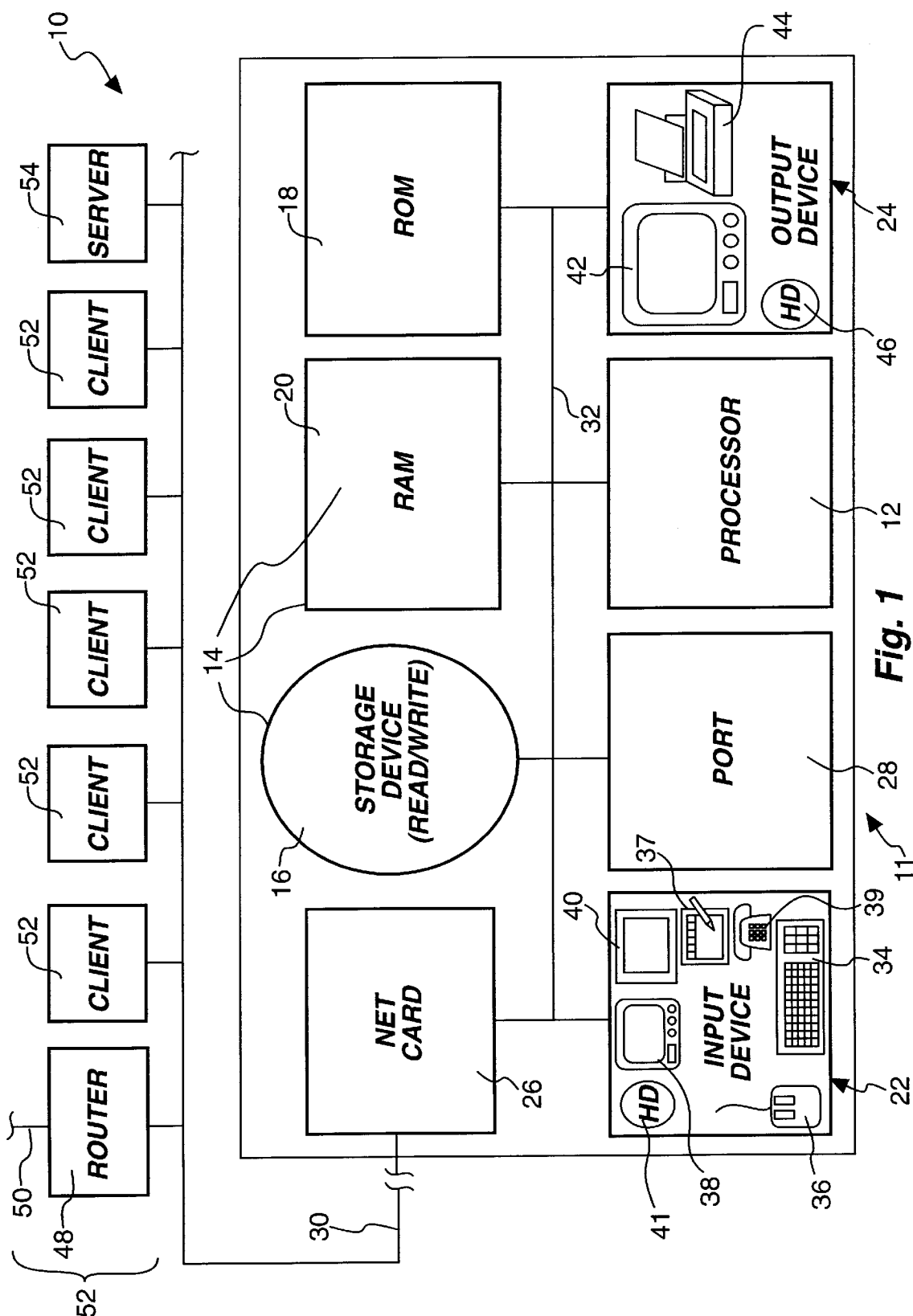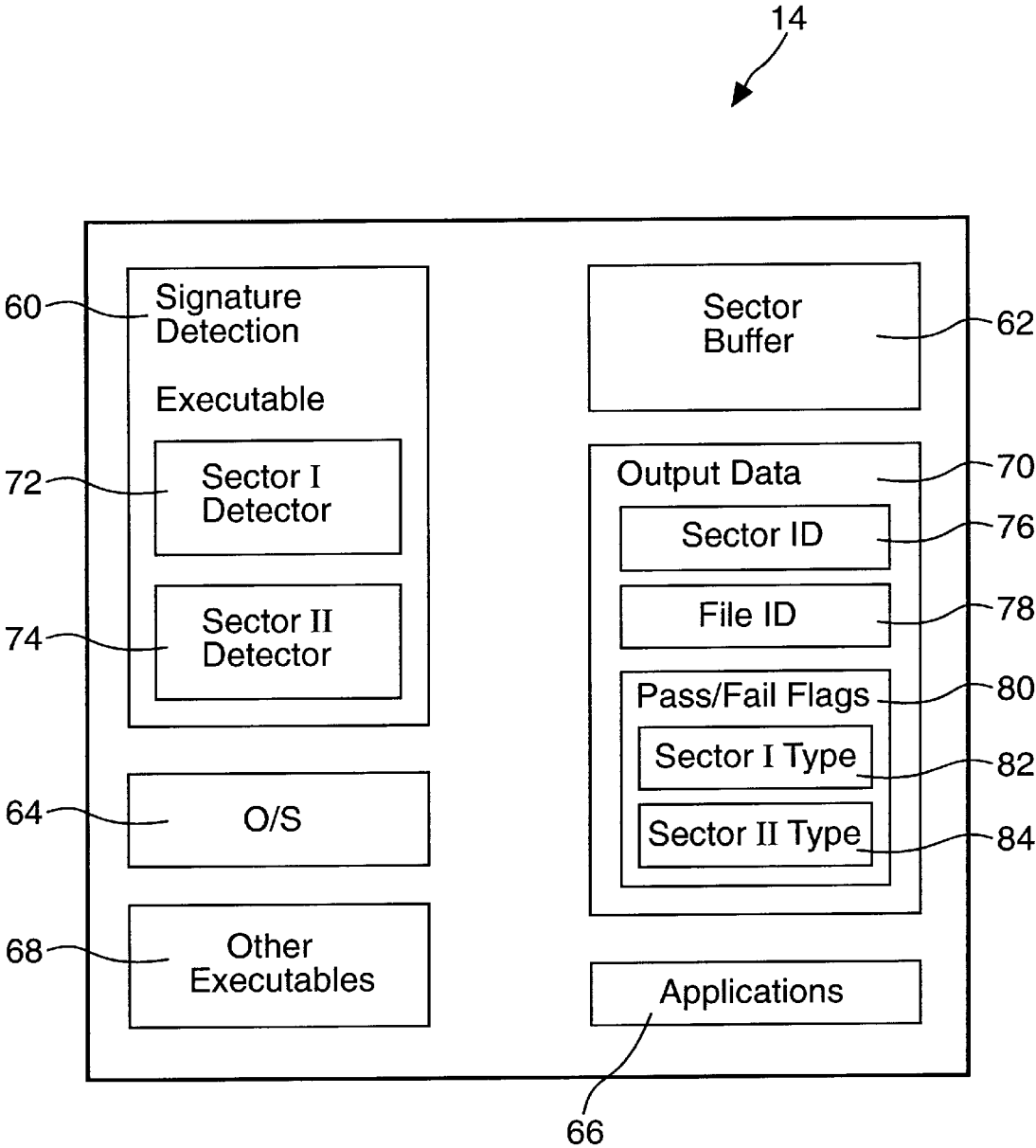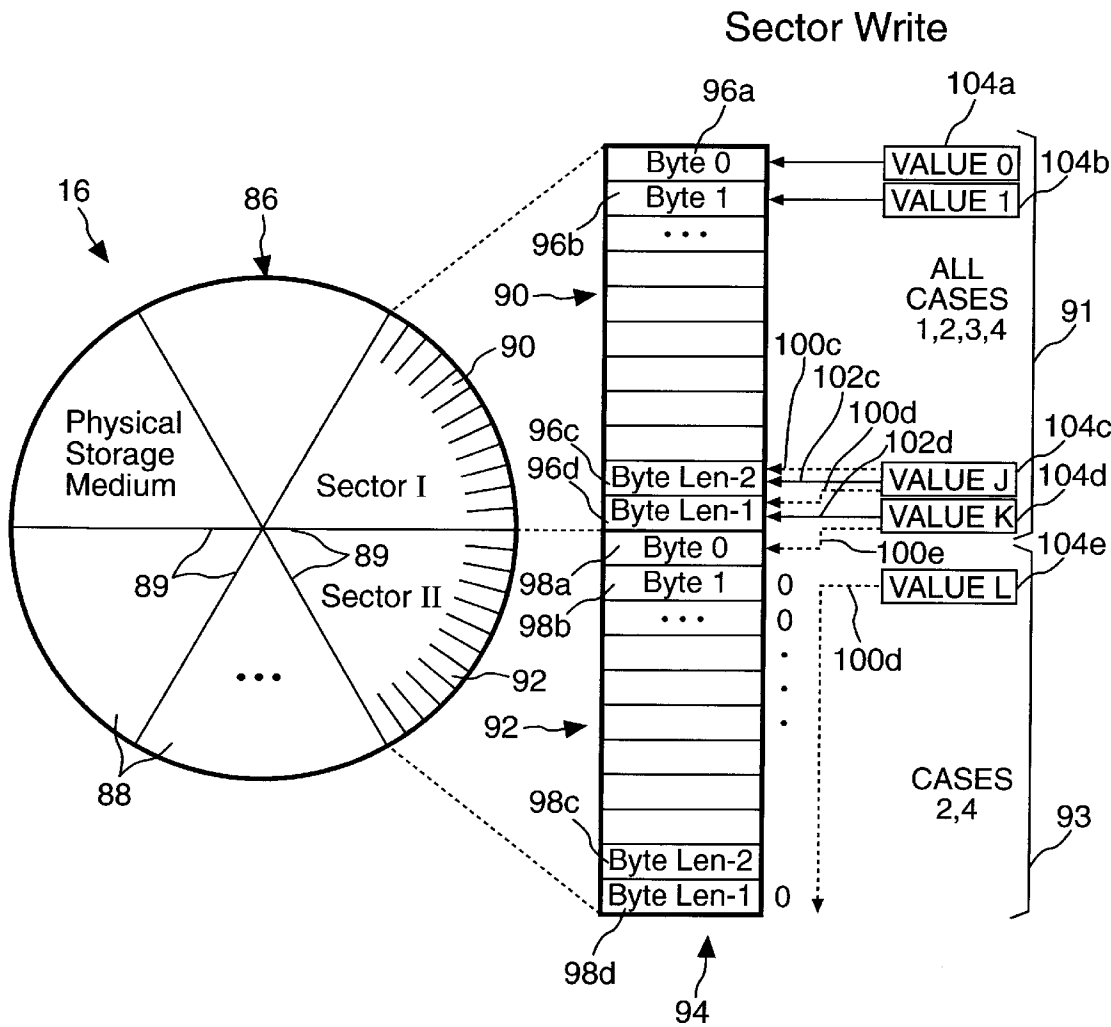
26 Claims, 7 Drawing Sheets

Fig. 1

14

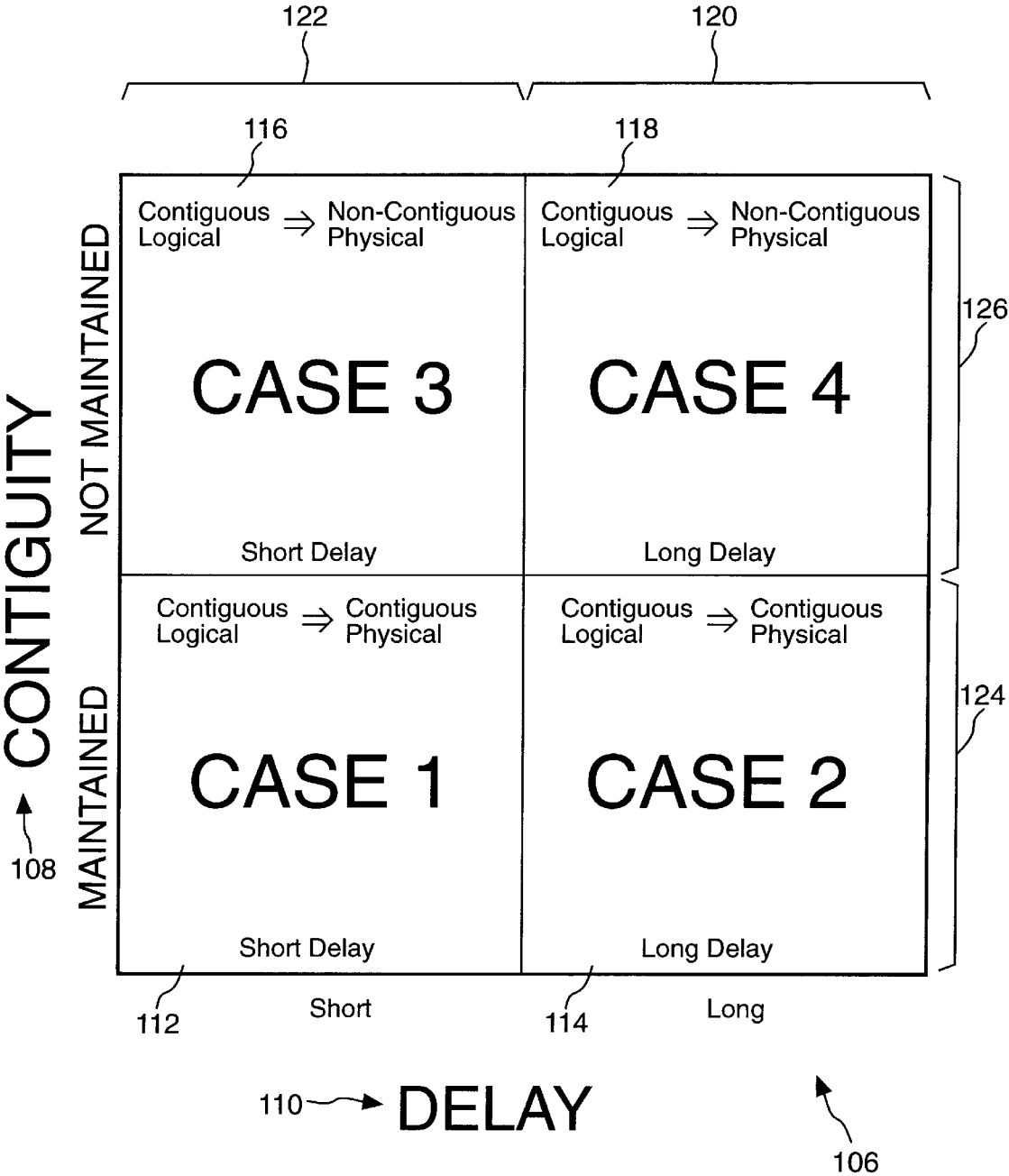60 — Signature
Detection

Executable

72 — Sector I
Detector

74 — Sector II
Detector

64 — O/S

68 — Other
Executables

62 — Sector
Buffer

70 — Output Data

76 — Sector ID

78 — File ID

80 — Pass/Fail Flags

82 — Sector I Type

84 — Sector II Type

Applications

66

Fig. 2

Fig. 3

Fig. 4

Fig. 5

Physical Media Scan ⟞162

Determine Size of Media ⟞164

Set Loop Count to 0 ⟞166

Read Sector (Loop Count) ⟞168

160

Last Byte == Previous Byte ⟞170

Yes

Read Next Sector ⟞178

Bytes (1..End) == 0? ⟞180

No

Short Delay Corruption Possible ⟞184

No   No

Yes

Long Delay Corruption Possible ⟞182

Increment Loop Count ⟞172

Fig. 6

End of Media? ⟞174

No

Program Complete ⟞176

Logical (File) Scan — 192

Determine File Size — 194

Set Loop Count to 0 — 196

Read Sector (Loop Count) From File — 198

190

Bytes (1..End) == 0? — 200

No → Long Delay Corruption Possible — 202

No

Last Byte == Previous Byte — 204

Yes → Short Delay Corruption Posssible — 206

No

Increment Loop Count — 208

End of File? — 210

No

Yes

Program Complete — 112

Fig. 7

US 6,195,767 B1

1

DATA CORRUPTION DETECTION APPARATUS AND METHOD

BACKGROUND

1. The Field of the Invention

This invention relates to the detection of corruption occurring in data written to storage media relying on a defective Floppy Diskette Controller ("FDC"), where an undetected data error causes data corruption and, more particularly, to novel systems and methods for inspection and warning to enable prompt restoration of data corrupted by defective FDCs.

2. The Background Art

Computers are now used to perform functions and maintain data critical to many organizations. Businesses use computers to maintain essential financial and other business data. Computers are also used by government to monitor, regulate, and even activate, national defense systems. Maintaining the integrity of the stored data is essential to the proper functioning of these computer systems, and data corruption can have serious (even life threatening) consequences.

Most of these computer systems include diskette drives for storing and retrieving data on floppy diskettes. For example, an employee of a large financial institution might have a personal computer that is attached to the main system. In order to avoid processing delays on the mainframe, the employee may routinely transfer data files from the host system to his local personal computer and then back again, temporarily storing data on a local floppy diskette. Similarly, an employee with a personal computer at home may occasionally decide to take work home, transporting data away from and back to the office on a floppy diskette.

Data transfer to and from a floppy diskette is controlled by a device called a Floppy Diskette Controller ("FDC"). The FDC is responsible for interfacing the computer's Central Processing Unit ("CPU") with the physical diskette drive. Significantly, since the diskette is spinning, it is necessary for the FDC to provide data to the diskette drive at a specified data rate. Otherwise, the data will be written to the wrong location on the diskette.

The design of the FDC accounts for situations when the data rate is not adequate to support the rotating diskette. Whenever this situation occurs, the FDC aborts the operation and signals the CPU that a data underrun condition has occurred. Unfortunately, however, it has been found that a design flaw in many FDCs makes it impossible to detect all data underrun conditions. This flaw has, for example, been found in the NEC 765, INTEL 8272 and compatible Floppy Diskette Controllers. Specifically, data loss and/or data corruption can occur during data transfers to or from diskettes (or even tape drives and other media which employ the FDC), whenever the last data byte of a sector being transferred is delayed for more than a few microseconds. Furthermore, if the last byte of a sector write operation is delayed too long then the next (physically adjacent) sector of the diskette will be destroyed as well.

For example, it has been found that these FDCs cannot detect a data underrun on the last byte of a diskette read or write operation. Consequently, if the FDC is preempted during a data transfer to the diskette (thereby delaying the transfer), and an underrun occurs on the last byte of a sector, the following occurs: (1) the underrun flag does not get set, (2) the last byte written to the diskette is made equal to the

2

previous byte written, and (3) Cyclic Redundancy Check ("CRC") is generated on the altered data. The result is that incorrect data is written to the diskette and validated by the FDC.

Conditions under which this problem may occur can be identified by simply identifying those conditions that can delay data transfer to or from the diskette drive. In general, this requires that the computer system be engaged in "multi-tasking" operation or in overlapped input/output ("I/O") operation. Multi-tasking is the ability of a computer operating system to simulate the concurrent execution of multiple tasks. Importantly, concurrent execution is only "simulated" because there is usually only one CPU in today's personal computers, and it can only process one task at a time. Therefore, a system interrupt is used to rapidly switch between the multiple tasks, giving the overall appearance of concurrent execution.

MS-DOS and PC-DOS, for example, are single-task operating systems. Therefore, one could argue that the problem described above would not occur. However, there are a number of standard MS-DOS and PC-DOS operating environments that simulate multi-tasking and are susceptible to the problem. The following environments, for example, have been found to be prime candidates for data loss and/or data corruption due to defective FDCs: local area networks, 327× host connections, high density diskettes, control print screen operations, terminate and stay resident ("TSR") programs. The problem has also been found to occur as a result of virtually any interrupt service routine. Thus, unless the MS-DOS and PC-DOS operating systems disable all interrupts during diskette transfers, they are also susceptible to data loss and/or corruption.

The UNIX operating system is a multi-tasking operating system, and it is extremely simple to create a situation that can cause the problem within UNIX. One of the more simple examples is to begin a large transfer to the diskette and place that task in the background. After the transfer has begun then begin to process the contents of a very large file in a way that requires the use of a higher-priority Direct Memory Access ("DMA") channel than the floppy diskette controller's DMA channel, i.e., video updates, multi-media activity, etc. Video access forces the video buffer memory refresh logic on DMA channel 1, along with the video memory access, which preempts the FDC operations from occurring on DMA channel 2 (which is lower priority than DMA channel 1). This type of example creates the classic overlapped I/O environment and can force the FDC into an undetectable error condition. More rigorous examples could include the concurrent transfer of data to or from a network or tape drive using a high priority DMA channel while the diskette transfer is active. Clearly, the number of possible error producing examples is infinite and very possible in this environment.

For all practical purposes the OS/2 and newer Windows operating systems can be regarded as UNIX derivatives. In other words, they suffer from the same problems that UNIX does. There are, however, two significant differences between these operating systems and UNIX. First, they both semaphore video updates with diskette operations in an effort to avoid forcing the FDC problem to occur. However, any direct access to the video buffer, in either real or protected mode, during a diskette transfer will bypass this safe-guard and result in the same condition as UNIX. Second, OS/2 incorporates a unique command that attempts to avoid the FDC problem by reading back every sector that is written to the floppy diskette in order to verify that the operation completed successfully. This command is an

US 6,195,767 B1

3

extension to the MODE command (MODE DSKT VER= ON). With these changes, data loss and/or data corruption should occur less frequently than before, but it is still possible for the FDC problem to destroy data that is not related to the current sector operation.

There are a host of other operating systems that are susceptible to the FDC problem just like DOS, Windows, Windows 95, Windows NT, OS/2, and UNIX. However, these systems may not have an installed base as large as DOS, Windows, OS/2 or UNIX, and there may, therefore, be little emphasis on addressing the problem. Significantly, as long as the operating systems utilize the FDC and service system interrupts, the problem can manifest itself. This can, of course, occur in computer systems which use virtually any operating system.

Some in the computer industry have suggested that the FDC problem is extremely rare and difficult to reproduce. This is similar to the argument presented during the 1994 defective INTEL Pentium scenario. Error rates for the defective Pentium ranged from microseconds to tens-of-thousands of years! Admittedly, the FDC problem is often very difficult to detect during normal operation because of its random characteristics. The only way to visibly detect this problem is to have the FDC corrupt data that is critical to the operation at hand. There may, however, be many locations on the diskette that have been corrupted, but not accessed. Studies have recently demonstrated that the FDC problem is quite easy to reproduce and may be more common than heretofore believed.

Computer users may, in fact, experience this problem frequently and not even know about it. After formatting a diskette, for example, the system may inform the user that the diskette is bad, although the user finds that if the operation is performed again on the same diskette everything is fine. Similarly, a copied file may be unusable, and the computer user concludes that he or she just did something wrong. For many in this high-tech world, it is very difficult to believe that the machine is in error and not themselves. It remains a fact, however, that full diskette back-ups are seldom restored, that all instructions in programs are seldom, if ever, executed, that diskette files seldom utilize all of the allocated space, and that less complex systems are less likely to exhibit the problem.

Additionally, the first of these FDCs were shipped in the late 70's. The devices were primarily used at that time in special-purpose operations in which the FDC problem would not normally be manifest. Today, on the other hand, the FDCs are incorporated into general-purpose computer systems that are capable of concurrent operation (multitasking or overlapped I/O). Thus, it is within today's environments that the problem is most likely to occur by having one of the operations delay the data transfer to the diskette. The more complex the computer system, the more likely it is to have one activity delay another, thereby creating the FDC error condition.

In short, the potential for data loss and/or data corruption is present in all computer systems that utilize the defective version of this type of FDC, presently estimated at about 25 million personal computers. The identification and repair of defective FDCs has been described in previously filed U.S. patent applications.

In addition to a solution to the FDC problem it is necessary to be able to accurately, and correctly, identify defective, corrupted data before that data is relied upon at great loss. The design flaw in the FDC causes data corruption to occur and manifest itself in the same manner as a

4

destructive computer virus. Furthermore, because of its nature, this problem has the potential of rendering even secure databases absolutely useless.

The defect in FDCs, however, results in various types of corruption having different signatures, according to the nature of the defective FDC and the nature of the conditions at transfer. Moreover, files may be transferred, fragmented, defragmented, and the like many times over years. Thus, corruption may be spread and the corrupted files relied upon at any time. Locating the possibility or probability of corruption in an individual file or a sector of storage media is a first step toward restoring reliable information before corruption can cause serious harm.

The aforementioned delay (long or short) in a transfer of a last data byte of a sector either to or from a floppy diskette at any time in the history of a file, may cause corruption. The length of the transfer delay may alter the nature of the corruption which corruption may then be copied or transferred any number of times before being relied upon.

Files may also be fragmented or defragmented. Accordingly, a logical file may be written to contiguous or non-contiguous sectors of any particular medium. Transfers, fragmentation, de-fragmentation, and the like may occur long after an initial occurrence of corruption, further obscuring the more obvious signatures of corruption.

Thus, an apparatus and method are needed to detect the possibility of corruption from either long or short delays in transfers controlled by defective FDCs. File integrity must be tested regardless of fragmentation (non-contiguity) of sectors holding logically consecutive data. Integrity must be testable whether subsequent transfers have occurred by any means which may or may not have affected logical or physical contiguity of sectors' contents.

BRIEF SUMMARY AND OBJECTS OF THE INVENTION

In view of the foregoing, it is a primary object of the present invention to provide a system and method for the detection of data corruption due to defective Floppy Diskette Controllers ("FDCs").

It is also an object of the present invention to provide an automated software-only (programmatic) approach to reduce the labor of searching files and media.

Consistent with the foregoing objects, and in accordance with the invention as embodied and broadly described herein, a system and method are disclosed in one embodiment of the present invention as including a detection program that is capable of correctly and accurately detecting the signature of data corruption associated with defective FDCs.

The approach taken includes testing according to physical media configuration, and according to logical file configuration. The tests report the presence of any of the signatures known to be associated with defective FDCs. The system manager or other responsible party can then restore the files from an uncorrupted archival copy, if available. In any event, A warning may thus be available to identify individual files as well as sectors of media that are not reliable.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects and features of the present invention will become more fully apparent from the following description and appended claims, taken in conjunction with the accompanying drawings. Understanding that these drawings depict only typical embodiments of the

US 6,195,767 B1

5
6

invention and are, therefore, not to be considered limiting of its scope, the invention will be described with additional specificity and detail through use of the accompanying drawings in which:

FIG. **1** is a schematic block diagram of a system consistent with a computer hosting executables and data to implement the invention;

FIG. **2** is a schematic block diagram of data structures containing executables and operational data for implementing an embodiment of the invention on the apparatus of FIG. **1**;

FIG. **3** is a schematic block diagram illustrating a physical view of data storage on a sectored storage medium;

FIG. **4** is a schematic block diagram illustrating the combinations of conditions that may create corruption detectable by an apparatus and method in accordance with the invention;

FIG. **5** is a schematic block diagram of a sectored storage device and its relationship to logical maps of files that may be stored thereon before or after corruption of sectors;

FIG. **6** is a schematic block diagram illustrating a method for detecting corruption by scanning physical storage media; and

FIG. **7** is a schematic block diagram of a method for detecting corruption caused by defective floppy diskette controllers by scanning files according to logical structure.

DETAILED DESCRIPTION OF THE
PREFERRED EMBODIMENTS

It will be readily understood that the components of the present invention, as generally described and illustrated in the Figures herein, could be arranged and designed in a wide variety of different configurations. Thus, the following more detailed description of the embodiments of the system and method of the present invention, as represented in FIGS. **1** through **7**, is not intended to limit the scope of the invention, as claimed, but it is merely representative of the presently preferred embodiments of the invention.

The presently preferred embodiments of the invention will be best understood by reference to the drawings, wherein like parts are designated by like numerals throughout.

Referring to FIG. **1**, an apparatus **10** may implement the invention on one or more nodes **11**, (client **11**, computer **11**) containing a processor **12** (CPU **12**). All components may exist in a single node **11** or may exist in multiple nodes **11**, **52** remote from one another. The CPU **12** may be operably connected to a memory device **14**. A memory device **14** may include one or more devices such as a hard drive or other non-volatile storage device **16**, a read-only memory **18** (ROM) and a random access (and usually volatile) memory **20** (RAM/operational memory).

The apparatus **10** may include an input device **22** for receiving inputs from a user or another device. Similarly, an output device **24** may be provided within the node **11**, or accessible within the apparatus **10**. A network card **26** (interface card) or port **28** may be provided for connecting to outside devices, such as the network **30**.

Internally, a bus **32** may operably interconnect the processor **12**, memory devices **14**, input devices **22**, output devices **24**, network card **26** and port **28**. The bus **32** may be thought of as a data carrier. As such, the bus **32** may be embodied in numerous configurations. Wire, fiber optic line, wireless electromagnetic communications by visible light, infrared, and radio frequencies may likewise be implemented as appropriate for the bus **32** and the network **30**.

Input devices **22** may include one or more physical embodiments. For example, a keyboard **34** may be used for interaction with the user, as may a mouse **36** or stylus pad. A touch screen **38**, a telephone **39**, or simply a telephone line **39**, may be used for communication with other devices, with a user, or the like. Similarly, a scanner **40** may be used to receive graphical inputs which may or may not be translated to other character formats. The hard drive **41** or other memory device **41** may be used as an input device whether resident within the node **11** or some other node **52** (e.g., **52***a*, **52***b*, etc.) on the network **30**, or from another network **50**.

Output devices **24** may likewise include one or more physical hardware units. For example, in general, the port **28** maybe used to accept inputs and send outputs from the node **11**. Nevertheless, a monitor **42** may provide outputs to a user for feedback during a process, or for assisting two-way communication between the processor **12** and a user. A printer **44** or a hard drive **46** may be used for outputting information as output devices **24**.

In general, a network **30** to which a node **11** connects may, in turn, be connected through a router **48** to another network **50**. In general, two nodes **11**, **52** may be on a network **30**, adjoining networks **30**, **50**, or may be separated by multiple routers **48** and multiple networks **50** as individual nodes **11**, **52** on an internetwork. The individual nodes **52** (e.g. **11**, **52**, **54**) may have various communication capabilities.

In certain embodiments, a minimum of logical capability may be available in any node **52**. Note that any of the individual nodes **11**, **52**, **54** may be referred to, as may all together, as a node **11** or a node **52**. Each may contain a processor **12** with more or less of the other components **14**–**44**.

A network **30** may include one or more servers **54**. Servers may be used to manage, store, communicate, transfer, access, update, and the like, any practical number of files, databases, or the like for other nodes **52** on a network **30**. Typically, a server **54** may be accessed by all nodes **11**, **52** on a network **30**. Nevertheless, other special functions, including communications, applications, directory services, and the like, may be implemented by an individual server **54** or multiple servers **54**.

In general, a node **11** may need to communicate over a network **30** with a server **54**, a router **48**, or nodes **52**. Similarly, a node **11** may need to communicate over another network **(50)** in an internetwork connection with some remote node **52**. Likewise, individual components **12**–**46** may need to communicate data with one another. A communication link may exist, in general, between any pair of devices.

Referring to FIG. **2**, a storage device **14**, may be loaded with executables and data. For execution, of the storage device **14** may be the RAM **20**. For initial installation, the memory device **14** selected may be another storage device **16** or ROM **18**. In general, executables and operational data ready to be executed by a processor **12** may implemented in a memory device **14** corresponding to RAM **20**.

A signature detection executable **60** may contain instructions in suitable code for implementing algorithms. The signature detection executable **60** may operate with sector buffer **62**. The sector buffer **62** is sized to store data select for evaluation. Evaluation, conducted by the signature detection executable **60** includes analysis of the contents of data stored on media to be tested. In one embodiment, the sector buffer **62** may include one or more buffers **62**. Alternatively, the sector buffer **62** may include sufficient space to hold at least two complete sectors from a storage medium to be tested.

US 6,195,767 B1

7
8

A processor 12 requires some underlying operating system 64 in order to run the executable 60. Similarly, applications 66 and other executables 68 may be hosted in the memory device 14. In one presently preferred embodiment, the memory device 14 is the random access memory (RAM) 20 of FIG. 1.

Output data 70 may be stored during operation of the signature detection executable 60 on the processor 12. The output data 70 indicates the nature of any corruption signature found by the signature detection executable 60.

The signature detection executable 60 may include detector 72 for distinguishing corruption peculiar to a primary, leading, or first sector. A detector 74 may be programmed to identify corruption normally associated with a following, secondary, or second sector involved in corruption by defective floppy diskette controller (FDC). Each of the detectors 72, 74 may be programmed to operate on a logical basis or physical basis. That is, in one embodiment, an apparatus and method in accordance with the invention may operate based on files. Accordingly, the file system associated with a computer 11 may be relied upon to define the location of an initial sector, subsequent sectors, and a final sector associated with a single file at a time. Thus, regardless of the random nature of storage on any storage device 14, a file may be tested for integrity.

Similarly, a detector 72, 74 may be programmed to operate on any particular storage medium 16, 18, 20. For example, a storage device 16 may be a floppy diskette or a hard drive. The ROM 18 may be configured in a chip, or on a laser-readable compact disk. In certain embodiments, the detectors 72, 74 may scan and evaluate the entire medium within a particular memory device 14. Thus, any physical sectors containing the signature identified with corruption by a defective floppy diskette controller may be detected, regardless of subsequent transfer to any other storage device 14. Since storage is typically done on a sector basis, corrupted sectors may be detected over an entire storage medium, or over a particular file on a storage medium.

The output data 70 may include any information deemed suitable to enable ready identification of files, responsible individuals, and the like. Perhaps most importantly, the output data 70 may include information identifying files, and personnel responsible for those files, in order to enable prompt restoration of corrupted files.

In one embodiment, the output data 70 may include sector identification 76. Sector identification 76 (sector ID 76) may include not only a sector number, but a volume number, a network address of a computer 11 on which the defective sector is located, and the like. Thus, an entire path may identify a sector by any path or context required.

A file identifier 78 (file ID 78) may identify a particular file in which corruption is detected. A file system will typically contain a file name as well as higher level path identification associated with a user, computer 11, volume, directory, and the like. A file identifier 78 may include any information deemed suitable to rapidly and effectively single out a file containing corruption. Likewise, sufficient context may be provided in the file ID 78 to enable a user to locate a source of such corrupted file. Accordingly, a user, system manager, or other responsible party may be able to more rapidly identify a source file from which a corrupted file may be restored. Likewise, a source file may be corrupted. Accordingly, identification of a file with sufficient detail to identify its source may provide identification of other storage media to be tested for corruption.

In one embodiment, pass fail flags 80 may be included as output data 70. For example, in one embodiment, every sector in a storage medium may be identified as passing or failing a test in accordance with the invention. Similarly, every file in a volume or a server may be tested and identified as having passed or failed a test in accordance with the invention. However, in one currently preferred embodiment of an apparatus and method in accordance with the invention, only sectors of a medium or a file displaying a corruption signature need be identified. Thus, the nature of such corruption signature may be identified. For example, corruption occurs in a primary sector due to improper writing and error checking by a defective floppy diskette controller. Depending on the length of a delay, the corruption may extend to a subsequent sector. Thus, a sector I type flag 82 may identify a sector as containing corruption on the type identified by a sector I detector 72. Similarly, a sector II type flag 84 may identify a sector as containing corruption having the signature detected by the sector II detector 74.

Referring to FIG. 3, specifically, and to FIGS. 2–5, generally, a storage device 16 may include a storage medium 86. The storage medium 86 may contain one or more disks or diskettes. In general, data corruption may be initiated by a defective floppy diskette controller on a particular diskette. However, in general, a file or sector thus corrupted may be copied to any other memory device 14. Thus, a storage device 16 being tested for corruption may be a diskette, a hard disk, or other storage device 14 to which data may have been transferred subsequent to storage on a floppy diskette.

The storage medium 86 may contain sectors 88, subdivisions 88 into which medium 86 may be subdivided for purposes of addressing and segmenting data. The sectors 88 may be separated by sector boundaries 89 specified in a formatting standard used to format the storage medium 86. For convenience, a sector I 90 and sector II 92 are identified. Each of the sectors 90, 92 may be physically represented by a map 94 of individual bytes. The number of bytes in a particular sector 90, 92 is established by an appropriate standard. Thus, a first byte 96a in sector I 90 has a number of zero. The second byte 96b is identified as byte one. Thus, a last byte 96d is a byte identified by the length of the sector 90, less one. Likewise, the next-to-last byte 96c is counted according to a length, less two, of the sector 90. In sector II 92, a first byte 98a, second byte 98b, next-to-last byte 98c, and last byte 98d, may be thought of as similarly numbered.

In FIG. 3, the paths 100 illustrate the effect of a defective floppy diskette controller, under corrupting conditions. The paths 102 illustrate the paths that particular bytes 96, 98 should take in a non-defective floppy diskette controller, or in a defective floppy diskette controller under non-corrupting conditions.

Various values 104 may be placed in the byte locations 96, 98 in the sectors 90, 92, respectively. For example, a value zero 104a is stored to the byte zero location 96a. A value one 104b is stored to the byte one location 96b.

In normal operation, a value J 104c is stored at the next-to-last byte location 96c, while a value K 104d is stored to a last byte location 96d. Sector II 92 should remain unaffected by the transfer of values 104 to sector I 90.

In all cases of data corruption due to defective FDC's, the value J 104c intended for the next-to-last byte location 96c is stored at the proper location 96c. Thus, the intended path 102c for normal operation is duplicated by the path 100c when corruption is incipient.

However, the value J 104c in the presence of the corrupting conditions for a defective FDC, is transferred along the path 100d to the last byte location 96d. Normally, the value K 104d that would be transferred along the path 102d to a last byte location 96d is detoured.

US 6,195,767 B1

9

The value K 104d passes along the path 100e to the first byte location 98a in sector II 92. Thus, the last byte location 96d contains the same value J 104c that is written to the next-to-last byte location 96c. Meanwhile, a value L 104e, having an actual numerical value of zero, is written to all other byte locations 98b, 98c, 98d up to the last byte location 98e of sector II 92.

One fundamental cause of corruption is delay in writing a value K 104d to a last byte location 96d. If the delay is greater than a single byte write time (32 μs or 16 μs) and less than 80 microseconds, the delay is considered to be a "short delay." If the delay is greater than 80 microseconds, then a "long delay" has occurred. If a short delay occurs, then the value K 104d is not written to the last byte location 96d, nor anywhere else. However, if the delay is long, then sector II 92 will be effected.

The mapping of values 104 to byte locations 94 in FIG. 3, in accordance with the normal paths 102 and the corruption paths 100 varies according to certain conditions or cases. FIG. 4 illustrates the conditions and cases that various scenarios may present with a defective floppy diskette controller.

Referring to FIG. 4, a matrix 106 relates a contiguity 108 and delay 110 to create various cases 112, 114, 116, 118. Contiguity 108 refers to whether or not a file has been fragmented or defragmented. For example, a file has a logical flow. Nevertheless, the data corresponding to a particular file may be stored in randomly distributed sectors 88 within a storage medium 86. Contiguity of adjacent sectors 90, 92 may maintained. Alternatively, contiguity 108 may also not be maintained. Similarly, a delay greater than 80 microseconds is considered a long delay 120. A delay of less 80 microseconds is considered a short delay 122. As discussed above, the corruption signature varies according to whether or not the delay 110 in a transfer of the values 104 to a sector 88 is controlled according to the length of the delay 110.

Case 1 corresponds to a short delay 122 in transferring values 104 to byte locations 96. In case 1 112 also corresponds to maintained contiguity 124. Contiguity 108 may be maintained 124 or not maintained 126. FIG. 4 illustrates maintenance 124 and non-maintenance 126 of contiguity 108. Contiguity 108 refers to the writing of logically contiguous data onto physically contiguous sectors 90, 92.

Case 1 112 has conditions of a short delay 122 and maintained contiguity 124. Since the delay 110 is short 122, only a sector I 90 is affected.

Case 2 114 has conditions corresponding to a long delay 120 and maintained contiguity 124. Since case 2 114 includes a long delay 120, corruption may occur in both sector I 90, and sector II 92 of the same logical file unless sector I 90 is the last sector of the file thus causing corruption in logically unrelated locations.

Case 3 116 has conditions corresponding to a short delay 122 and non-maintained contiguity 126. Because the delay 110 is short 122, case 3 116 may result in corruption only in sector I 90. Sector II 92 remains unaffected.

Case 4 118 includes corresponding conditions of a long delay 120 and non-contiguity 126. The long delay 120 can cause corruption to occur in both sector I 90 and sector II 92. Sector II 92 is not logically related to sector I 90 potentially causing data corruption to another (unrelated) file. One may note that the delay 110, whether long 120 or short 122, appears to control the presence of corruption in sector II 92. Contiguity 108 does not appear to be a factor in the nature of the corruption.

10

Contiguity 108 or maintenance 124 and non-maintenance 126 of contiguity 108 does not control the presence of corruption, but rather the signature thereof. Thus, FIGS. 3–5 should be viewed together.

Referring to FIG. 5, a map 130 of the file 132 is illustrated under various sets 134, 136, 138 of conditions, or simply under scenarios 134, 136, 138 or conditions 134, 136, 138. The set 134 corresponds to conditions of case 1 112 and case 3 116. The conditions 136 or set 136 of conditions, corresponds to case 2 114 in FIG. 4. The set 138 of conditions, or condition 138 corresponds to case 4 118 in FIG. 4. The conditions 134 or set 134 corresponds to a short delay 122, and thus a short delay corruption signature 91 or sector I corruption 91. The case 136 or set 136 corresponds to sector II corruption 93 or long delay corruption 93 with maintained contiguity 124 between a logical map 132 and a physical map 140. Meanwhile, the conditions 138 or set 138 corresponds to long delay corruption 93 or sector II corruption 93 corresponding to a long delay 120 wherein contiguity 108 is not maintained 126.

A storage device 140 is sectored to receive data. Data may be transferred 142 continually (maintaining contiguity 124). Data may also be transferred from 144 with contiguity 108 not maintained 126. Note that trailing alphabetical characters after reference numerals merely identify instances of the principle or generic feature identified by the reference numeral.

For example, a file 132 may be divided into segments 146. The segments 146a, 146b, 146c, 146d are illustrated in a sequential, logical, and contiguous arrangement. Segments 146 may correspond to sector-sized portions of a file 132 or logical map 132 of data or code. The individual segments 146 may be thought of as being divided at segment boundaries 148.

Similarly, the storage device 140 may be sectored into individual sectors 150, 152, 154, 156, separated by sector boundaries 158. The sectors may also be referred to generically as sectors 159, or as a sector 159. Notice that sectors 150, 152 are illustrated schematically as being contiguous. Sectors 154, 156 may be separated from the sectors 150, 152 by some other number of individual sectors 159.

Under the set 134 of conditions, a segment 146b may be transferred 142a to a sector 150. Under the conditions 134, corresponding to case 1 112 and case 3 116, said transfer 142a does not affect the subsequent segment 146c, nor the subsequent sector 152. Rather the transfer 142b occurs without an influence of the corruption that may be included in a transfer 142a. This condition corresponds to a short delay 122. Thus, a corruption signature in the sector 150 will include a value J 104c in a next-to-last byte location 96c in the sector 150. Likewise, a value J 100d will be stored in the last byte location 96d of sector 150 (see FIG. 3). Because the delay 110 is a short delay 122, the value K 104d that should have been transferred along the path 102d to the last byte location 96d is simply lost. The value K 104d is not written to the subsequent sector 152 along the path 100e. The conditions 136 corresponding to case 2 114, include the conditions 134 of case 1 112 and case 3 116. That is, sector I corruption occurs in the transfer 142a of the contents of a segment 146b to a sector 150. The distinction of sector 150 and segment 146b is used for convenience, to distinguish a logical file 132 from a physical image or map in a storage device 140. Nevertheless, each of the segments 146 may be expected to be of the same size as an individual sector 159.

In addition to the sector I corruption of the last byte location 96d in the sector 150, the conditions 136 cause

11

sector II corruption. Thus, the contents of the segment **146***c,* when transferred **142***b* to the sector **152** of the storage device **140**, demonstrate sector II corruption as illustrated in FIG. **3**. The sector I corruption **91** affects the last byte location **96***d* of the sector **150**. The sector II corruption **93** caused by the transfer **142***a* to the sector **150** damages all of the contents of the sector **152**. As illustrated in FIG. **3**, the first byte location **98***a* of the sector **152** receives, along the path **100***e* the spurious value K **104***d*. The value K **104***d* should have been written to the last byte location **96***d* of the sector **150**. The additional characteristic of the sector II corruption **93** (long delay corruption **93**, as opposed to the short delay corruption **91**) is the placement of a value of zero as the value L **104***e* in all the remaining byte locations **98** between the second byte **94***b* and the last byte **98***d* in the sector **152**. Thus, a signature for the conditions **134** of case **1 112** and case **3 116** is the presence of the same exact value J **104***c* in the next-to-last byte location **96***c* and the last byte location **96***d* in the sector **150**. The additional signature available for case **2 114** unto the conditions **136**, is the presence of a value K **104***d* in the first byte location **98***a* of the sector **152**. The value K **104***d* is the value from the last byte location **96***d* of the segment **146***b* in the original logical file **132**. Thus, two signature features may be identified in the sectors **150, 152** indicating corruption in the transfers **142***a,* **142***b.*

In the conditions **138** or set **138**, long delay corruption **93** is present in the transfers **144***a,* **144***b* of the segments **146***b* **146***c* to respective, non-contiguous sectors **150, 154**. Accordingly, the last byte location **96***d* of the sector **150** will contain a value J **104***c* identical to that stored in the next-to-last byte location **96***c* of the sector **150**. However, since the segment **146** is written to a non-contiguous sector **154**, the long-delay corruption **93** is not present in the sector **152** subsequent to the sector **150**. Rather, a sector **154** randomly separated from the sector **150** contains the long-delay corruption **93**. Thus, case **4 118** may exist virtually anywhere in a storage device **140**.

In general, a file format managed by an operating system **64** writing to a storage device **140** controls the fragmentation of a file **132**. Periodically, defragmentation may occur. In defragmentation, the information corresponding to contiguous segments **146***b,* **146***c* may be rewritten to contiguous sectors **150, 152** in the storage device **140**. Note that the long-delay corruption **93** may occur in different ways.

For example, the contents of a segment **146***b* may be written to a sector **150** contiguously with a transfer of the segment **146***c* to the sector **152**. The long-delay corruption **93** may occur in the following sector **152**. Subsequently, the transfer **144***b* may copy the segment **146***c* to a sector **154**.

Alternatively, the sector **150** may initially receive the contents of the segment **146***b* subject to short-delay corruption **91** (in a long-delay case, short-delay corruption **91** also exists), while a designated, subsequent sector **154** receives the corrupted contents of sector II corruption **93**. The contents of the segment **146***c* may be stored as corruption in the sector **154**. Alternatively, the contents of the segment **146***c* may be stored properly in the sector **154**, with an intermediate sector **152** containing the corrupted sector II the sector **150** containing sector I corruption **91** and **93** contents.

Referring to FIG. **6**, a method **160** or process **160** is illustrated schematically for detecting corruption in a storage device **140** (see FIG. **5**). The process **160** may be thought of as a physical media scan **162**. Alternatively, one may think of a call **162** executed to run the process **160** of scanning the physical media **86** (see FIG. **3**).

12

Upon a call **162**, a size step **164** determines the total size of the media **86** in a storage device **140** to be tested. An initialize step **166** may set a counter to a value of zero for looping in accordance therewith.

A read step **162** may read an individual sector **88** of the media **86** in order, according to the counter **166**. Thus, the count **166** begins at zero and progresses through all sectors **88, 159**, in order. A test **170** reads the last two byte locations **96***c,* **96***d* in each sector **88, 159**. The test **170** determines whether the contents of the last byte location **96***d* are exactly equal to the contents of the next-to-last byte location **96***c*. A negative response to the test **170** indicates an inequality between the byte locations **96***c,* **96***d*. The sector I corruption **91**, or sector I corruption signature **91** is not present. Therefore, an increment step **172** increments the counter **166**. Note that a step **166** of initializing a count or creating a count loop may also be referred to as the loop or as the count itself.

If the increment **172** added to the count **166** exceeds the total number of sectors **88** in the media **86**, the test **174** will detect the end of the media **86**. A negative response to the test **174** returns the process **160** to read **168** the next, incremented sector **88** identified. A positive response to the test **174** indicates that the media **86** is completely tested, and results in a termination **176** or return **176** of the process **160**. The process **160** may operate as a standalone routine. Alternatively, the process **160** may be incorporated into other applications, such as a standard virus or corruption scanning program that searches for other types of signatures.

A positive response to the test **170** indicates that the short-delay corruption **91** appears to be present. Accordingly, a subsequent read step **178** reads the next sector **88** (e.g. sector **92**, with respect to initial sector **90**). A test **180** determines whether all of the byte locations **98**, from the second byte location **98***b* (byte **1**) through the last byte location **98***d* have a value of zero. A positive response to the test **180** indicates that long delay corruption **93** is possible. The output **182** indicates this possibility. It is also possible that the value of zero is properly written to the sector **92**. Thus, the output **182** does not necessarily indicate absolutely that long-delayed corruption **93** is present.

A negative response to the test **180** indicates that the byte locations from the second byte **98***b* to the last byte **98***d* are not all filled with a value of zero. Thus, long-delay corruption **93** does not appear to be present. Accordingly, an output **184** indicates the possibility of short-delaying corruption **91**. After the output **182, 184**, the process **160** advances by incrementing **172** the count **166** and continuing to the end of the medial **86**.

Referring to FIG. **7**, a process **190** provides a valuation of a logical file **132**. That is, the process **160** operates on a storage device **140**, and particularly on the storage medium **86** or media **86**, regardless of the nature or content of individual files **132** stored thereon. By contrast, the process **190** scans the logical files **132** in the sequence of their respective segments **146**, regardless of the nature of contiguous transfers **142** or non-contiguous transfers **144**.

The logical scan **192**, or the call **192** of a logical scan process **190**, initiates a size step **194**. The size step **194** determines the size of a particular file **132** stored in a storage device **140** (see FIG. **5**). By determining **194** the size of the file **132**, the process **190** can determine the sector-size segments **146**, with their respective boundaries **148**.

A loop **196**, or an initialize **196** may set a loop count to an initial value of zero. Such iterative processes may be implemented in a variety of ways. An initialize step **196** is

US 6,195,767 B1

13

14

one currently preferred, and simple, method. Subsequently, a read step **198** reads the segment **146** corresponding to the current count **196**. As discussed previously, the segments **146** each correspond to a sector. Nevertheless, in order to distinguish a logical sector **146** in a file **132**, the sectors **146** are referred to as segments **146**. Thus, a read **198** reads the logical sector **146** (segment **146**) corresponding to the current count **196**.

Thereafter, a test **200** determines whether the values stored in the byte locations **98** from the second byte location **98**b to the last byte location **98**d are all zero. A positive response to the test **200** indicates that long-delay corruption **93** is possible. Accordingly, an output **202** provides this feedback from the test **200**.

A negative response to the test **200** indicates that the contents of the byte locations **98**b through **98**d do not all have a value of zero. Accordingly, a test **204** follows the test **200**. The test **204** determines whether the last byte location **96**d in a sector **88** of interest, has a value identical to that of a next-to-last byte location **96**c. A positive response to the test **204** indicates that short-delay corruption **91** is possible. Thus, an output **206** is provided in response to the test **204**. The output **206** indicates the possibility of short-delay corruption **91**, whether or not the long-delay corruption **93** might also be present according to the output **202**.

Regardless of the outputs **202**, **206**, a subsequent increment step **208** increments the count **196** or loop **196** to advance the tests **200**, **204** to the next sector number available. If the number of the next count **196** is greater than the total size **194** determined by the size step **194**, then the test **210** so detects. That is, the test **210** determines whether the end of the file **132** has been read **198**. A negative response to the test **210** returns the process **190** to read **198** the next available sector **146** in the file **132**. Note that in each case, a segment **146** or sector **146** in the logical file **132** will still correspond to some particular sector **159** on a storage device **140**. Thus, a sector **88** of some physical medium **86** must always be read for the contents of any individual segment **146** (sector **146** logically). However, tapes, hard drives, volatile or other random access memory **20** may also be tested, and need not be arranged by the sector scheme or other physical media **86**.

A positive response to the test **210** results in a return **212** or a completion **212** of the process **190**. Accordingly, the outputs **202**, **206** may be provided in written, numerical, automated statistical, or other formats. Alternatively, the return **212** may result in automatic correction of the corruption **91**, **93** in certain instances.

Wherefore, I claim:

1. An apparatus for detecting data corruption resulting from defective operation of a floppy diskette controller, the method comprising:

    a storage medium containing data disposed in a series of bytes;

    a processor operably connected to the storage medium and programmed to execute a signature detection module, the signature detection module being effective to detect improper storage of the bytes, wherein the improper storage results from an error of a type causing erroneous replication of a byte in a sector of a storage medium;

    a memory device operably connected to the processor for storing the signature detecting module.

2. The apparatus of claim **1**, wherein the memory device stores a filter module for filtering out false positive results, and wherein a false positive result erroneously reflects a replication of bytes properly stored.

3. The apparatus of claim **1**, wherein the storage medium contains data reflecting erroneous replication of bytes undetected at a time corresponding to a first writing.

4. The apparatus of claim **3**, wherein the erroneous replication remains undetected during at least one subsequent writing after the time of the first writing.

5. The apparatus of claim **4**, wherein the at least one subsequent writing reflects a change in type of the storage medium.

6. The apparatus of claim **5**, wherein the time of first writing is comparatively distant from a time corresponding to the subsequent writing.

7. The apparatus of claim **6**, wherein the comparative distance between the first writing and the subsequent writing reflects a loss of identifying data for detecting the error, the loss occurring after the time of first writing.

8. The apparatus of claim **4**, wherein the time of first writing occurs during a write operation to a magnetic medium.

9. The apparatus of claim **8**, wherein the magnetic medium is a floppy diskette.

10. The apparatus of claim **1**, wherein the storage medium is configured in a computer-readable data storage device.

11. The apparatus of claim **1**, wherein the storage medium is selected from the group consisting of magnetic media, optical media, magneto-optical media, and electronic media.

12. A method for detecting data corruption resulting from defective operation of a floppy diskette controller, the method comprising:

    writing data as data bytes to a storage medium;

    identifying a demarcation rule effective to reflect a correspondence of data bytes to the sector size of the storage medium and effective to identify a first byte, a last byte, and a next-to-last byte corresponding to the sector size;

    scanning the data bytes in accordance with the demarcation rule; and

    detecting erroneous replication of bytes within a segment of data corresponding to the sector size.

13. The method of claim **12**, wherein detecting further comprises comparing a value of the last byte, with a value of the next-to-last byte.

14. The method of claim **12**, further comprising applying a false positive filter to reduce spurious results.

15. The method of claim **14**, further comprising comparing previous identical values in the segment with the value of the last and next-to-last bytes.

16. The method of claim **14**, wherein the value of the last and next-to-last bytes is zero.

17. The method of claim **12**, wherein the demarcation rule reflects a number of bytes corresponding to a sector of an initial storage medium controlled by the floppy diskette controller.

18. The method of claim **17**, wherein the initial storage medium is a magnetic storage medium.

19. The method of claim **18**, wherein the magnetic storage medium is a floppy diskette.

20. The method of claim **12**, wherein the data in the storage medium is formatted in accordance with a format scheme distinct from a sectoring scheme applied at the time first writing.

21. The method of claim **20**, further comprising comparing values corresponding to a last byte and a next-to-last byte in a segment corresponding to the sector size in the sectoring scheme.

22. The method of claim **12**, wherein scanning further comprises executing a physical scan of the storage medium,

US 6,195,767 B1

15

and wherein the storage medium is an original storage medium corresponding to a first writing of the data.

23. The method of claim **22**, wherein the storage medium is attached to the floppy diskette controller.

24. The method of claim **23**, wherein the magnetic storage medium is a floppy diskette.

16

25. The method of claim **22** further comprising filtering out false positive results.

26. The method of claim **23**, wherein the storage medium is a magnetic storage medium.

* * * * *

Exhibit D

US006401222B1

(12) **United States Patent** (10) **Patent No.:**   **US 6,401,222 B1**
Adams   (45) **Date of Patent:**   *Jun. 4, 2002

(54) **DEFECTIVE FLOPPY DISKETTE CONTROLLER DETECTION APPARATUS AND METHOD**

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(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

This patent is subject to a terminal disclaimer.

(21) Appl. No.: **09/211,574**

(22) Filed: **Dec. 14, 1998**

Related U.S. Application Data

(63) Continuation-in-part of application No. 08/729,172, filed on Oct. 11, 1996, now Pat. No. 5,983,002.

(51) **Int. Cl.^{7}** **H02H 3/05**
(52) **U.S. Cl.** **714/42**; 713/200; 713/2
(58) **Field of Search** 714/42, 40, 25, 714/11, 8; 713/2, 200

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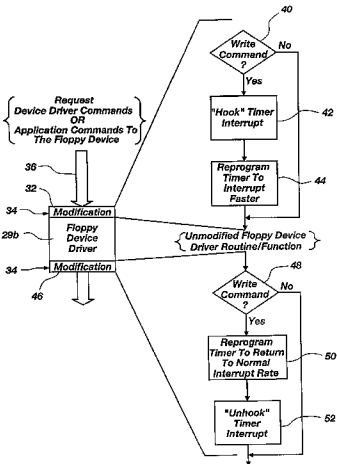
(57) **ABSTRACT**

A system and method which provides a complete software implementation of a detection process that is capable of detecting defective Floppy Diskette Controllers ("FDCs") without visual hardware inspection or identification. The approach taken includes a multi-phase strategy incorporating programmatic FDC identification, software DMA shadowing, defect inducement, and use of a software decoding network which allows the implementation of the invention to adjust to a wide range of computer system performance levels. A method and apparatus for detecting and preventing floppy diskette controller data transfer errors in computer systems is also provided. The approach taken may involve software DMA shadowing and the use of a software decoding network.
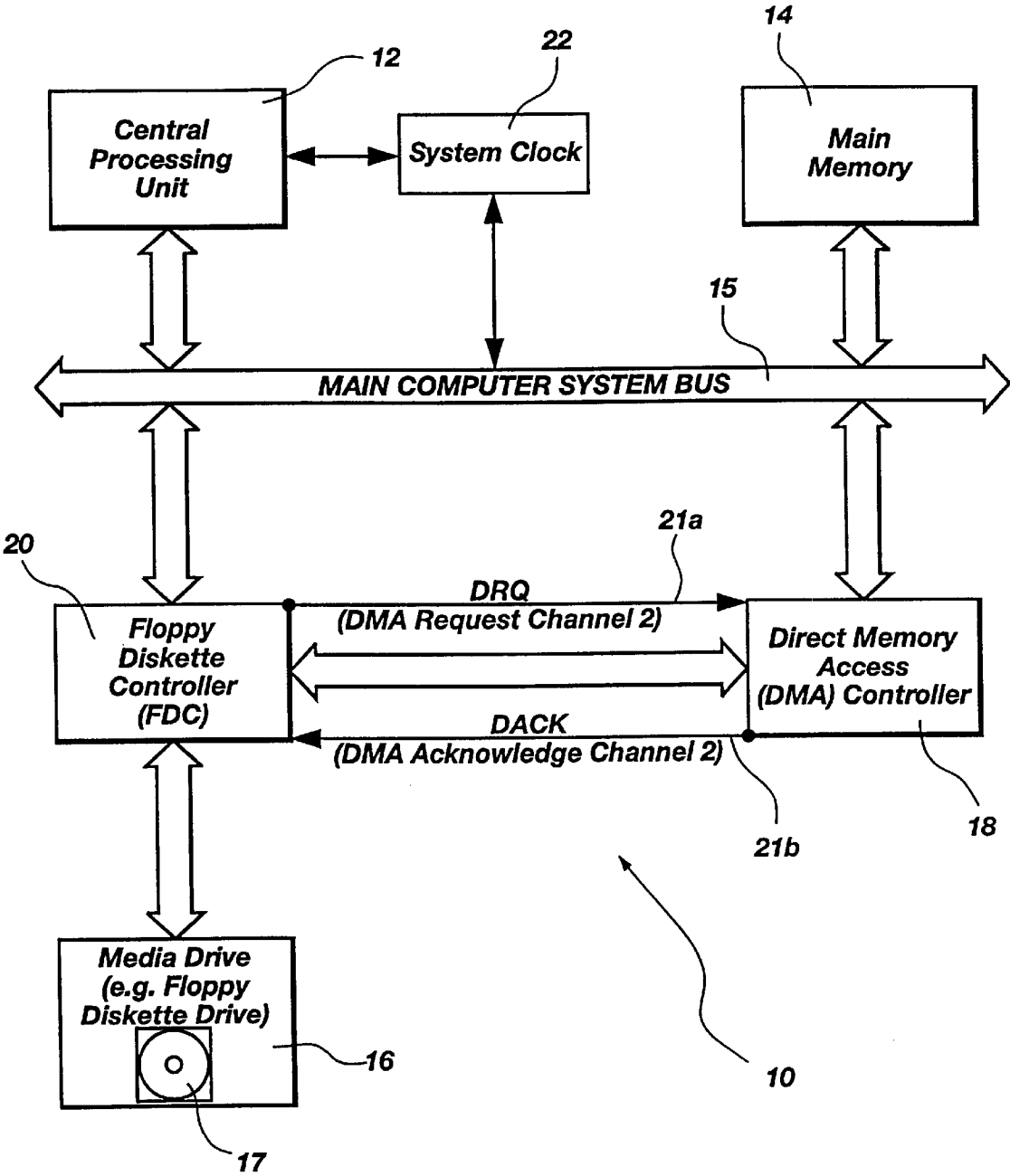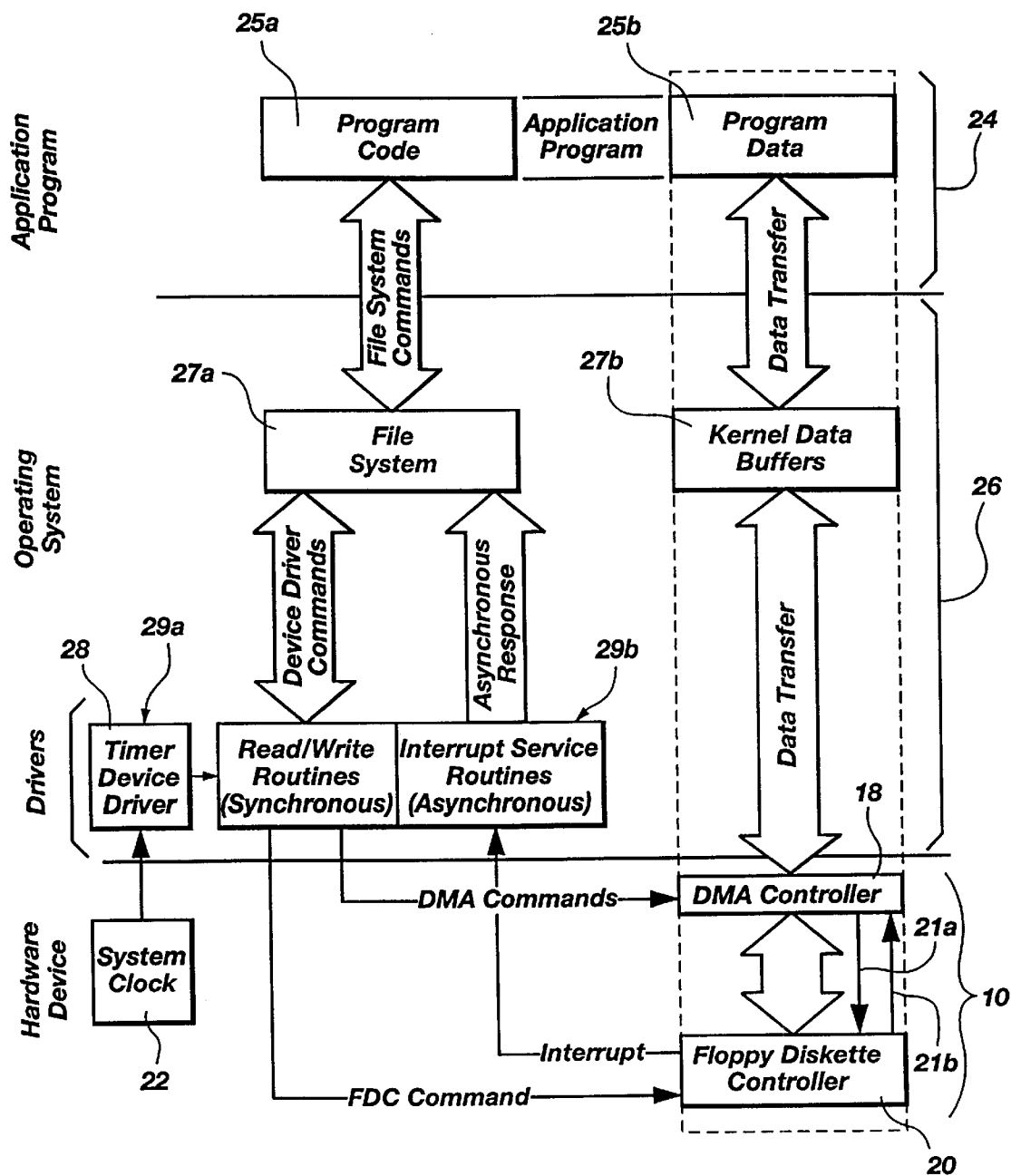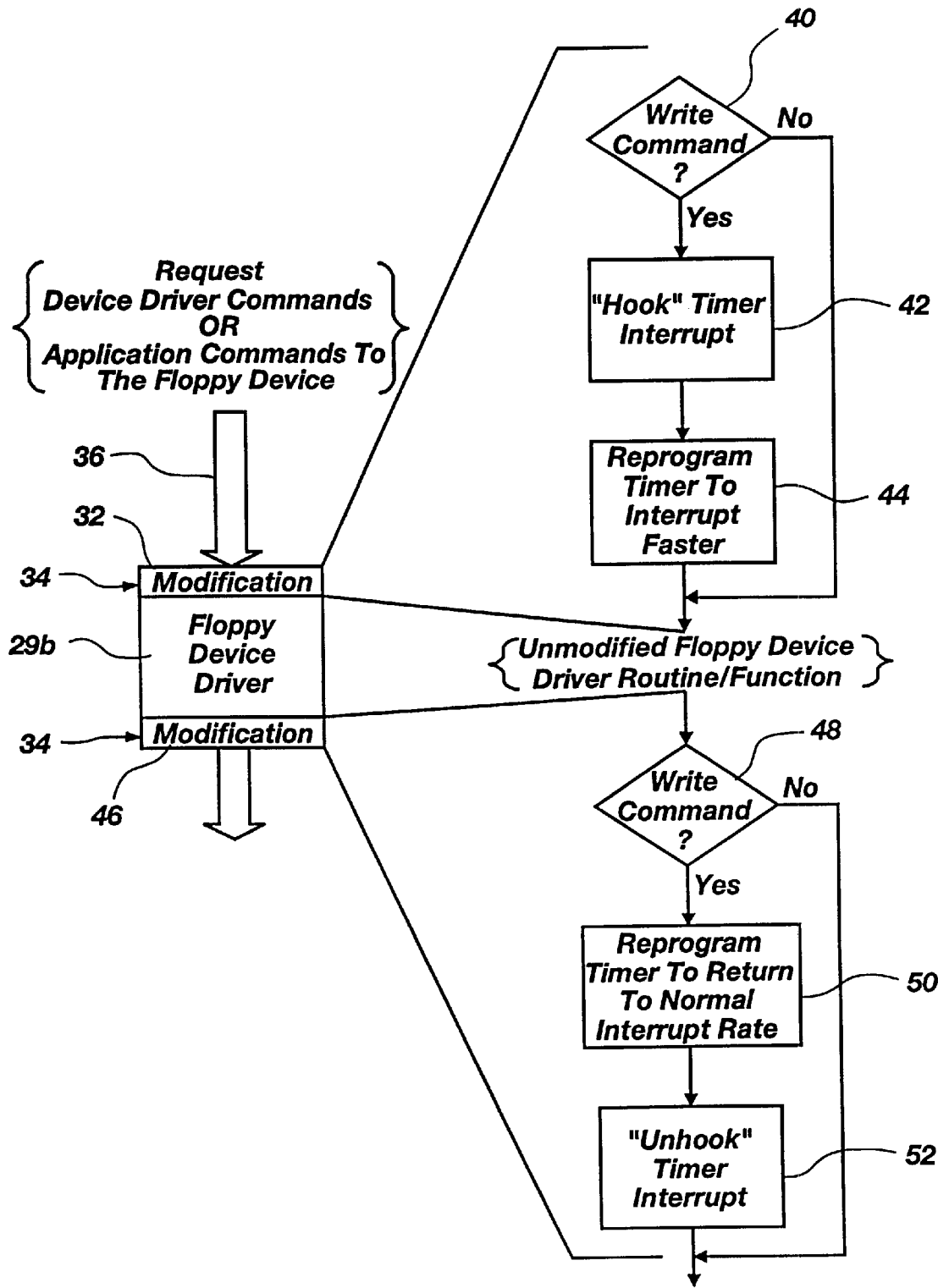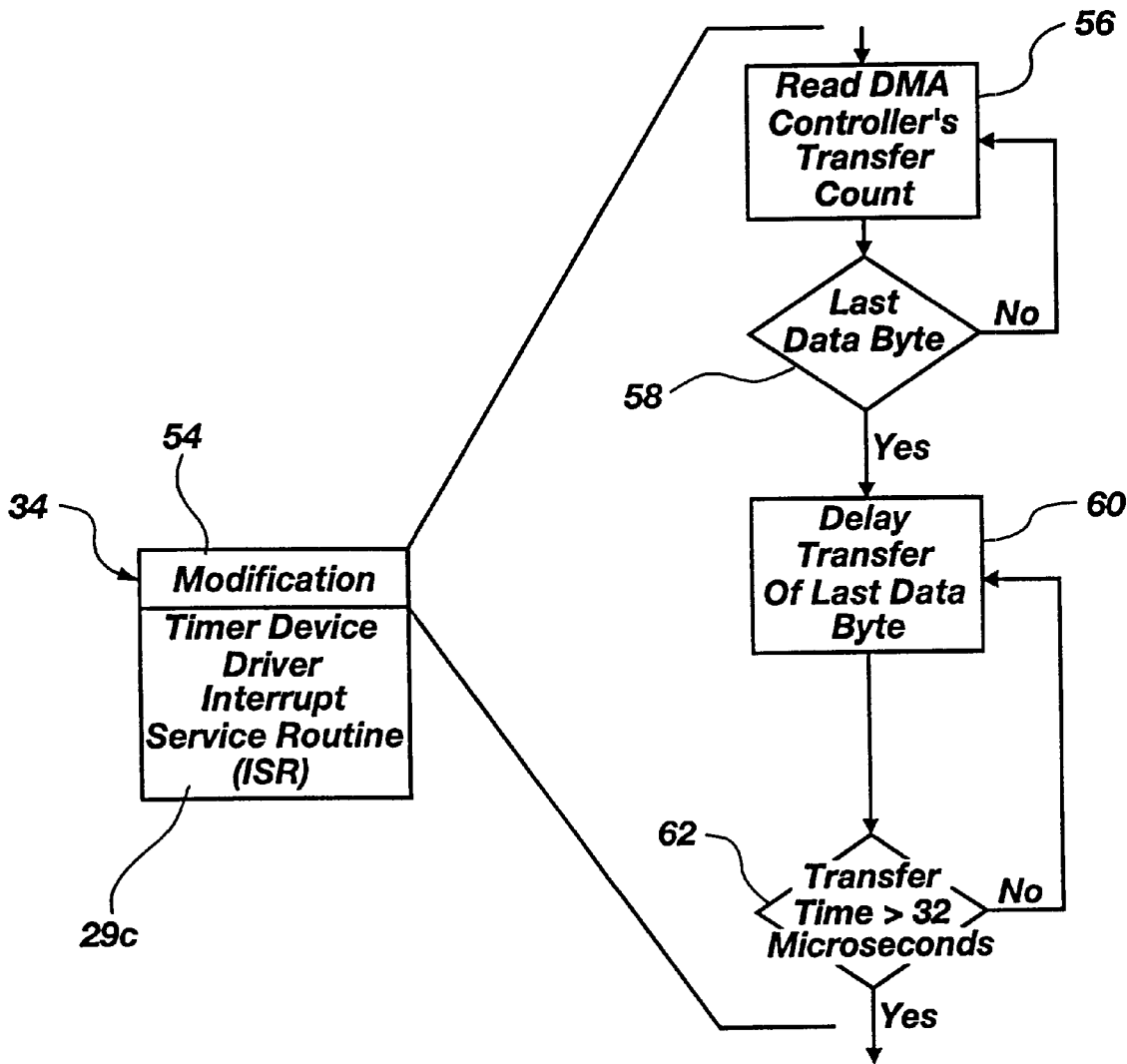
20 Claims, 7 Drawing Sheets

Fig. 1

Fig. 2

40

Write Command ?   No

Yes

Request Device Driver Commands OR Application Commands To The Floppy Device

"Hook" Timer Interrupt   42

36

32

34   Modification

29b   Floppy Device Driver

Reprogram Timer To Interrupt Faster   44

Unmodified Floppy Device Driver Routine/Function

34   Modification

46

48

Write Command ?   No

Yes

Reprogram Timer To Return To Normal Interrupt Rate   50

"Unhook" Timer Interrupt   52

Fig. 3

Fig. 4

Fig. 5

100 — (Main Program)

102 — Issue FDC Command 0x10

105 — Save to Recheck for False Negative Programming

104 — Status = 0x80   No →

24

Yes ↓

110 — Hook Timer INT (INT_0x8) & Increase Timer Interrupt Rate

112 — 1) Format Last 10 Bytes Of Sector Write Buffer With: "0123456789"
2) Write Sector Write Buffer Using BIOS Diskette Interface

114 — Write Error ?   Yes →

116 — Increment Detectable Write Error Count And Increment Number Of Sectors Written

No ↓

118 — Read Previously Written Sector Using BIOS Diskette Interface And Increment Number Of Sectors Written

120 — Last Byte Of Read Buffer = Proper test Pattern byte?   No →

Yes ↓

122 — Increment Number Of Undetected FDC Errors (Written Data Was Corrupted)

117 — # of Sectors Written = # For Test   No →

Yes ↓

106 — Display Results Of Test Including Whether FDC Defective, and if False Report

108 — (Exit)

Fig. 6

125

124

```
        ╭─────────────────────────╮
        │       Timer ISR          │
        │ (Interrupt Service Routine)│
        ╰─────────────────────────╯
                    │
                    ▼
        ┌─────────────────────────┐
        │    Read DMA Count        │──126
        │         and              │
        │   Read Timer Count       │
        └─────────────────────────┘
                    │
                    ▼
              DMA Count
   128 ──     Changed
          ◄ OR Time > Byte ►  No
           Transfer Time
                    │
                   Yes
                    │
                    ▼
   130 ──      DMA Count      No
            ◄ Changed? ►────────┐
                    │           │
                   Yes          │
                    │           │
                    ▼           │
   132 ──      DMA Count        │
                 Within         │
            ◄ End-Of-Sector ► No│
                 Range?         │
                    │           │
                   Yes          │
                    │           │
                    ▼           │
   134 ──        DMA            │
               Count = 0        │
            ◄     ?     ► No     │
                    │           │
                   Yes          │
                    │           │
                    ▼           │
        ┌─────────────────────┐ │
        │   Set Channel 1      │ │
   136 ─│   DMA Active         │ │
        │   OR Mask            │ │
        │ Channel 2 DMA        │ │
        │   For More           │ │
        │ Than 32 uSec         │ │
        └─────────────────────┘ │
                    │           │
                    ▼           │
   138 ──    ╭────────────╮◄────┘
             │    Exit     │
             ╰────────────╯
```

Fig. 7

1

DEFECTIVE FLOPPY DISKETTE CONTROLLER DETECTION APPARATUS AND METHOD

RELATED INVENTIONS

This application is a Continuation in Part of my co-pending application Ser. No. 08/729,172 filed on Oct. 11, 1996 now U.S. Pat. No. 5,983,002 for Defective Floppy Diskette Controller Detection Apparatus and Method.

BACKGROUND

1. The Field of the Invention

This invention relates to the detection of defective Floppy Diskette Controllers ("FDCs") where an undetected data error causes data corruption and, more particularly, to novel systems and methods implemented as a software-only detection mechanism which eliminates the need for visual inspection or identification of the FDCs.

2. The Background Art

Computers are now used to perform functions and maintain data critical to many organizations. Businesses use computers to maintain essential financial and other business data. Computers are also used by government to monitor, regulate, and even activate, national defense systems. Maintaining the integrity of the stored data is essential to the proper functioning of these computer systems, and data corruption can have serious (even life threatening) consequences.

Most computer systems include media drives, such as floppy diskette drives for storing and retrieving data. For example, an employee of a large financial institution may have a personal computer that is attached to the main system. In order to avoid processing delays on the mainframe, the employee may routinely transfer data files from a host system to a local personal computer and then back again, temporarily storing or backing up data on a local floppy diskette or other media. Similarly, an employee with a personal computer at home may occasionally decide to take work home, transporting data away from and back to the office on a floppy diskette.

Data transfer to and from media, such as a floppy diskette, is controlled by a device called a Floppy Diskette Controller ("FDC"). The FDC is responsible for interfacing the computer's Central Processing Unit ("CPU") with a physical media drive. Significantly, since the drive is spinning, it is necessary for the FDC to provide data to the drive at a specified data rate. Otherwise, the data will be written to a wrong location on the media.

The design of an FDC accounts for situations occurring when a data rate is not adequate to support rotating media. Whenever this situation occurs, the FDC aborts the write operation and signals to the CPU that a data under run condition has occurred.

Unfortunately, however, it has been found that a design flaw in many FDCs makes impossible the detection of certain data under run conditions. This flaw has, for example, been found in the NECK 765, INTEL 8272 and compatible Floppy Diskette Controllers. Specifically, data loss and/or data corruption may routinely occur during data transfers to or from diskettes (or tape drives and other media attached via the FDC), whenever the last data byte of a sector being transferred is delayed for more than a few microseconds. Furthermore, if the last byte of a sector write operation is delayed too long then the next (physically adjacent) sector of the media will be destroyed as well.

2

For example, it has been found that these faulty FDCs cannot detect a data under run on the last byte of a diskette read or write operation. Consequently, if the FDC is preempted or otherwise suspended during a data transfer to the diskette (thereby delaying the transfer), and an under run occurs on the last byte of a sector, the following occur: (1) the under run flag does not get set, (2) the last byte written to the diskette is made equal to either the previous byte written or zero, and (3) a successful Cyclic Redundancy Check ("CRC") is generated on the improperly altered data. The result is that incorrect data is written to the diskette and validated by the FDC. Herein, references to a floppy diskette may be read as "any media" and a floppy diskette drive is but a specific example of a media drive controllable by an FDC.

Conditions under which this problem may occur have been identified in connection with the instant invention by identifying conditions that can delay data transfer to or from the diskette drive. In general, this requires that the computer system be engaged in "multi-tasking" operation or in overlapped input/output ("I/O") operation. Multi-tasking is the ability of a computer operating system to simulate the concurrent execution of multiple tasks.

Importantly, concurrent execution is only "simulated" because only one CPU exists in a typical personal computer. One CPU can only process one task at a time. Therefore, a system interrupt is used to rapidly switch between the multiple tasks, giving the overall appearance of concurrent execution.

MS-DOS and PC-DOS, for example, are single-task operating systems. Therefore, one could argue that the problem described above would not occur. However, a number of standard MS-DOS and PC-DOS operating environments simulate multi-tasking and are susceptible to the problem.

In connection with the instant invention, for example, the following environments have been found to be prime candidates for data loss and/or data corruption due to defective FDCs: local area networks, 327x host connections, high density diskettes, control print screen operations, terminate and stay resident ("TSR") programs. The problem also occurs as a result of virtually any interrupt service routine. Thus, unless MS-DOS and PC-DOS operating systems disable all interrupts during diskette transfers, they are also highly susceptible to data loss and/or corruption.

The UNIX operating system is a multi-tasking operating system. It has been found, in connection with the instant invention, how to create a situation that can cause the problem within UNIX. One example is to begin a large transfer to the diskette and place that transfer in the background by beginning to process the contents of a very large file in a way that requires the use of a Direct Memory Access ("DMA") channel of a higher priority than that of the floppy controller's DMA channel. These higher priority processes might include, for example, video updates, multi-media activity, etc. Video access forces the video buffer memory refresh logic on DMA channel 1, along with the video memory access, which preempts the FDC operations from occurring on DMA channel 2 (which is lower priority than DMA channel 1).

This type of example creates an overlapped I/O environment and can force the FDC into an undetectable error condition. More rigorous examples include a concurrent transfer of data to or from a network or tape drive using a high priority DMA channel while the diskette transfer is active. Clearly, the number of possible error producing examples is infinite, yet each is highly probable in this environment.

US 6,401,222 B1

3

4

For all practical purposes the OS/2 and newer Windows operating systems can be regarded as UNIX derivatives. They suffer from the same problems that UNIX does. Two significant differences exist between these operating systems and UNIX.

First, they both semaphore video updates with diskette operations tending to avoid forcing the FDC problem to occur. However, any direct access to the video buffer, in either real or protected mode, during a diskette transfer will bypass this feature and result in the same faulty condition as UNIX.

Second, OS/2 incorporates a unique command that tends to avoid the FDC problem by reading back every sector that is written to the floppy diskette in order to verify that the operation completed successfully. This command is an extension to the MODE command (MODE DSKT VER= ON). With these changes, data loss and/or data corruption should occur less frequently than otherwise. However, the FDC problem may still destroy data that is not related to the current sector operation.

A host of other operating systems are susceptible to the FDC problem just as DOS, Windows, Windows 95, Windows 98, Windows NT, OS/2, and UNIX. However, these systems may not have an installed base as large as DOS, Windows, OS/2 or UNIX, and may, therefore, receive less motivation to address the problem. Significantly, as long as the operating systems utilize the FDC and service system interrupts, the problem can manifest itself This can occur in computer systems that use virtually any operating system.

Some in the computer industry have suggested that data corruption by the FDC is extremely rare and difficult to reproduce. This is similar to the argument presented during the highly publicized 1994 defective INTEL Pentium scenario. Error rate frequencies for the defective Pentium ranged from microseconds to tens-of-thousands of years! The FDC problem is often very difficult to detect during normal operation because of its random characteristics. The only way to visibly detect this problem is to have the FDC corrupt data that is critical to the operation at hand. However, many locations on the diskette may be corrupted, yet not accessed. In connection with the instant invention, the FDC problem has been routinely reproduced and may be more common than heretofore believed.

Computer users may, in fact, experience this problem frequently and not even know about it. After formatting a diskette, for example, the system may inform the user that the diskette is bad, although the user finds that if the operation is performed again on the same diskette everything is fine. Similarly, a copied file may be unusable, and the computer user concludes that he or she just did something wrong. For many in this high-tech world, it is very difficult to believe that the machine is in error and not themselves. It remains typical, however, that full diskette back-ups are seldom restored, that all instructions in programs are seldom, if ever, executed, that diskette files seldom utilize all of the allocated space, and that less complex systems are less likely to exhibit the problem.

Additionally, the first of these faulty FDCs was shipped in the late 1970's. The devices were primarily used at that time in special-purpose operations in which the FDC problem would not normally be manifest. Today, on the other hand, the FDCs are incorporated into general-purpose computer systems that are capable of concurrent operation (multitasking or overlapped I/O). Thus, it is within today's environments that the problem is most likely to occur by having another operation delay a data transfer to a diskette. The

more complex a computer system, the more likely it is that one activity will delay another, thereby creating an FDC error condition.

In short, the potential for data loss and/or data corruption is present in all computer systems that utilize the defective version of this type of FDC, presently estimated at about 50 million personal computers. The design flaw in the FDC causes data corruption to occur and manifest itself in the same manner as a destructive computer virus. Furthermore, because of its nature, this problem has the potential of rendering even secure databases absolutely useless.

Various conventional ways of addressing the FDC problem, such as a hardware recall, have significant associated costs, risks and/or disadvantages. In addition to a solution to the FDC problem, an apparatus and method are needed to accurately, rapidly, reliably, and correctly, identify any defective FDC. The identification of defective FDCs is the first step in attempting to solve the problem of defective FDCs. A solution method and apparatus for repairing a defective FDC are disclosed in U.S. Pat. No. 5,379,414 incorporated herein by reference.

BRIEF SUMMARY AND OBJECTS OF THE INVENTION

In view of the foregoing, it is a primary object of the present invention to provide a method and apparatus for detecting defective Floppy Diskette Controllers ("FDCs").

It is another object of the present invention to provide a software (programmatic) solution that may be implemented in a general purpose digital computer, which eliminates the need for visual inspection and identification of the defective FDCs as well as the need for any hardware recall and replacement.

Consistent with the foregoing objects, and in accordance with the invention as embodied and broadly described herein, an apparatus and method are disclosed in one embodiment of the present invention as including data structures, executable modules, and hardware, implementing a detection method capable of immediately, repeatedly, correctly, and accurately detecting defective FDCs.

The apparatus and method may rely on 1) determining whether or not the FDC under test is a new model FDC (potentially non-defective), and 2) if the FDC under test is not a new model FDC, installing an interposer routine to force the FDC to delay a transfer of a last data byte of a sector either to or from the floppy diskette whose controller is tested. A test condition is thus created in the hardware to cause defective FDCs to corrupt the last data byte of the sector. A second portion of an apparatus and method may confirm a diagnosis. Thus the apparatus and method may ensure that old-model non-defective FDCs are not wrongly identified as defective.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects and features of the present invention will become more fully apparent from the following description and appended claims, taken in conjunction with the accompanying drawings. Understanding that these drawings depict only typical embodiments of the invention and are, therefore, not to be considered limiting of its scope, the invention will be described with additional specificity and detail through use of the accompanying drawings in which:

FIG. 1 is a schematic block diagram of an apparatus illustrating the architecture of a computer system for testing a floppy diskette controller ("FDC")in accordance with the invention;

US 6,401,222 B1

5

6

FIG. 2 is a schematic block diagram illustrating software modules executing on the processor and stored in the memory device of FIG. 1, including application programs, operating systems, device drivers and computer system hardware such as a floppy diskette;

FIG. 3 is a schematic block diagram of a flow chart depicting one presently preferred embodiment of certain modifications that may be applied to a diskette device driver in order to force an otherwise undetected error condition to occur in a defective FDC, thus enabling the defective FDC detection apparatus and method of the present invention to be activated,

FIG. 4 is a schematic block diagram of a flow chart depicting one presently preferred embodiment of certain modifications that may be made to a timer Interrupt Service Routine ("ISR") to allow timing of a transfer byte's DMA request and DMA acknowledge (DREQ/DACK) cycle in order to ensure that proper conditions exist to create data corruption associated with defective FDCs in accordance with the present invention;

FIG. 5 is a schematic block diagram of a flow chart depicting one presently preferred embodiment of a software decoding network (software vector-table) for use in connection with a defective FDC detection apparatus and method in accordance with the present invention, the software decoding network having one code point/entry for each possible transfer byte in a sector;

FIG. 6 is a schematic block diagram of a flow chart depicting one presently preferred embodiment of an application implementation of the apparatus and method of FIGS. 3 and 4, wherein a main "driver" portion of an application forces an undetected error condition in a defective FDC enabling activation of a the defective FDC detection system in accordance with the invention; and

FIG. 7 is a schematic block diagram of a flow chart depicting one presently preferred embodiment of certain modifications that may be made to a timer Interrupt Service Routine embedded within the application of FIG. 6 to allow timing of a last byte's DREQ/DACK cycle, ensuring that proper conditions exist to create data corruption associated with defective FDCs in accordance with the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

It will be readily understood that the components of the present invention, as generally described and illustrated in the Figures herein, could be arranged and designed in a wide variety of different configurations. Thus, the following more detailed description of the embodiments of the system apparatus and method of the present invention, as represented in FIGS. 1 through 7, is not intended to limit the scope of the invention, as claimed, but it is merely representative of the presently preferred embodiments of the invention.

The presently preferred embodiments of the invention will be best understood by reference to the drawings, wherein like parts are designated by like numerals throughout.

The architecture of an apparatus 10, including a computer system implementing one embodiment of the invention is illustrated in FIG. 1. A Central Processing Unit ("CPU") 12 and main memory 14 may be connected by a bus 15 inside a computer system unit. Instructions (executables) and data structures used by the CPU 12 are kept in main memory 14 during computer work sessions. Main memory 14 is,

however, not a permanent storage place for information; it is active only when the apparatus 10 (computer system) is powered up (on). Thus, to avoid losing data, data must be saved on some type of non-volatile storage device. For example, the apparatus may use a "hard disk" storage device permanently installed in the computer system. A computer system 10 may have at least one floppy diskette drive 16 that receives a removable floppy diskette (magnetic storage medium). The floppy diskette likewise may be used for "permanent" (non-volatile) storage of data or software (executables) outside of the computer system 10. Flexible (floppy) diskettes are especially useful for transferring data and information between separate computer systems 10.

In transferring data to a floppy diskette, the CPU 12 may program a Direct Memory Access ("DMA") controller 18 for an input/output ("I/O") transfer. The CPU 12 issues a command to a Floppy Diskette Controller ("FDC") 20 to begin the I/O transfer, and then waits for the FDC 20 to interrupt the CPU 12 with a completion interrupt signal. It is also possible to perform Programmed I/O ("PIO") directly between the CPU 10 and the FDC 20 without involving the DMA controller 18. This latter approach is seldom used; the majority of computer systems 10 employ DMA for I/O transfers to and from the floppy diskette drive 16. The invention will thus be described below with particular reference to the DMA controller 18. If PIO is employed, however, then an I/O transfer is totally controlled by the CPU 12 because the CPU 12 is required to pass each and every data byte to the FDC 16. As a result, the "DMA shadowing" system and method in accordance with the invention may be directly applied to a PIO data stream. This is readily tractable because the CPU 12 already is controlling the I/O transfer, as will become more readily apparent.

A computer system 10 may have a system clock 22. The system clock 22 is beneficial when initiating an I/O transfer to the diskette drive 16 because one must not only control the data transfer, but also a drive motor. In this regard, it is important to know when the diskette drive motor has brought a diskette's spin rate up to a nominal RPM required for a data transfer to be successful.

For example, in IBM Personal Computers and "compatibles," the system clock 22 interrupts the CPU 12 at a rate of 18.2 times per second (roughly once every 54.9 milliseconds). This interrupt is used to determine such things as diskette drive motor start and stop time. There are also a host of other time-dependent operations in the computer system 10 that require this granularity of timing.

One presently preferred embodiment of an association between application programs 24 (executables), operating systems 26, device drivers and hardware is depicted in FIG. 2. The example presented corresponds to a floppy diskette having a controller 16.

A system suitable for implementing the invention may include an application program 24 including both executable code 25a and associated data 25b. The application 24 may interface with the hardware apparatus 10 through an operating system 26. The operating system may include a file system 27a as well as selected buffers 27b. The file system 27a may include an executable for file system management as well as operating system interfacing.

The file system 27a may issue commands to drivers 28.

The drivers 28 may include a timer device driver 29a, including a timer ISR, interfacing to the system clock 22. Likewise, a media drive driver 29b, alternatively referred to as a media driver 29b may be included. The media driver may interface with a floppy diskette drive or other media

US 6,401,222 B1

7   8

drive **16** to maintain persistent storage on media **17**. Although a media drive **16** may typically relate to floppy diskettes, tape drives and other magnetic media may also be used in an apparatus and method in accordance with the invention.

The media driver **29**b may be responsible for sending instructions and control signals to the media drive controller **20**, which is typically embodied as a floppy diskette controller **20**. Similarly, the media driver **29**b may instruct and control the DMA controller **18**. The DMA controller manages data transfers between the floppy diskette controller (FDC **20**) and the main memory device **14**. A DMA request (DRQ;DREQ **21**a) may pass from FDC controller **20** to the direct memory access controller **18** (DMA controller **18**). Likewise, a DMA acknowledge **21**b or acknowledgment **21**b, alternatively referred to as a (DACK **21**b) may be returned from the DMA controller **18** to the FDC **20**.

Referring now to FIGS. **3–5**, and more FIGS. **3** and **4**, a method in accordance with the present invention include a module **32**, one of several interposer routines **34**, which is placed between an application's **24** request **36** for floppy service and a floppy device driver **29**b. The interposer routine **32** is actually a new or modified device driver that forces certain undetected FDC data corruption conditions to exist. As shown, the interposer **32** first tests **40** whether an operation requested **36** is a floppy diskette write operation. Read operations are equally susceptible to the problem and may be used in the detection process, if desired. If so, the major function of the interposer **32** is to insert itself between the application request **36** for floppy service and the floppy device driver **29**b that will service the request. In a PC/MS-DOS environment, this can be accomplished by "hooking" the INT 0×13 interrupt vector and directing it to the FDD prefix **32** or interposer routine **32**. Reprogramming **44** the timer **22** to interrupt faster (e.g., every 4–7 milliseconds) than normal (e.g. 54.9 milliseconds).

As will become more fully apparent from the following discussion, once a floppy write operation is detected, in a test **40** a software decoding network call vector of the timer interrupt **54** (see FIGS. **4–5**) is preferably installed. The current byte count is read **56**, and DMA shadowing **58** begins. When a test **58** shows that a current DMA transfer count (countdown) has reached 0, then the interposer routine **54** delays **60** the DMA transfer of the last byte of the sector transfer. The delay continues until a test **62** determines that the elapsed time is greater than the maximum time required for a data byte to be transferred to the medium **17** (e.g. a low-density diskette; >32 uSec).

This delay **60** forces defective FDCs **20** into an undetected data corruption condition. This condition can be tested **120** by reading back **118** the written data to see whether the last byte or the next-to-the-last byte was actually written to the last byte location of the sector.

Referring again to FIG. **3**, the system clock **22** may be reprogrammed **50** in a suffix routine **46** appended to the floppy device driver **29**b. The system clock **22** may then interrupt normally (e.g., every 54.9 milliseconds). The timer interrupt **54** is "unhooked" **50** until the test **40** reports the next floppy write operation.

One could allow the timer **22** (clock **22**) to always interrupt at the accelerated rate. Then, a check the timer Interrupt Service Routine ("ISR")**29**c(see FIG. **4**), within the timer device driver **29**a, may then determine whether a media (e.g. diskette) write operation is active. Likewise, it is possible to randomly check to see if the last byte of a floppy sector write operation is in progress. However, the foregoing

method has superior efficiency and accuracy in creating the condition required for the detection of defective FDCs.

As used herein, "DMA shadowing" may be thought of as programmatic CPU **12** monitoring of data (byte) transfers and timing the last byte of a sector's DREQ **21**a to DACK **21**b signals. Importantly, there are, of course, a number of ways of determining when the DREQ **21** a is present and when the DACK **21**b is present. The present invention may include the use of any "DMA shadowing" whether the DREQ **21**a and DACK **21**b signals are detected at the DMA controller **18**, CPU **12**, system bus **15** or FDC **20**. This includes both explicit means, and implicit means.

For example, inferring the state of the DREQ/DACK cycle is possible from various components in the system that are triggered or reset from transitions of such signals **21**a, **21**b. In one embodiment the DACK **21**b may cause a Terminal Count ("TC") signal to be asserted by the DMA controller **18**. Therefore, one may imply from the detection of the TC that a DACK **21**b has occurred.

Whenever an application **24** requests a write operation of the media drive **16**, the system clock **22** may be reprogrammed to interrupt, for example, every 4 to 7 milliseconds. Referring again to FIGS. **4–5**, each time the system clock **22** interrupts, the current byte count in the transfer register (countdown register) DMA controller **18** is read **56**. Once the test **58** indicates that the byte counter has reached the last byte, the signal transition from DREQ **21**a to DACK **21**b may be timed and accordingly delayed **60**. This transition may be forced to be greater than the maximum time required to transfer one data byte as indicated in the test **62**.

Therefore, defective FDCs **20** are forced into an undetected data corruption state. This state may be detected by writing known data patterns to the next-to-the-last and the last data bytes. Reading the data back will reveal which of the two data bytes was stored in the last byte of the sector. Finally, it is possible to also detect defective FDCs **20** by significantly increasing the delay time during the transfer of the last byte of a sector. This forces the next physically adjacent sector to be zeroed out except for the first byte of that sector.

For the system to maintain proper operation, an interposer routine **34** should save the original INT 0×13 (Hex 13th interrupt vector) contents (address of the original INT 0×13 Interrupt Service Routine) and invoke the original when necessary. Additional aspects of the interposer function **34** are discussed below in connection with other features of the device driver **29**b.

This implementation of the apparatus and method of the present invention is contemplated for use on an IBM Personal Computer running the PC/MS-DOS operating system. Versions have, however, been developed to operate in the Windows, OS/2 and UNIX environments and may be embodied for other operating systems. The invention is not limited to use with any particular operating system, and adaptations and changes which may be required for use with other operating systems will be readily apparent to those of ordinary skill in the art.

As depicted graphically in FIG. **4** below, a timer ISR routine **29**c is used for servicing the accelerated interrupt rate of the system clock **22**. The reason that the system clock interrupt rate is accelerated is that during a normal 512 byte data transfer (the typical sector size) 16 microseconds are required for each data byte to be transferred to the FDC (High Density Diskette Mode). Therefore, a typical sector transfer requires 512 times 16 microseconds, or 8,192 microseconds. If the diskette is a low density diskette then

US 6,401,222 B1

9

the sector transfer time is doubled to 16,384 microseconds (512 times 32 microseconds) because the FDC has half of the amount of data to store in the same rotational time frame (typically 360 RPM).

Referring to FIG. 5, the timer ISR routine 29c within the timer device driver 29a with its prefix 54 performs checks on the system 10 to determine if the system 10 is actually transferring data to the FDC 20. If a sector transfer is not in progress then the timer ISR prefix 54 exits immediately. However, if a sector transfer is in progress then the timer ISR prefix 54 obtains the remaining byte count of the sector transfer 70 and vectors (jumps) through the software decoding network 72 (DMA count table 72) to an appropriate processing routine 84, 86, 88.

Although the steps 56, 58 of the module 54 may be implemented with the timer 22 continually interrupting every 8, 16, or 32 microseconds. This level of interrupts may totally consume a PC's processing power, and on most PCs could not be sustained. Thus, in order to perform DMA shadowing without affecting the total system performance it is important to allow normal operations to continue as usual. It is desirable to have an interrupt (the system clock 22) that will interrupt close to the end of the sector transfer so that the DREQ 21a to DACK 21b timing may be determined on the last byte of the sector transfer.

Thus, it is possible to DMA shadow 58 all 512 bytes during a sector transfer, but that would cause the CPU to be totally consumed during the entire sector transfer time. The potential of losing processing activities elsewhere in the system are greatly increased, as in serial communications. Therefore, the clock interrupt routine 29c or method 29c of FIG. 5 may reduce the CPU involvement to a bare minimum during those floppy write operations with DMA Shadowing. Significantly, the timing may be adjusted to any number of bytes of a sector transfer, from a few bytes to the entire sector count.

One operation performed in the timer ISR routine 29c is to vector through the software decoding network 72 to the appropriate processing routine 84, 86, 88. This process is illustrated graphically in FIG. 5. The software decoding network 72 (software vector-table 72) has one code point/ entry 74, 80, 82 for each possible transfer byte in the sector.

The timer interrupt rate can now be in terms of 10's or 100's of byte transfer times. The vector table 72 may cause the program execution of the CPU 12 to enter a cascade 86 of DREQ 21a/DACK 21b checks only when the transfer (sector) will complete prior to the next timer interrupt. In short, the first entries 74 in the vector table 72 will return 84, since another timer interrupt will occur before the sector transfer completes. The latter entries 80, within the desired range, will cascade 86 from one DREQ 21a/DACK 21b detection to another (shadowing 58 the DMA transfers) until the last byte is transferred.

On the last byte being transferred, the data byte may be delayed by either activating a higher priority DMA 18 channel or masking the DMA channel of the FDC 20. Although these two techniques are the simplest to program, numerous alternatives may be used to delay 60 data transfers on the DMA 18 channel of the FDC 20, in accordance with the invention.

This software decoding network process 54 is the fastest known software technique for decoding and executing time-dependent situations. Space in the memory space 14 (e.g. the software decoding network vector table 72) is traded for processing time, the amount of time it would take for one routine to subsume all functionality encoded in each of the

10

routines 84, 86, 88 vectored to through the software decoding network vector table 72.

As indicated above, the entire software decoding network table 72 may be initially set to the address of an "exiting routine 84." Then depending upon how slow or fast the system clock 22 interrupts, a certain number of the lower-indexed entries 80 of the table 72 may be set to the address of a processing routine 86. These processing routines 86 may be identical and sequentially located in the routine 54. Thus, the software decoding network vector table 72 may simply vector the timer ISR routine 29c within the driver 29a to the first of n sequentially executed processing routines. Here, n represents the number of bytes remaining in the sector transfer. In this way the last few bytes of the sector transfer can be accurately monitored (DMA Shadowing 58) without significantly affecting overall system performance.

Each of the processing routines 86, except the last one 88, may perform exactly the same function. It is not necessary to be concerned with the timing between the DREQ 21a and DACK 21b signals until the very last data byte of a transfer. Therefore, the routines 86, 88 above "shadow" 58 the operation of the DMA until the last byte (e.g. corresponding to entry 82 of the vector 72) at which time the DMA channel of the FDC 20 is delayed as previously described.

Thus, through software DMA shadowing, it is possible to reliably determine when the last byte of the transfer is about to be transferred. Therefore, it is possible to force the last data byte's transfer to be delayed. An alternative approach may include a specialized application program 24 to control all aspects of the operation of the media drive 16, e.g. floppy diskette drive 16. This may include a transfer delay of a last byte, as indicated in FIGS. 6 (main application) and 7 (timer interrupt service routine). All aspects of the previous approach may be present. However, here they may be collected into a single application program 24 performing the required functions. The application program 24 may reprogram the system clock to interrupt at an accelerated rate and services the interrupt itself. The application program may then begin a repeated set of diskette write operations using the BIOS interface interrupt (0×13) and then read the written sectors back. Once the sector has been written and read back the data is compared to determine whether or not an undetected error has occurred. A running total of both detected and undetected errors may be output to a display.

Referring now to FIG. 6, an application 24 may include steps 110–117. Alternatively, preprocessing may begin at an entry point 100 leading to an initial command 102. Command 102 is effective to request of a floppy diskette controller (FDC) 20 an identification. A status return of 0×90 (hexadecimal 90) should indicate that a FDC 20 is not of the type that is per se defective. However, faulty programming has now falsified the response fed to the test 104 in certain chips. Therefore, the command 102 may give rise to a false status return of 0×90 hexadecimal 90. This return does not guarantee that an FDC 20 is not defective.

Thus, a test 104 does not actually determine whether or not the status of an FDC 20 is defective. A negative response instead may be saved 105 to establish false negative responses programmed in by manufacturers. The display step 106 may include selected post processing to output results of the application 24. Results may include, for example, an indication of whether the FDC 20 being tested is defective or not and whether a false negative response was given to test 104, in view of the results of the test 112. Accordingly, a status not equal to a hex 80 would ordinarily

US 6,401,222 B1

11

result in the test **104** signifying that an FDC **20** is not defective. The steps **105**, **106** thereafter verify defectiveness and improper circumvention of the test **104**.

After to the test **104**, the application **24** advances to a hook **110**. The hook **110** is effective to interpose a timer prefix **124** (see FIG. **7**) corresponding to the prefix **34** of FIG. **3**, to be installed to operate at the beginning of a timer ISR **29c** within the timer device driver **29a**.

A test pattern **112** may format the last few (for example, 10) bytes of a sector write buffer **27b**. Any known pattern may suffice, for example, a sequential list of all digits from zero to nine may be used. Importantly, the last two digits in such a sequence should be distinct. Thus, a string "0123456789" may provide a test pattern to be written in the last ten bytes of a sector. The test pattern may then be written from a buffer **27b** to a medium **17** using the BIOS interface for the medium **17** and medium drive **16**.

Following the test pattern **112**, a test **114** may determine whether or not a write error has occurred in writing the buffer **27b** to the medium **17**. A positive response to the test **114** results in an increment step **116**. The increment **116** tracks the number of successful detections of errors. Thus, the increment **116** indicates that another write error was successfully detected by the FDC **20**. Accordingly, the application **24** may advance from the increment **116** to a test **117**. A test **117** may determine the number of sectors to which the FDC **20** has attempted to write. If the response to the comparison of the test **117** is positive, then all tests are completed and the display step **106** follows. On the contrary, a negative response to this test **117** returns the application **24** to the test pattern **112**, initiating another test cycle.

A negative response to the test **114** indicates that a write error, known to exist, was undetected by the FDC **20**. Accordingly, a negative response to the test **114** advances the application **24** to a read **118**. The read **118** reads back the last previously written sector, using the BIOS diskette interface, such as the driver **29b**. The step **118** may then increment the number corresponding to sectors that the FDC **20** has attempted to write.

The application **24** may next advance to the test **120** to determine whether the last byte that the read step **118** has read back from the written sector to a buffer **27b** is the last, or the next-to-last element of the test pattern from the test pattern step **112**. That is, for example, in the example above, the test **120** determines whether or not the last byte read back to the buffer **27b** from this sector being tested is correct (e.g. 9, a value other than 9 indicates that the FDC has failed to write the tenth element of the test pattern into the last byte location of the sector). This indicates that the FDC has not indicated a write error in the test **114**, and yet has produced the error detected by the test **120**. Thus, the last sector written is corrupted.

A negative response to the test **120** indicates that the last byte was not incorrectly written. Accordingly, the application **24** may advance to the test **117** to determine whether or not the testing is completed. A positive response to the test **120** results in an increment step **122**. The increment step **122** advances the count of undetected errors found during the operation of the FDC **20** during the testing in question. Thus, a step **122** results in a corruption count for sectors attempted to be written by the FDC **20**.

Referring now to FIG. **7**, and also cross-referencing to FIG. **6**, the hook step **110** may install a prefix **54** to a timer ISR **29c** within the timer device driver **29a** (see FIG. **4**). The hook **110** interposes the prefix **124** corresponding to the prefix **54** of FIG. **4**, after a call **125** or entry point **125** to the

12

timer ISR **29c** within the timer device driver **29a**. Accordingly, whenever the timer ISR **29c** within the timer device driver **29a** is called, the prefix **124** will be run before any executables in the timer ISR **29c** within the timer device driver **29a**.

The prefix **124** may begin with a read **126** effective to determine a count corresponding to the number of bytes, or a countdown of the remaining bytes, being transferred by the DMA controller **18** from the main memory **14**, through the buffer **27b** to the FDC **20**. The read **126** may also include a reading of a count (a tick count) of a timer **22** or system clock **22**.

Following the read **126**, a test **128** may determine whether or not an operation is in process affecting the FDC **20**. The FDC **20** is in operation if a count kept by the DMA controller **18** has decremented (changed) within an elapsed time corresponding to the maximum time required for a byte to be transferred. If no change has occurred during that elapsed time, then one may deduce that no activity is occurring. Accordingly, a negative response to the test **128** results in reexecution of the test **128**. Reexecution of the test **128** may continue until a positive response is obtained. Inasmuch as the application **24** is executing a write during the test pattern **112**, an eventual positive response to the test **128** is assured. In one embodiment of an apparatus and method in accordance with the invention, the first byte transferred may typically be detected.

A positive response to the test **128** advances the prefix routine **124** to a test **130** to test the countdown or count of the DMA controller **18**. The test **128** corresponds to detection of activity, whereas the test **130** corresponds to iteration of a shadowing process.

The test **130**, whenever a negative response is received, may advance the prefix routine **124** to the exit **138**.

On the other hand, a positive response to the test **130** advances the prefix routine **124** to a test **132** effective to evaluate whether or not the countdown is within some selected range at the end of a sector. A negative response to the test **132** indicates that the countdown is not within some desired end-of-sector range, so the prefix routine **124** should exit **138** without waiting longer. That is, interrupts will continue to occur with a frequency that will detect the desired range at the end of the sector being tested.

A positive response to the test **132** advances the prefix routine **124** to a test **134** for detecting the last byte to be transferred in a sector. If the DMA controller **18** is not counting the last byte to be transferred, then the test **134** may simply continue to test. When the countdown of the DMA controller **18** reaches a value of zero, a positive response to the test **134** advances to a delay step **136**.

The delay step **136** corresponds to the delay **60** illustrated in FIGS. **4–5**. The delay **136** may be implemented by preempting a channel over which the DMA controller **18** is communicating with the FDC **20**. For example, a first channel may be made active by some process, thus, overwriting communication over some channel having lesser priority, and corresponding to the FDC **20**. Likewise, the channel corresponding to the DMA communication with the FDC **20** may be masked (suspended) until the time elapsed for the transfer of the data to the sector has exceeded the maximum time permitted for such transfer. Thus, any and all opportunities for writing the last byte to the sector had expired. Thus, an error condition has been assured. Once the delay **136** has assured an error condition the exit **138** returns control of the processor **12** to the non-interrupted processing state.

US 6,401,222 B1

13   14

The invention described heretofore provides detection solution that may be completely implemented in software as a device driver **29***b* that is capable of detecting defective FDCs **20** without visual inspection and identification of the FDCs. Furthermore, the unique and innovative approach taken, relying on DMA shadowing and use of a software decoding network, allows the implementation of the invention to accurately and correctly detect defective FDCs even when non-defective old-model FDCs are involved. Simply stated, it is not sufficient to determine whether the FDC under test is an old or new model FDC. Various vendors manufactured old-model FDCs that are not defective. Therefore, a two-phase detection process may correctly determine whether or not the FDC under test is defective.

The number of FDCs installed in computer systems today is well over 100 million. In order to identify defective FDCs vendors and consumers which have defective FDCs **20** installed have very few alternatives (e.g. recalls; replacement), of which most are extremely costly, for determining whether or not their systems are susceptible to the data corruption presented by defective FDCs **20**. Therefore, an apparatus and method that may be implemented as a software-only solution to this problem is a significant advance in the computer industry. Moreover, the robust design allows the apparatus and method of the present invention to dynamically adjust to processor speeds that encompass the original IBM Personal Computers executing at 4.77 MHZ to the latest workstations that execute at well over 200 MHZ.

The function of transfer of data to devices controlled by the FDC is described above in one embodiment as occurring through direct memory access (DMA). Nevertheless, this function may be accomplished with any suitable memory controller or other manner of data transfer. For example, one manner disclosed above involving a memory controller other than a DMA includes programmed input and output (I/O) accomplished directly by the microprocessor. Thus, in this instance, one skilled in the art will understand that in the discussion above, where a DMA is used, programmed I/O or other types of memory controllers may be readily substituted.

A further aspect of the present invention involves specific implementations of a method for detecting and preventing floppy diskette controller data transfer errors in computer systems. One embodiment of the method has been included by incorporating by reference U.S. Pat. No. 5,379,414 issued to Phillip M. Adams on Jan. 3, 1995.

One such specific implementation involves substituting a magnetic tape back-up device or an optical device, or other such peripheral, non-volatile memory device for the floppy drive previously described in the specific embodiment of the method. In so doing, the method substantially as disclosed is employed, substituting the use of the alternate peripheral, non-volatile memory device for the floppy drive. Appropriate commands are also substituted, as would be readily apparent to one skilled in the pertinent art.

The present invention may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative, and not restrictive. The scope of the invention is, therefore, indicated by the appended claims, rather than by the foregoing description. All changes which come within the meaning and range of equivalency of the claims are to be embraced within their scope.

What is claimed and desired to be secured by United States Letters Patent is:

1. An apparatus for detecting a defective floppy diskette controller, the apparatus comprising:

a processor executing detection executables effective to determine an under run error undetected by a floppy diskette controller;

a memory device operably connected to the processor to store the detection executables and corresponding detection data;

a system clock operably connected to the processor to provide a time base;

a media drive comprising storage media for storing data formatted in sectors;

the floppy diskette controller operably connected to the media drive to control formatting and storage of data on the storage media; and

a memory controller operably connected with the floppy diskette controller for providing data to the floppy diskette controller.

2. The apparatus of claim **1** wherein the detection executables are effective to identify the floppy diskette controller.

3. The apparatus of claim **1** wherein the detection executables are effective to force an under run error.

4. The apparatus of claim **3** wherein the detection executables force the under run error by delaying a transfer of data to the floppy diskette controller.

5. The apparatus of claim **4** wherein the under run error comprises a delay in transferring a last byte corresponding to a sector involved in the transfer.

6. The apparatus of claim **4** wherein the detection data comprises a test pattern.

7. The apparatus of claim **6** wherein the under run error comprises the test pattern incorrectly copied onto the storage media.

8. The apparatus of claim **1** wherein the detection executables further comprise a prefix routine effective to hook a floppy device driver operating on the processor to control the floppy diskette controller.

9. The apparatus of claim **1** wherein the detection executables are integrated into an application directly loaded and executed on the processor.

10. The apparatus of claim **9** wherein the application is effective to determine on demand whether the floppy diskette controller is susceptible to undetected under run errors.

11. The apparatus of claim **1** wherein the detection executables include a shadowing executable effective to determine when a byte corresponding to a last byte of a sector is to be transferred.

12. A memory device operably connected to a processor, a memory controller, a floppy diskette controller controlled by the memory controller, and a media drive in communication with the memory controller, comprising:

a test pattern;

detection executables effective to be run on the processor to force and detect an under run error not detected by the floppy diskette controller, and

a readback buffer to store a copy of the test pattern read back from the media drive.

13. A method for testing controllers for controlling I/O to non-volatile memory devices, the method comprising:

providing a detection executable configured to interrupt a writing step of a controller;

US 6,401,222 B1

15

delaying a transfer of a byte, corresponding to the writing step, for a time selected to cause an under run error in the transfer; and

verifying whether the controller detects an error in completing the writing step.

14. The method of claim **13**, further comprising selecting a non-volatile memory device from the group consisting of tape drives, magnetic drives, and optical drives.

15. The method of claim **13**, wherein the detection executable is configured to read a data count corresponding to the writing step.

16. The method of claim **15**, further comprising reading a data count, corresponding to the writing step, from a direct memory access controller's data transfer count register.

16

17. The method of claim **15**, further comprising reading a data count, corresponding to the writing step, from a CPU employing programmed input output.

18. The method of claim **13**, further comprising storing the detection executable and a corresponding detection data test pattern.

19. The method of claim **13**, further comprising increasing an interrupt rate corresponding to interrupting the writing step.

20. The method of claim **13**, further comprising causing and detecting a transfer corresponding to a last byte of a sector.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO.   : 6,401,222 B1   Page 1 of 1
DATED   : June 4, 2002
INVENTOR(S)   : Phillip M. Adams

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<u>Title page,</u>
The title is incorrect. Please delete "**DETECTION**", and insert therefor
-- **TESTING** --.

<u>Column 1,</u>
Line 59, please delete "NECK", and insert therefore -- NEC --.

<u>Column 3,</u>
Line 28, after "manifest itself", please insert -- . --.

<u>Column 8,</u>
Line 7, please delete "21 a", and insert therefore -- 21a --.

Signed and Sealed this

Twenty-fourth Day of December, 2002

JAMES E. ROGAN
Director of the United States Patent and Trademark Office

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO.   : 6,401,222 B1   Page 1 of 1
DATED   : June 4, 2002
INVENTOR(S)   : Phillip M. Adams
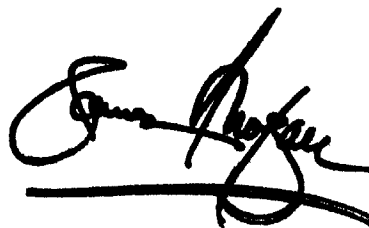
It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the terminal disclaimer, please delete the first sentence "Petitioner, Phillip M. Adams, an individual residing at 325 North Federal Heights Circle, Salt Lake City, Utah, hereby represents that he is the owner of one hundred (100%) interest in the instant application", and insert therefore -- Petitioner, Phillip M. Adams, hereby represents that he is Managing Member of Phillip M. Adams & Associates, L.L.C., a limited liability company of the state of Utah having a principal place of business at 1460 Seville Way, Bountiful, Utah 84010 and a mailing address of P.O. Box 1207, Bountiful, Utah 84011-1207, and that Phillip M. Adams & Associates, L.L.C. is the owner of one hundred percent (100%) interest in the instant application --.

Signed and Sealed this

Fourteenth Day of October, 2003

JAMES E. ROGAN
Director of the United States Patent and Trademark Office